

TABLE E-25

**Revenue Generated from Real Estate Development Transactions  
New York State — Fiscal Years 1996-97 — 2002-03**

Type of Land Management Transaction	1996-97	1997-98	1998-99	1999-2000	2000-01	2001-02	2002-03
All Transactions	\$ 4,073,356	\$ 2,792,812	\$ 6,283,343	\$ 24,174,710	\$ 38,835,284	\$ 13,793,743	\$ 8,020,657
Sales	867,934	129,344	1,410,494	20,621,353	34,227,393	9,519,747	991,851
Leases and Licenses	3,190,007	2,663,217	1,542,302	2,490,635	2,840,815	2,728,605	4,917,990
Easements	15,000	—	3,330,280	1,062,463	1,766,201	1,544,997	2,110,541
Surplus Buildings	—	—	—	—	—	—	—
Miscellaneous	415	251	267	259	875	394	275

— Represents zero.

SOURCE: New York State Office of General Services, Division of Real Estate Development.

TABLE E-26

**Revenue Generated from Disposition of Surplus State Personal Property  
New York State — Fiscal Years 1996-97 — 2002-03**

	1996-97	1997-98	1998-99	1999-2000	2000-01	2001-02	2002-03
All Items Processed	49,259	76,358	43,095	48,298	33,436	31,031	30,524
Items Sold	19,107	47,723	15,715	25,557	23,414	23,350	17,441
Revenue Generated from Sales	\$ 4,685,149	\$ 4,712,409	\$ 4,906,485	\$ 5,097,541	\$ 4,932,217	\$ 4,458,919	\$ 3,445,952
Items Transferred	26,940	25,607	20,794	13,488	10,022	7,681	13,083
Transfer Value <sup>1</sup>	\$ 3,377,445	\$ 3,622,328	\$ 2,774,033	\$ 2,820,913	\$ 1,983,289	\$ 2,617,007	\$ 2,665,523

1 Calculated at 25 percent of replacement cost.

SOURCE: New York State Office of General Services, Bureau of Surplus Personal Property Disposition.

TABLE E-27

**Lottery Revenue, Sales by Type of Game and Prizes Paid  
New York State — Fiscal Years 1976-77 — 2002-03  
(millions)**

Fiscal Year Ended	Sales																Prizes Paid
	Revenue	All Games	Instant	Weekly	Olympic	Numbers	Win-4	Lotto	Pick 10	Take 5	Quick Draw	Lucky Day	Local Lotto	Millennium Millions	Mega Millions	Instant Win	
1977	\$ 94.8	\$ 196.5	\$ 186.9	\$ 9.6	X	X	X	X	X	X	X	X	X	X	X	X	\$ 71.7
1978	88.6	195.8	155.4	40.4	X	X	X	X	X	X	X	X	X	X	X	X	90.8
1979	86.0	188.1	155.7	10.9	\$ 15.1	X	X	\$ 6.4	X	X	X	X	X	X	X	X	107.0
1980	85.6	184.6	118.2	4.4	12.8	X	X	49.2	X	X	X	X	X	X	X	X	79.8
1981	102.5	236.2	92.9	X	X	\$ 65.4	X	77.9	X	X	X	X	X	X	X	X	118.2
1982	179.8	424.9	93.1	X	X	168.8	\$ 13.7	149.3	X	X	X	X	X	X	X	X	219.1
1983	275.2	645.0	87.1	X	X	237.0	41.6	279.3	X	X	X	X	X	X	X	X	382.4
1984	390.5	890.3	85.2	X	X	276.6	68.5	460.0	X	X	X	X	X	X	X	X	502.6
1985	599.9	1,271.2	98.4	X	X	330.7	73.3	768.8	X	X	X	X	X	X	X	X	760.2
1986	607.9	1,317.0	76.7	X	X	379.4	122.1	738.8	X	X	X	X	X	X	X	X	761.0
1987	666.8	1,458.8	65.4	X	X	446.2	154.9	763.0	\$ 29.4	X	X	X	X	X	X	X	712.5
1988	706.8	1,565.9	96.9	X	X	504.2	180.2	727.2	57.4	X	X	X	X	X	X	X	803.5
1989	830.2	1,847.1	158.1	X	X	566.6	215.0	841.7	65.7	X	X	X	X	X	X	X	1,000.4
1990	927.1	2,058.1	202.5	X	X	602.1	258.4	929.5	65.6	X	X	X	X	X	X	X	1,039.8
1991	957.6	2,134.0	262.0	X	X	615.5	286.7	851.3	119.1	X	X	X	X	X	X	X	1,124.8
1992	866.7	2,063.0	286.2	X	X	628.5	321.6	676.3	108.9	\$ 41.5	X	X	X	X	X	X	1,381.3
1993	1,001.1	2,360.0	290.3	X	X	652.3	355.4	696.3	91.2	274.5	X	X	X	X	X	X	1,332.8
1994	1,011.2	2,369.1	299.0	X	X	658.1	374.4	645.7	84.4	307.5	X	X	X	X	X	X	1,372.2
1995	1,243.8	3,028.6	666.1	X	X	689.4	415.8	839.4	83.6	334.2	X	X	X	X	X	X	1,410.0
1996	1,399.6	3,610.6	1,022.3	X	X	676.9	416.2	755.8	71.4	325.9	\$ 342.1	X	X	X	X	X	1,826.7
1997r	1,542.8a	4,136.3	1,056.6	X	X	668.8	426.6	874.3	57.7	341.3	561.1	\$ 5.9	X	X	X	X	2,026.2
1998r	1,528.9a	4,184.8	991.7	X	X	698.5	435.0	870.5	49.9	384.8	504.4	8.3	X	X	X	X	2,093.6
1999	1,413.3a	3,830.7	911.5	X	X	701.1	441.7	749.2	47.8	360.9	485.4	X	X	X	X	X	1,872.0
2000r	1,382.7	3,629.3	1,005.8	X	X	709.5	458.9	647.3	43.3	331.0	332.1	X \$ 39.0	\$ 62.4	X	X	X	1,848.9
2001	1,446.9	4,185.3	1,386.1	X	X	709.1	472.3	558.5	41.6	388.3	508.4	X	X	121.0	X	X	2,273.6
2002	1,589.7r	4,753.6	1,966.5	X	X	736.5	523.8	565.3	37.8	434.9	488.8	X	X	X	X	X	2,641.2r
2003	1,780.4	5,396.0	2,388.7	X	X	754.2	579.3	392.1	34.2	381.3	476.3	X	X	X	\$ 373.4	\$ 16.5	3,061.7

NOTE: Results reported are from accrual based audited statements. On a cash basis the New York Lottery transferred \$1,826.2 million during FY 2002-03.

a Includes other revenue of \$144.0, \$241.7 and \$133.1 for 1997, 1998 and 1999 respectively.

SOURCE: New York State Division of the Lottery.

X Not applicable.

r Revised.

TABLE E-28

**Revenues from Summer Recreational Facilities  
Operated by the Department of Environmental Conservation<sup>1</sup>  
New York State — Selected Fiscal Years 1964-65 — 2001-02**

Fiscal Year Ended October 31	Type of Revenue			
	All Revenues	Camping Service	Day Use	Conces- sionary
1965	\$ 968,700	\$ 510,700	\$ 429,600	\$ 28,400
1970	2,798,612	830,875	1,774,348	193,389
1975	3,423,874	1,166,015	2,110,089	147,770
1976	3,130,193	1,127,593	1,868,476	134,124
1977	3,900,518	1,226,939	2,484,220	189,359
1978	4,643,210	1,272,583	3,049,615	321,012
1979	3,916,165	1,435,030	2,234,812	246,323
1980	5,214,770	1,604,014	3,321,572	289,184
1981	2,154,675	1,821,287	330,272	3,116
1982	2,337,283	1,938,616	379,319	19,348
1983	2,654,600	2,157,949	478,641	18,010
1984	2,766,081	2,282,076	473,121	10,884
1985	2,864,307	2,342,350	511,507	10,450
1986	2,923,934	2,457,888	453,622	12,424
1987	3,195,302	2,676,949	505,445	12,908
1988	3,410,746	2,843,304	567,442	—
1989	3,806,769	3,100,100	683,293	23,376
1990	3,938,919	3,176,190	734,929	27,800
1991	4,588,947	3,681,157	879,292	28,498
1992	4,208,709	3,450,731	736,811	21,167
1993	4,828,460	3,886,806	928,089	13,565
1994	3,945,739	3,688,253	254,699	2,787
1995	4,079,701	3,827,670	241,840	10,191
1996	4,802,901	3,944,349	858,552	NA
1997	4,952,661	3,949,189	869,911	133,561
1998	4,881,065	4,047,656	833,409	NA
1999	4,965,320	4,011,509	917,026	36,785
2000	4,844,386	4,069,588	774,798	NA
2001	5,473,603	4,518,760	954,843	NA
2002	5,402,097	4,491,668	910,429	NA

— Represents zero.

NA Not available.

SOURCE: New York State Department of Environmental Conservation,  
Division of Operations.<sup>1</sup> All program areas operated by the Division of Operations and used by  
the public.

TABLE E-29

**Revenues from State Parks and Historic Sites  
New York State by Region  
Fiscal Years 1996-97 — 2002-03**

	Revenue ( thousands)						
	1996-97	1997-98	1998-99	1999-2000(r)	2000-01(r)	2001-02	2002-03
All Locations <sup>1</sup>	\$ 48,919	\$ 53,963	\$ 55,269	\$ 59,793	\$ 58,596	\$ 63,020	\$ 63,408
Region <sup>1</sup>							
Allegany	2,329	2,601	2,734	2,829	2,811	2,858	2,913
Central New York	3,081	3,224	3,310	3,589	3,358	3,627	3,869
Finger Lakes	3,981	4,174	4,326	4,663	4,431	4,831	4,964
Genesee	2,226	2,374	2,416	2,437	2,324	2,525	2,567
Long Island	18,871	21,518	21,222	24,270	23,868	26,068	26,095
New York City	769	705	679	898	1,064	1,230	1,762
Niagara Frontier	5,866	6,398	6,918	7,506	6,867	7,021	6,918
Palisades	3,160	3,727	3,918	4,261	3,942	4,552	4,460
Saratoga/Capital District	1,821	2,167	2,154	1,429	2,020	1,962	2,023
Taconic	2,733	3,293	3,065	3,171	3,273	3,518	3,516
Thousand Islands	3,213	3,061	2,982	3,231	3,040	3,340	3,416
Albany Office	869	721	1,545	1,508	1,598	1,488	905

r Revised.

SOURCE: New York State Office of Parks, Recreation and Historic  
Preservation.<sup>1</sup> Not including Adirondack and Catskill Forest Preserves.

TABLE E-30

**Revenues from Thoroughbred and Harness Racing  
New York State — 1997-2002**

	1997	1998	1999	2000(r)	2001	2002
Revenue To New York State						
State Pari-Mutuel Taxes	\$ 41,101,815	\$ 37,392,557	\$ 37,195,134	\$ 33,647,559	\$ 30,562,022	\$ 30,246,367
Uncashed Winning Tickets	7,265,291	7,046,350	7,858,641	7,943,874	8,218,550	8,591,976
State Admission Taxes	255,628	267,512	255,666	257,213	245,755	282,804
NYRA Franchise Tax	2,268,000r	2,223,000	3,829,000	6,107,000	—	1,599,000
License, Fees and Fines:						
Track License Fees	106,500	116,700	109,700	664,300	93,800	97,800
Simulcast License Fees	112,500	114,500	57,500	130,000	119,000	130,500
Occupational License Fees	594,933	801,789	704,278	99,700	877,665	730,552
Fingerprint Fees <sup>1</sup>	192,277	227,644	211,628	226,416	183,610	186,275
Fines	54,990	245,015	113,375	94,000	96,575	99,950
Miscellaneous	—	—	1,586	—	—	—
Revenues From New York Betting	51,951,934r	48,435,067	50,336,508	49,170,062	40,396,977	41,965,224
Attendance at Racetracks	3,423,265	3,439,090	3,330,876	3,122,313	3,030,462	3,013,151
Thoroughbred Tracks	2,596,616	2,639,876	2,643,788	2,567,184	2,542,614	2,542,512
Harness Tracks	826,649	799,214	687,088	555,129	487,848	470,639

— Represents zero.

SOURCE: New York State Racing and Wagering Board.

r Revised.

1 Fingerprint fees are paid over in full to the Federal Bureau of Investigation and the Division of Criminal Justice Services.

TABLE E-31

**Total Bets Placed at Regional Off-Track Betting Corporation Sites  
New York State — 2000-02**

(thousands)

	Total	NYS Thoroughbred Bets	NYS Harness Bets	Out-of-State Tracks Bets	Special Events
Capital Region					
2000	\$ 222,473,000	\$ 99,655,000	\$ 17,469,000	\$ 101,689,000	\$ 3,660,000
2001	229,435,000	95,948,000	15,227,000	114,471,000	3,789,000
2002	225,380,000	94,333,000	15,809,000	111,018,000	4,220,000
Catskill Region					
2000	139,033,000	50,245,000	17,466,000	68,903,000	2,419,000
2001	144,736,000	48,872,000	15,790,000	77,458,000	2,616,000
2002	144,620,000	48,778,000	15,832,000	76,857,000	3,153,000
Nassau Region					
2000	252,034,000	111,760,000	22,133,000	114,844,000	3,297,000
2001	258,206,000	109,522,000	19,743,000	125,170,000	3,771,000
2002	265,302,000	112,815,000	19,640,000	128,143,000	4,704,000
New York City					
2000	1,013,178,000	412,432,000	87,800,000	502,177,000	10,769,000
2001	1,014,631,000	389,498,000	79,965,000	533,794,000	11,374,000
2002	1,030,286,000	389,861,000	79,188,000	548,564,000	12,673,000
Suffolk Region					
2000	174,304,000	79,702,000	13,885,000	78,473,000	2,244,000
2001	186,821,000	81,193,000	12,295,000	90,752,000	2,581,000
2002	205,247,000	86,929,000	13,754,000	101,460,000	3,104,000
Western Region					
2000	174,039,000	66,231,000	18,574,000	86,511,000	2,723,000
2001	176,320,000	61,199,000	16,425,000	96,068,000	2,628,000
2002	171,888,000	56,034,000	17,584,000	95,457,000	2,813,000

NOTE: All figures are rounded.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report, 2000 and 2001 and 2002*.

TABLE E-32

**Attendance and Betting Dollars at Thoroughbred and Harness Tracks  
New York State — 2000, 2001 and 2002**

	Racing Days	Attendance	Total Dollars Bet <sup>1</sup>	Returned to Betterers	Association Fees	Breeders Fees	Pari-Mutuel Tax	Gross Purses Paid
<b>Thoroughbred Tracks</b>								
Saratoga Race Course								
2000	36	978,296	\$ 120,318,000	\$ 93,107,000	\$ 23,775,000	\$ 690,000	\$ 2,864,000	\$ 20,409,000
2001	36	1,011,669	133,579,000	107,941,000	22,643,000	845,000	2,289,000	20,520,000
2002	36	999,388	134,125,000	108,942,000	22,351,374	857,000	2,179,000	21,153,000
Aqueduct Racetrack								
2000	134	681,263	225,820,000	174,749,000	44,622,000	1,296,000	5,380,000	48,444,000
2001	134	638,303	221,054,000	178,624,000	37,460,000	1,398,000	3,786,887	48,400,000
2002	133	678,707	230,276,000	187,033,000	38,373,234	1,471,000	3,742,000	48,187,000
Belmont Park								
2000	88	685,035	162,215,000	125,529,000	32,054,000	931,000	3,864,000	44,452,000
2001	87	684,961	173,650,000	140,320,000	29,429,000	1,098,000	2,975,000	44,508,000
2002	88	667,235	163,846,000	133,085,000	27,307,587	1,047,000	2,663,000	45,738,000
Finger Lakes Race Track								
2000	167	222,590	31,388,000	24,845,000	6,036,000	157,000	351,000	11,585,000
2001	165	207,681	33,921,000	26,869,000	6,454,000	170,000	432,000	11,440,000
2002	161	197,182	33,368,000	26,277,000	3,453,000	167,000	473,000	10,617,000
<b>Harness Tracks</b>								
Batavia Downs								
2000a	—	—	—	—	—	—	—	—
2001a	—	—	577,000	453,000	112,000	4,000	8,000	—
2002	72	104,151	10,697,000	8,225,000	2,249,912	90,802	133,000	1,459,000
Buffalo Raceway								
2000	143	104,934	22,403,000	17,561,000	4,404,000	189,000	251,000	4,238,000
2001	127	87,022	19,423,000	15,243,000	3,796,000	164,000	222,000	3,732,000
2002	70	54,185	16,434,000	12,911,000	3,174,462	133,610	215,000	2,622,000
Monticello Raceway Management Inc.								
2000	213	64,429	21,125,000	16,601,000	4,121,000	182,000	223,000	6,071,000
2001	209	60,134	18,934,000	14,862,000	3,700,000	163,000	210,000	5,992,000
2002	221	62,941	19,575,000	15,385,000	3,803,000	166,000	225,000	6,816,000
Saratoga Raceway								
2000	143	95,159	27,944,000	22,218,000	5,217,000	174,000	351,000	3,725,000
2001	134	95,623	29,033,000	23,094,000	5,384,000	177,000	381,000	3,609,000
2002	122	NA	30,488,000	24,330,000	5,566,000	184,000	413,000	3,796,000
Vernon Downs								
2000	93	95,407	18,099,000	14,204,000	3,520,000	161,000	232,000	2,417,000
2001	84	71,378	21,767,000	17,189,000	4,132,000	189,000	293,000	2,394,000
2002	84	85,362	20,773,000	16,478,000	3,848,000	183,000	279,000	2,802,000
Syracuse Mile <sup>2</sup>								
2000	5	7,782	961,000	750,000	195,000	10,000	7,000	1,091,000
2001	5	6,414	936,000	731,000	190,000	9,000	7,000	1,092,000
2002	5	6,615	862,000	673,000	174,000	9,000	6,000	1,229,000
Yonkers Raceway								
2000	256	187,418	133,650,000	105,702,000	25,275,000	872,000	1,814,000	21,170,000
2001	252	167,277	127,317,000	100,855,000	23,785,000	924,000	1,768,000	20,733,000
2002	257	157,385	124,259,000	98,759,000	22,904,000	899,000	1,728,000	21,807,000

NOTE: All figures are rounded.

NA Not available.

a No living race.

1 Includes bets made at the tracks, as well as simulcasts from New York State and out of state tracks.

2 This meet operates only during the New York State Fair.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report, 2000 and 2001 and 2002*.

TABLE E-33

**Revenues, Expenditures and Other Financing Sources (Uses) — All Governmental Funds  
New York State, Fiscal Years 1985-86 — 2002-03  
(millions)**

Fiscal Year	Revenues				Expenditures					Net Other Financing Sources (Uses) <sup>1</sup>	Excess (Deficiency) <sup>2</sup>
	All Revenues	Taxes And Fees	Federal Grants	Miscellaneous Other	All Expenditures	Grants To Local Governments	State Agency Operations	Debt Service	Capital Projects		
1985-86	\$ 35,052	\$ 22,255	\$ 8,999	\$ 3,798	\$ 35,408	\$ 21,405	\$ 11,165	\$ 1,315	\$ 1,521	\$ 655	\$ 301
1986-87	38,769	25,030	9,436	4,303	38,425	23,390	11,951	1,335	1,749	1,627	1,971
1987-88	39,719	25,688	9,429	4,602	39,783	23,656	13,013	1,394	1,720	-116	-180
1988-89	42,478	26,849	10,305	5,324	43,508	26,228	13,604	1,561	2,115	30	-1,000
1989-90	45,364	27,858	11,535	5,971	46,710	28,190	14,626	1,716	2,178	-173	-1,173
1990-91	47,413	28,019	13,162	6,232	50,919	31,012	15,358	1,848	2,701	2,106	-1,400
1991-92	50,271	29,698	15,743	4,830	49,546	34,043	11,495	1,716	2,292	1,216	1,941
1992-93	53,668	31,333	16,934	5,401	51,032	35,016	11,641	2,076	2,299	-2	2,634
1993-94	56,759	32,974	18,627	5,158	54,352	37,716	12,074	2,039	2,523	-1,356	1,051
1994-95	60,472	32,994	21,481	5,997	60,887	42,551	13,082	2,132	3,122	-1,376	-1,791
1995-96	62,721	33,507	22,272	6,942	61,778	43,219	13,221	2,334	3,004	-510	433
1996-97	65,413	35,000	22,329	8,084	62,678	43,463	14,055	2,387	2,773	-675	2,060
1997-98	67,340	36,374	23,268	7,698	64,108	44,667	13,730	2,728	2,983	-1,432	1,800
1998-99	70,818	38,985	24,133	7,700	67,759	47,548	13,929	2,889	3,393	-1,736	1,323
1999-2000	77,269	41,888	25,367	10,014	75,571	51,386	14,426	3,317	3,442	-1,668	3,030
2000-01	82,741	45,153	26,722	10,866	79,101	56,093	15,881	3,679	3,448	-2,192	1,448
2001-02	82,986	41,676	29,942	11,368	84,459	60,761	16,909	3,392	3,397	-2,974	-4,447
2002-03	84,699	39,636	35,312	9,751	91,147	68,890	15,925	2,970	3,362	-2,144	-4,304

- 1 Includes proceeds from bonds and other financing arrangements, transfers from and to other funds, and payments on advance refundings.
- 2 Excess (deficiency) of operating revenues and other financing sources over (under) expenditures and other financing uses.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2003*.

TABLE E-34

**Revenues, Expenditures and Changes in Fund Balance (Deficit) Accounts — General Fund  
New York State — Fiscal Year Ended March 31, 2003  
(millions)**

	All General Fund Accounts	State Purposes	Local Assistance	Ear- marked Revenues	Tax Stabili- zation Reserve	Contin- gency Reserve	Community Projects	Fringe Benefit Escrow	Miscel- laneous	Eliminations
All Revenues	\$ 29,250	\$ 27,227	—	\$ 1,553	—	\$ 7	—	\$ 680	\$ 626	\$ -843
Taxes										
Personal Income	15,036	15,036	—	—	—	—	—	—	—	—
Consumption and Use	6,874	6,874	—	—	—	—	—	—	—	—
Business	3,448	3,448	—	—	—	—	—	—	—	—
Other	743	743	—	—	—	—	—	—	—	—
Miscellaneous	3,149	1,126	—	1,553	—	7	—	680	626	-843
All Expenditures	\$ 40,910	\$ 8,643	\$ 26,224	\$ 5,432	—	—	\$ 114	\$ 703	\$ 637	\$ -843
Local Assistance Grants										
Social Services	9,297	—	8,275	1,008	—	—	14	—	—	—
Education	14,632	—	14,575	33	—	—	22	—	2	—
Mental Hygiene	1,077	—	1,019	54	—	—	3	—	1	—
General Purpose	847	—	847	—	—	—	—	—	—	—
Health and Environment	1,515	—	520	985	—	—	9	—	1	—
Transportation	481	—	479	—	—	—	2	—	—	—
Criminal Justice	214	—	173	35	—	—	6	—	—	—
Miscellaneous	447	—	336	53	—	—	58	—	—	—
Departmental Operations										
Personal Service	7,234	4,915	—	2,195	—	—	—	—	124	—
Non-Personal Service	2,583	1,771	—	859	—	—	—	56	468	-571
Pension Contribution	139	139	—	—	—	—	—	—	—	—
Other Fringe Benefits	2,444	1,818	—	210	—	—	—	647	41	-272
Excess (Deficiency) of Revenues Over Expenditures	\$ -11,660	\$ 18,584	\$ -26,224	\$ -3,879	—	\$ 7	\$ -114	\$ -23	\$ -11	—
Net Other Financing Sources (Uses)	\$ 7,439	\$ -21,036	\$ 24,684	\$ 3,856	—	\$ -72	\$ 30	—	\$ -23	—
Transfers from Other Funds	11,980	7,805	25,494	4,057	—	9	30	—	80	\$ -25,495
Transfers to Other Funds	-4,805	-28,841	-1,074	-201	—	-81	—	—	-103	25,495
Proceeds from Financing Arrangements	264	—	264	—	—	—	—	—	—	—
Deficiency of Revenues and Other Financing Sources Over Expenditures and Other Financing Uses	\$ -4,221	\$ -2,452	\$ -1,540	\$ -23	—	\$ -65	\$ -84	\$ -23	\$ -34	—
Fund Balances (Deficits) at April 1, 2002, as restated	\$ 901	\$ 2,476	\$ -3,078	\$ 378	\$ 710	\$ 86	\$ 153	\$ 265	\$ -89	—
Fund Balances (Deficits) at March 31, 2003	\$ -3,320	\$ 24	\$ -4,618	\$ 355	\$ 710	\$ 21	\$ 69	\$ 242	\$ -123	—

— Represents zero.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2003*.

TABLE E-35

**Revenues and Other Financing Sources — All Governmental Funds  
New York State — Fiscal Years 1993-94 — 2002-03  
(as percentage of total)**

	1993-94	1994-95	1995-96	1996-97	1997-98	1998-99(r)	1999-2000	2000-01	2001-02(r)	2002-03
All Revenue and Financing Sources	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Revenues										
Taxes	46.17%	46.35%	44.20%	44.74%	44.93%	45.85%	46.58%	46.81%	43.65%	40.89%
Personal Income	23.12	23.34	22.86	22.95	23.09	24.71	26.24	28.31	25.33	22.66
Consumption and Use	12.07	12.81	11.88	11.86	12.02	11.95	11.90	11.56	11.58	11.77
Business	9.43	8.64	8.01	8.34	8.20	7.51	6.82	5.77	5.17	5.21
Other Taxes	1.55	1.56	1.45	1.59	1.62	1.68	1.62	1.17	1.57	1.25
Other Than Taxes	33.30%	38.60%	38.52%	38.88%	38.25%	37.43%	39.35%	38.90%	43.26%	46.48%
Federal Grants	26.08	30.17	29.37	28.55	28.74	28.38	28.21	27.64	31.36	36.42
Lottery	3.32	4.28	4.76	5.10	4.87	4.35	4.04	4.33	4.98	—
Patient Fees	0.44	0.52	0.51	0.52	0.49	0.45	0.42	0.44	0.44	3.43
Miscellaneous	3.46	3.63	3.88	4.71	4.15	4.25	6.68	6.49	6.48	6.63
Financing Sources	20.53%	15.05%	17.28%	16.38%	16.82%	16.72%	14.07%	14.29%	13.09%	12.63%

r Revised.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2003*.

TABLE E-36

**Expenditures — All Governmental Funds  
New York State — Fiscal Years 1993-94 — 2002-03  
(as percentage of total)**

	1993-94	1994-95	1995-96	1996-97	1997-98	1998-99(r)	1999-2000(r)	2000-01	2001-02	2002-03
All Expenditures and Financing Uses	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
All Program Area Expenditures (Local Assistance Grants)	53.59%	58.31%	57.32%	57.07%	56.43%	56.80%	59.25%	58.97%	59.79%	68.04%
Social Services	30.37	34.64	32.96	32.45	32.38	31.16	32.38	31.85	31.99	35.77
Education	17.31	17.57	17.78	17.99	18.07	19.14	20.05	20.41	20.73	21.02
Mental Hygiene	1.48	1.56	1.55	1.50	1.33	1.29	1.32	1.27	1.34	1.31
Health and Environment	1.14	1.20	1.74	1.79	1.65	1.92	2.32	2.71	2.87	3.01
General Purpose	1.27	0.95	1.10	1.07	0.73	1.09	1.06	1.03	0.96	0.84
Transportation	0.56	0.90	0.58	0.64	0.76	0.76	0.81	0.38	0.48	3.33
Criminal Justice	0.35	0.36	0.36	0.35	0.40	0.39	0.39	0.38	0.28	0.30
Miscellaneous	1.11	1.13	1.25	1.28	1.11	1.06	0.92	0.94	1.14	2.46
All Government Operations Expenditures (Other than Grants)	23.64%	25.13%	24.60%	25.23%	24.56%	24.14%	24.26%	24.20%	24.73%	21.98%
Personal Service	9.28	9.40	8.77	8.48	8.36	8.13	8.17	7.96	7.75	7.94
Non-personal Service	5.59	6.01	6.26	6.82	6.69	6.22	6.20	6.54	6.65	5.34
Capital Construction	3.59	4.28	3.98	3.64	3.77	4.05	3.96	3.63	3.40	3.32
Debt Service	2.90	2.92	3.09	3.13	3.44	3.45	3.82	3.87	3.39	2.93
Pensions and Other Fringe Benefits	2.28	2.52	2.50	3.16	2.30	2.30	2.11	2.20	2.52	2.45
Disaster Assistance — World Trade Center	X	X	X	X	X	X	X	X	1.02	X
Financing Uses	22.77%	16.56%	18.08%	17.70%	19.01%	19.05%	16.48%	16.83%	15.48%	9.98%

X Not applicable.

r Revised.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2003*.

TABLE E-37

**Space Leased by State Government, by Type of Space  
New York State by County — 2002**

County	Area Square Feet				Rent			
	Total	Office	Storage	Misc.	Total	Office	Storage	Misc.
New York State	14,175,598	12,461,823	1,345,671	368,104	\$ 209,485,860	\$ 200,222,265	\$ 6,122,486	\$ 3,141,109
New York City	4,496,291	4,413,207	76,881	6,203	107,179,328	106,114,333	910,056	154,939
Bronx	217,555	217,555	—	—	4,866,040	4,866,040	—	—
Kings	642,651	641,791	860	—	13,429,166	13,418,846	10,320	—
New York	2,828,525	2,780,383	45,899	2,243	73,774,668	73,083,126	648,181	43,361
Queens	760,870	726,788	30,122	3,960	14,151,335	13,788,202	251,555	111,578
Richmond	46,690	46,690	—	—	958,119	958,119	—	—
Rest of State	9,679,307	8,048,616	1,268,790	361,901	102,306,532	94,107,932	5,212,430	2,986,170
Albany	5,556,114	4,550,222	828,932	176,960	54,983,432	49,582,332	3,816,257	1,584,843
Allegany	870	870	—	—	9,792	9,792	—	—
Broome	258,204	252,771	842	4,591	1,077,375	1,046,813	6,000	24,562
Cattaraugus	22,036	22,036	—	—	204,822	204,822	—	—
Cayuga	56,245	7,854	48,391	—	164,111	76,947	87,164	—
Chataqua	17,984	17,984	—	—	179,402	179,402	—	—
Chemung	26,327	26,327	—	—	288,966	288,966	—	—
Chenango	43,711	43,711	—	—	268,738	268,738	—	—
Clinton	17,708	17,708	—	—	200,137	200,137	—	—
Columbia	3,477	3,477	—	—	44,859	44,859	—	—
Cortland	15,900	15,900	—	—	197,172	197,172	—	—
Delaware	1,206	1,206	—	—	8,895	8,895	—	—
Dutchess	76,878	76,878	—	—	1,035,948	1,035,948	—	—
Erie	419,985	390,136	14,617	15,232	4,988,168	4,722,978	60,255	204,935
Essex	12,085	12,085	—	—	115,320	115,320	—	—
Franklin	16,300	10,300	—	6,000	137,958	104,958	—	33,000
Fulton	12,786	12,786	—	—	108,467	108,467	—	—
Genesee	14,906	14,906	—	—	149,655	149,655	—	—
Greene	—	—	—	—	—	—	—	—
Herkimer	7,804	7,804	—	—	76,252	76,252	—	—
Jefferson	14,400	14,400	—	—	129,600	129,600	—	—
Lewis	1,200	1,200	—	—	14,400	14,400	—	—
Livingston	6,000	6,000	—	—	63,000	63,000	—	—
Madison	3,000	3,000	—	—	24,750	24,750	—	—
Monroe	298,378	296,893	1,370	115	3,482,965	3,450,990	4,230	27,745
Montgomery	—	—	—	—	—	—	—	—
Nassau	193,308	187,432	5,876	—	3,674,319	3,625,807	48,511	1
Niagara	16,812	16,812	—	—	175,698	175,698	—	—
Oneida	76,475	48,325	12,400	15,750	611,120	501,120	37,200	72,800
Onondaga	235,060	225,975	9,085	—	2,999,768	2,940,412	59,356	—
Ontario	15,691	15,691	—	—	189,794	189,794	—	—
Orange	58,342	58,042	300	—	812,089	809,089	3,000	—
Orleans	5,303	5,303	—	—	67,613	67,613	—	—
Oswego	12,000	12,000	—	—	183,683	183,683	—	—
Otsego	14,834	14,834	—	—	164,299	164,299	—	—
Rensselaer	665,865	622,717	33,826	9,322	7,195,275	6,881,333	149,476	164,466
Rockland	43,520	43,520	—	—	775,314	775,314	—	—
Saratoga	1,150	1,150	—	—	15,238	15,238	—	—
Schenectady	733,939	304,993	302,403	126,543	4,959,383	3,292,620	873,865	792,898
Schoharie	—	—	—	—	—	—	—	—
Schuyler	—	—	—	—	—	—	—	—
St Lawrence	15,437	15,437	—	—	170,220	165,120	—	5,100
Steuben	6,600	6,600	—	—	108,900	108,900	—	—
Suffolk	254,322	245,122	5,500	3,700	4,540,894	4,451,514	32,000	57,380
Sullivan	13,243	13,243	—	—	177,198	177,198	—	—
Tompkins	10,899	10,899	—	—	127,565	127,565	—	—
Ulster	15,789	12,101	—	3,688	95,173	76,733	—	18,440
Warren	20,416	20,185	231	—	258,150	257,284	866	—
Wayne	5,166	5,166	—	—	55,018	55,018	—	—
Westchester	354,034	351,815	2,219	—	6,927,706	6,906,187	21,519	—
Wyoming	7,598	4,800	2,798	—	67,931	55,200	12,731	—
Yates	—	—	—	—	—	—	—	—
Out of State	11,010	11,010	—	—	299,913	299,913	—	—
Washington, D.C.	3,278	3,278	—	—	129,809	129,809	—	—
Des Plains, IL	7,732	7,732	—	—	170,104	170,104	—	—
Out of Country	3,836	3,836	—	—	302,749	302,749	—	—
London, England	1,185	1,185	—	—	68,985	68,985	—	—
Montreal, Canada	720	720	—	—	13,241	13,241	—	—
Tokyo, Japan	1,931	1,931	—	—	220,523	220,523	—	—

— Represents zero.

SOURCE: New York State Office of General Services, Real Estate Planning and Development Group.

TABLE E-38

**Space Leased by New York State Government  
by Type of Space — 1996-2002**

Location	Area (square feet)				Rent			
	Total	Office	Storage	Misc.	Total	Office	Storage	Misc.
Within New York State								
In New York City								
1996	4,261,028	4,155,961	92,585	12,482	\$ 80,866,269	\$ 79,516,452	\$ 907,599	\$ 442,218
1997	3,919,756	3,820,265	86,945	12,546	76,764,964	75,414,395	863,591	486,978
1998	4,017,769	3,908,814	93,067	15,888	79,433,779	77,865,793	960,696	607,290
1999	3,925,337	3,819,694	92,780	12,863	78,334,207	76,867,617	945,970	520,620
2000	4,215,488	4,123,234	79,393	12,861	90,066,221	88,581,245	943,638	541,338
2001	4,349,560	4,255,530	79,496	14,534	98,595,618	96,731,412	966,564	897,642
2002	4,496,291	4,413,207	76,881	6,203	107,179,328	106,114,333	910,056	154,939
In Rest of State								
1996	7,647,928	6,074,632	1,207,180	366,116	\$ 78,338,800	\$ 70,795,911	\$ 4,629,773	\$ 2,913,116
1997	8,110,036	6,269,788	1,478,026	362,222	82,157,113	73,898,371	5,532,742	2,726,000
1998	8,261,334	6,556,806	1,262,526	442,002	86,627,069	78,539,686	5,457,906	2,629,477
1999	8,646,418	6,951,827	1,338,442	356,149	88,649,053	80,524,404	5,361,672	2,762,977
2000	8,554,754	7,153,312	1,297,286	104,156	90,026,719	83,142,517	5,383,873	1,500,329
2001	9,452,135	7,776,232	1,381,706	294,197	97,694,269	89,515,991	5,750,422	2,427,856
2002	9,679,307	8,048,616	1,268,790	361,901	102,306,532	94,107,932	5,212,430	2,986,170
Out of State								
Within USA <sup>1</sup>								
1996	8,556	8,556	—	—	\$ 198,466	\$ 198,466	—	—
1997	16,288	16,288	—	—	319,532	319,532	—	—
1998	11,010	11,010	—	—	245,022	245,022	—	—
1999	11,010	11,010	—	—	248,836	248,836	—	—
2000	11,010	11,010	—	—	248,836	248,836	—	—
2001	11,010	11,010	—	—	287,981	287,981	—	—
2002	11,010	11,010	—	—	299,913	299,913	—	—
Outside USA <sup>2</sup>								
1996	4,041	4,041	—	—	\$ 66,730	\$ 66,730	—	—
1997	3,857	3,857	—	—	72,651	72,651	—	—
1998	5,226	5,226	—	—	252,677	252,677	—	—
1999	5,226	5,226	—	—	266,812	266,812	—	—
2000	3,836	3,836	—	—	305,390	305,390	—	—
2001	3,836	3,836	—	—	285,954	285,954	—	—
2002	3,836	3,836	—	—	302,749	302,749	—	—

— Represents zero.

1 Includes locations in Washington, DC, Illinois and California (1986 to 1995). 1992 figures based on snapshot taken March 1993. Figures vary slightly on a daily basis.

2 Includes all locations in Canada, England and Japan.

SOURCE: New York State Office of General Services, Real Estate Planning and Development Group.

TABLE E-39

**State-Supported and State-Related Debt Per Capita  
New York State — Fiscal Years 1993-94 — 2003-04**

Fiscal Year Ended	State Population <sup>1</sup> (millions)	State Supported Debt		State Related Debt	
		Amount (millions)	State Supported Debt/Capita	Amount (millions)	State Supported Debt/Capita
1993-94r	18.4	\$ 26,696	\$ 1,451	\$ 35,014	\$ 1,903
1994-95r	18.5	28,169	1,523	36,359	1,965
1995-96r	18.5	31,009	1,676	38,593	2,086
1996-97r	18.6	33,130	1,781	37,478	2,015
1997-98r	18.7	34,247	1,831	36,999	1,979
1998-99	18.8	35,842	1,906	37,740	2,007
1999-2000r	18.9	36,797	1,947	38,584	2,041
2000-01r,a	19.0	36,958	1,945	38,663	2,035
2001-02r,a	19.1	36,977b	1,936	38,603	2,021
2002-03r	19.2	39,037	2,033	40,531	2,111
2003-04e	19.2	41,003	2,136	46,541c	2,424

r Revised.

e Estimated.

a All population figures for the years 1991 through 1999 are estimates based on the 1990 Census. However, the figure for 2000 reflects Census 2000 counts. As a result, the large increase in population from 1999 to 2000 cannot be attributed to an increase in the actual population.

b During fiscal year 2001-02, the State transferred \$350 million to several State Authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2003, these

funds were held in the form of cash and investments for this purpose. However, under the terms of the financing resolutions under which such bonds were issued, these bonds are still considered legally outstanding.

c Includes the issuance of tobacco bonds secured by a State contingent-contractual obligation.

1 For calendar year ending in State's fiscal year.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003*, <http://www.budget.state.ny.us/investor/ais/ais.html> (last viewed March 26, 2004).

TABLE E-40

**State-Supported and State-Related Debt Compared to Personal Income  
New York State — Fiscal Years 1993-94 — 2003-04**

Fiscal Year Ended	Personal Income <sup>1</sup> (billions)	All State-Supported Debt		All State-Related Debt	
		Amount (millions)	Percent <sup>2</sup>	Amount (millions)	Percent <sup>2</sup>
1993-94r	464.2	26,696	5.75%	35,014	7.54%
1994-95r	478.6	28,169	5.89	36,359	7.60
1995-96r	503.2	31,009	6.16	38,593	7.67
1996-97r	531.0	33,130	6.24	37,478	7.06
1997-98r	553.5	34,247	6.19	36,999	6.68
1998-99r	590.4	35,842	6.07	37,740	6.39
1999-2000r	616.3	36,797	5.97	38,584	6.26
2000-01r	665.8	36,958	5.55	38,663	5.81
2001-02r	684.7	36,977a	5.40	38,603	5.64
2002-03r	684.5	39,037	5.70	40,531	5.92
2003-04e	704.8	41,003	5.82	46,541b	6.60

r Revised.

e Estimated.

a During fiscal year 2001-02, the State transferred \$350 million to several State authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2003, a portion of these funds continue to be held in the form of cash and investments for this purpose. However, under the terms of the financing resolutions under which such bonds were issued, these bonds are still considered legally outstanding.

b Includes the issuance of tobacco bonds secured by a State contingent-contractual obligation.

1 For calendar year ending in State's fiscal year. Based on U.S. Department of Commerce, Bureau of Economic Analysis (BEA) data through May 2003. Personal income for 2002 and 2003 estimated by State Division of the Budget.

2 Expressed as a percent of personal income.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003*, <http://www.budget.state.ny.us/investor/ais/ais.html> (last viewed March 26, 2004).

**TABLE E-41**  
**General Obligation Debt of State Government**  
**New York State — As of March 31, 2003**

Purpose	Bonds Authorized	Bonds and Notes Issued	Authorized but Unissued	Amount of Debt Outstanding		
				Bonds	Notes	Total
All General Obligation Bonds	\$ 14,535,000,000	\$ 13,384,320,097	\$ 1,150,679,903	\$ 3,996,017,999	—	\$ 3,996,017,999
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	3,000,000,000	2,941,225,686	58,774,314	1,172,175,921	—	1,172,175,921
Clean Water/Clean Air						
Air Quality	230,000,000	167,808,527	62,191,473	137,285,574	—	137,285,574
Safe Drinking Water	355,000,000	325,022,673	29,977,327	255,121,346	—	255,121,346
Clean Water	790,000,000	404,082,625	385,917,375	390,096,295	—	390,096,295
Solid Waste	175,000,000	121,022,982	53,977,018	114,517,980	—	114,517,980
Environmental Restoration	200,000,000	25,952,161	174,047,839	23,266,565	—	23,266,565
Energy Conservation Through Improved Transportation						
Local Streets and Highways	100,000,000	100,000,000	—	—	—	—
Rapid Transit and Rail Freight	400,000,000	399,110,000	890,000	56,658,502	—	56,658,502
Environmental Quality Protection (1972)						
Air	150,000,000	125,595,000	24,405,000	31,388,125	—	31,388,125
Land and Wetlands	350,000,000	320,545,000	29,455,000	88,162,055	—	88,162,055
Water	650,000,000	643,300,000	6,700,000	226,151,206	—	226,151,206
Environmental Quality (1986)						
Land Acquisition/Development/Restoration	250,000,000	244,462,758	5,537,242	130,044,664	—	130,044,664
Waste Site Remediation	1,200,000,000	954,505,684	245,494,316	667,950,443	—	667,950,443
Higher Education Facilities	250,000,000	250,000,000	—	2,280,000	—	2,280,000
Housing						
Low Income	960,000,000	952,072,000	7,928,000	137,962,933	—	137,962,933
Middle Income	150,000,000	149,500,000	500,000	71,752,000	—	71,752,000
Urban Renewal	25,000,000	23,425,000	1,575,000	606,777	—	606,777
Outdoor Recreation Development	200,000,000	199,770,000	230,000	677,706	—	677,706
Park and Recreation Land Acquisition	100,000,000	99,200,000	800,000	156,440	—	156,440
Pure Waters	1,000,000,000	966,250,000	33,750,000	179,518,081	—	179,518,081
Rail Preservation	250,000,000	250,000,000	—	55,969,340	—	55,969,340
Rebuilding New York-Transportation Infrastructure Renewal						
Highways, Parkways and Bridges	1,064,000,000a	1,035,470,000	28,530,000	8,769,438	—	8,769,438
Ports, Canals and Waterways	49,360,000a	49,360,000	—	4,025,548	—	4,025,548
Rapid Transit, Rail and Aviation	136,640,000a	136,640,000	—	61,037,594	—	61,037,594
Transportation Capital Facilities						
Aviation	250,000,000	250,000,000	—	63,481,258	—	63,481,258
Highways	1,250,000,000	1,250,000,000	—	—	—	—
Mass Transportation	1,000,000,000	1,000,000,000	—	116,962,207	—	116,962,207

NOTE: Detail may not add to totals due to rounding.

SOURCE: New York State Office of the State Comptroller.

— Represents zero.

a Authorizations have been adjusted to reflect reallocations made by Chapter 54, of the Laws of 1990.

TABLE E-42

**Debt Service Requirements on State-Supported Debt  
New York State — As of March 31, 2003  
(millions)**

Fiscal Years Ending March 31	Total	General Obligation <sup>1</sup>	Local Government Assistance Corporation <sup>2</sup>	Other Financing Obligations
Total	\$ 60,954	\$ 5,190	\$ 6,785	\$ 48,979
2003-04	3,677	519	182	2,976
2004-05	3,786	475	288	3,023
2005-06	3,747	453	307	2,987
2006-07	3,642	441	306	2,895
2007-08	3,557	421	339	2,797
Thereafter	42,545	2,881	5,363	34,301

1 Debt Service Requirements on approximately \$779 million in general obligation variable rate bonds are calculated using the rate in effect as of 3/31/2003. Debt Service Requirements on \$220 million of general obligation variable rate bonds in commercial paper mode is calculated at the rate most recently remarketed as of 3/31/2003. The State's general obligation variable rate bonds are adjusted on a periodic basis depending on the mode that they are remarketed.

2 Debt Service Requirements on approximately \$893.6 million in LGAC Tax Exempt variable rate bonds are calculated using the rate in effect as of 3/31/2003.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 30, 2003* and the Division of the Budget website <<http://www.budget.state.ny.us>>.

TABLE E-43

**Capital Projects Financed by Selected Public Authorities  
New York State — Specified Dates  
(dollar amounts in millions)**

Authority and Status Date Program	Actual Cost
Housing Finance Agency (HFA) — as of 10-31-2003 Housing Programs (over 96,000 units)	\$ 5,125.5
Mobile Home Parks	10.3
	Current Mortgage Commitment
Health Program	
Health Facilities	\$ 531.3
Nursing Homes	272.6
Voluntary Hospitals	70.6
Community-Related Facilities	21.5
	Value <sup>1</sup>
Dormitory Authority (DA) — as of 3-31-2003 Includes Facilities Development Corporation (FDC) and Medical Care Facilities Finance Agency (MCFFA) <sup>2</sup>	\$ 37,025.5
Private Health Care Institutions	10,004.9
Independent Institutions	7,055.0
City University of New York	5,365.4
State University of New York	4,797.1
Mental Health	3,125.9
Mental Retardation and Developmental Disabilities	1,019.3
Court Facilities	1,280.1
Community Colleges Outside New York City	961.9
New York State Health Department	1,039.4
Other New York State Agencies	920.9
Municipal Facilities	726.3
Boards of Cooperative Educational Services (BOCES)	204.1
Alcoholism and Substance Abuse Services	210.8
Correctional Services	115.7
Supplemental Higher Education Loan Financing	95.0
State Education Department	103.7

— Represents zero.

1 Includes design and construction services for clients listed. Includes projects completed, under construction, or in design.

2 Effective September 1, 1995, these two agencies were merged into the Dormitory Authority. Includes projects under the auspices of the

former Division of Alcoholism & Alcohol Abuse and Division of Substance Abuse Services.

SOURCE: Housing Finance Agency and Dormitory Authority of the State of New York.

**TABLE E-44**  
**Outstanding State-Related Debt**  
**New York State — As of March 31, 2003(a)**  
(millions)

Authority and Program	Bonds Outstanding
Total State-Related Debt	\$ 40,531
State-Supported Debt <sup>1</sup>	39,037
General Obligation	3,996
Local Government Assistance Corporation	4,575
Other Lease-Purchase and Contractual-Obligation Financing Arrangements	30,466
Contingent Contractual-Obligation Financing	
DASNY/MCFFA-Secured Hospital Program <sup>2</sup>	975
Moral Obligation Financing	438
Housing Finance Agency	397
MCFFA-Hospitals and Nursing Homes	41
State-Guaranteed Debt	
Job Development Authority	81

a Amounts outstanding reflect original par amounts or original net proceeds in the case of capital appreciation bonds. Amounts outstanding do not reflect accretion of capital appreciation bonds or premiums received.

1 During fiscal year 2001-02, the State transferred \$350 million to several State authorities for the purpose of providing for the payment of \$355 million of State-supported debt. As of March 31, 2002, a portion of these funds remain held in the form of cash and investments for this purpose. However, under the terms of the

financing resolutions under which such bonds were issued, these bonds are still considered legally outstanding.

2 Includes bonds issued for the Secured Hospital Program, for which the State's contingent obligation, subject to annual appropriation, is to provide funds for debt service in the event there is a shortfall of revenues from other specified sources.

SOURCE: New State Office of the State Comptroller.

**TABLE E-45**  
**State Guaranteed Debt and Contingent Obligation Financing**  
**New York State — March 31, 2003**  
(millions)

Issuer	Bonds	Notes	Total
All Guaranteed Debt			
Job Development Authority	\$ 81	—	\$ 81
Contingent Obligation Financing			
Dormitory Authority/MCFFA <sup>1,2</sup>	\$ 975	—	\$ 975

— Represents zero.

1 Authorization expired March 1, 1998 per Section 7417(a) of the Unconsolidated Laws.

2 Medical Care Facilities Finance Agency.

SOURCE: New York State Office of the State Comptroller.

TABLE E-46

**Lease-Purchase and Other Financing Obligations**  
**New York State — March 31, 2003**  
(thousands)

Financing Agency and Program or Purpose	Outstanding <sup>1</sup>	Issued	Redeemed	Outstanding <sup>2</sup>
All Lease-Purchase and Other Financing Obligations	\$ 25,066	\$ 9,433	\$ 7,455	\$ 27,044
Public Benefit Corporations <sup>3</sup>	24,909	9,433	7,360	26,982
Housing Finance Agency	958	440	223	1,175
Local Government Assistance Corporation <sup>4</sup>	4,621	1,350	1,395	4,576
Dormitory Authority	5,421	414	392	5,443
Thruway Authority	7,133	1,965	1,690	7,408
Triborough Bridge and Tunnel Authority	316	—	24	292
Metropolitan Transportation Authority	1,840	2,395	1,840	2,395
Urban Development Corporation	4,274	2,705	1,750	5,229
Environmental Facilities Corporation	279	164	34	409
Energy Research and Development Authority	67	—	12	55
Certificates of Participation	157	—	95	62

— Represents zero.

1 As of April 1, 2002.

2 As of March 31, 2003.

3 Public Benefit Corporations are legally separate entities that are not operating departments of the State. The Corporations are managed independently, outside the appropriated budget process, and their powers generally are vested in a governing board. Corporations are established for a variety of purposes for the benefit of the State's citizenry such as economic development, financing and public transportation. They are not subject to State constitutional restrictions on the incurrence of debt, which apply to the State itself, and may issue bonds and notes within legislatively authorized amounts.

4 The New York Local Government Assistance Corporation was established by Chapter 220 of the Laws of 1990 (as amended by Chapter 2 of the Laws of 1991) to issue up to \$4.7 billion in long-term debt to finance certain local assistance aid payments plus amounts necessary to fund a capital reserve fund and other issuance costs. Issuance of the entire \$4.7 billion bond authorization as of March 31, 1996 eliminated the need for the State's annual spring borrowing.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2003*.

TABLE E-47

**Professional Service and Construction Contracts**  
**Awarded to Minority-Owned and Women-Owned Business Enterprises (M/WBE)**  
**by the State Office of General Services**  
**New York State — 1999-2002**

Type of Contract	1999	2000	2001	2002
Professional Service Contracts				
Total Dollar Value	\$ 31,159,049	\$ 52,967,205	\$ 134,866,055	\$ 12,2280,100
M/WBE Contract Value	\$ 2,644,445	\$ 4,767,048	\$ 2,226,194	\$ 3,200,319
M/WBE Participation (%)	8%	9%	2%	3%
Construction Contract — Emergency				
Total Dollar Value	\$ 77,117,797	\$ 53,364,044	\$ 47,864,270	\$ 52,992,545
M/WBE Contract Value	\$ 5,061,545	\$ 1,600,921	\$ 3,438,301	\$ 5,021,809
M/WBE Participation (%)	7%	3%	7%	9%
Construction Contracts				
Total Dollar Value	\$ 173,338,354	\$ 225,852,954	\$ 213,178,360	\$ 273,943,931
M/WBE Contract Value	\$ 11,254,875	\$ 7,697,000	\$ 14,621,884	\$ 33,341,088
M/WBE Participation (%)	6%	3.4%	7%	12%

SOURCE: New York State Office of General Services, Division of Contract Administration.

TABLE E-48

**Commodity Services and Technology Contracts Awarded to Resident Vendors,  
Minority Business Enterprises and Small Businesses<sup>1</sup>  
by the State Office of General Services  
New York State — 1996-2002**

	1996(r)	1997(r)	1998	1999	2000	2001	2002
--- Quantity of Commodity Contracts Awarded ---							
All Contracts Awarded <sup>2</sup>	1,450	1,528	1,619	1,604	2,046a	2,238a	1,799b
To Resident Vendors	1,043	1,137	1,115	1,110	1,129	904	839
To Small Businesses <sup>3</sup> in New York State	624	672	650	653	707	562	493
To Minority- and Women-Owned Business Enterprises	34	32	36	36	74	58	126
--- Estimated Contract Value ---							
All Contracts Awarded <sup>2</sup>	\$ 725,344	\$ 985,748,594	\$ 1,154,954,365	\$ 1,255,168,398	\$ 1,586,965,937a	\$ 2,797,652,242a	\$ 2,031,581,008b
To Resident Vendors	529,969	709,114,656	551,081,260	683,301,077	609,529,596	1,067,818,291	695,085,645
To Small Businesses <sup>3</sup> in New York State	226,579	214,263,191	219,387,411	226,390,445	263,424,682	384,984,967	213,385,495
To Minority- and Women-Owned Business Enterprises	9,181	16,506,576	11,702,062	13,191,453	26,353,247	41,182,879	74,471,630

NOTE: In New York State's legally established open competitive commodity procurement program, the contract value awarded to any specific segment of the business community will fluctuate.

A vendor's success in this system is dependent upon the ability to provide the lowest price among its competitors.

Detail may not add to total due to overlapping categories.

a Includes Extended Contracts, as follows: 2000 — Extended 315, Value \$238,100,424; 2001 — Extended 877, Value \$1,159,586,060.

b Includes extended contracts.

1 Non-State agencies on the mailing list for 2000 was 4,400, 2001 was 4,549 (through November 23, 2001), and 2002 was 4,786.

2 In 1995, OGS began issuing centralized services and technology contracts, based on 1994 legislation. Statistics for years 1995-1998 include commodity, services and technology contracts and contract values.

3 Self-identified.

SOURCE: New York State Office of General Services, Procurement Services Group.

TABLE E-49

**New York State Department of Transportation  
Construction Contract Awards by Major Category<sup>1</sup>  
New York State — Fiscal Years 2000-01 — 2002-03**

	2000-01		2001-02		2002-03	
	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)
Total Projects	437	\$ 1,129	451	\$ 1,335	409	\$ 1,262
Bridge Projects	82	288	77	308	102	611
Highway Pavement	233	480a	253	560a	218	403
Highway Capacity	14	176	14	225	9	148
Highway Safety	61	86	50	115	45	62
Other <sup>2</sup>	47	99	57	127	35	38

NOTE: In earlier editions, Bid Cost was reported in thousands of dollars. Therefore, data in this table do not match those in earlier editions.

a Includes Vendor in Place (VPP) paving contracts.

1 Reflects total construction cost shares.

2 Includes Operation and Maintenance contracts, as well as contracts for work on Canals, Parkways, Rail, Aviation and/or miscellaneous work. Reflects Total Project Costs.

SOURCE: New York State Department of Transportation, Construction Contract Letting Section, NYS Fiscal Years 1999-2000 through 2001-02.

**TABLE E-50**  
**Public Employees' Retirement Systems — Net Assets**  
**New York State — As of March 31, 2003**  
**(thousands)**

	Total	Employees' Retirement System	Police & Fire Retirement System
Net Assets Held In Trust For Pension Benefits	\$ 97,372,669	\$ 82,555,325	\$ 14,817,344
Assets	107,213,716	90,904,500	16,309,216
Investments	95,598,305	80,922,408	14,675,897
Short-Term Investments	771,160	652,774	118,386
Government Bonds	21,732,943	18,396,582	3,336,361
Corporate Bonds	10,286,738	8,707,556	1,579,182
Domestic Stocks	41,566,093	35,185,021	6,381,072
Global Stocks	9,790,937	8,287,869	1,503,068
Alternative Investments	5,564,864	4,710,567	854,297
Real Property Owned	4,161,973	3,523,042	638,931
Mortgage Loans	1,723,597	1,458,997	264,600
Securities Lending Collateral, Invested	9,037,112	7,649,768	1,387,344
Forward Foreign Exchange Contracts	232,918	197,161	35,757
Receivables	2,307,010	2,102,683	204,327
Other Assets	38,371	32,480	5,891
Liabilities	9,841,047	8,349,175	1,491,872
Securities Lending Collateral, Due to Borrowers	9,037,112	7,649,768	1,387,344
Forward Foreign Exchange Contracts	232,496	196,804	35,692
Investment Purchases	132,761	112,380	20,381
Benefits Payable	226,907	202,789	24,118
Other Liabilities	211,771	187,434	24,337

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report, for Fiscal Year Ended March 31, 2003*.

**TABLE E-51**  
**General Obligation Debt**  
**New York State — As of March 31, 2003**  
(millions)

Purpose	Total Authorized	Authorized but Unissued	Total Debt Outstanding
Total General Obligation Debt	\$ 14,535.0	\$ 1,150.7	\$ 3,996.4
Transportation Bonds	7,500.0	88.2	1,539.2
Transportation Capital Facilities (1967)			
Highways	1,250.0	—	—
Mass Transportation	1,000.0	—	117.0
Aviation	250.0	—	63.5
Rail Preservation (1974)	250.0	—	56.0
Energy Conservation Through Improved Transportation (1979)			
Local Streets and Highways	100.0	—	—
Rapid Transit and Rail Freight	400.0	0.9	56.7
Rebuild New York Through Transportation			
Infrastructure Renewal (1983)			
Highway Related Projects	1,064.0	28.5	8.8
Rapid Transit, Rail and Aviation Projects	136.6	—	61.0
Ports, Canals and Waterways	49.4	—	4.0
Accelerated Capacity and Transportation Improvements of the Nineties (1988)	3,000.0	58.8	1,172.2
Environmental Bonds	5,650.0	1,052.5	2,244.5
Park and Recreation Land Acquisition (1960)	100.0	0.8	0.2
Pure Waters (1965)	1,000.0	33.7	179.5
Outdoor Recreation Development (1966)	200.0	0.2	0.7
Environmental Quality (1972)			
Water	650.0	6.7	226.2
Air	150.0	24.4	31.4
Land and Wetlands	350.0	29.5	88.2
Environmental Quality (1986)			
Solid Waste Management	1,200.0	245.5	668.0
Land and Forests	250.0	5.5	130.0
Clean Water/Clean Air (1996)			
Safe Drinking Water	355.0	30.0	255.1
Clean Water	790.0	385.9	390.1
Solid Waste	175.0	54.0	114.5
Environmental Restoration	200.0	174.1	23.3
Air Quality	230.0	62.2	137.3
Housing Bonds	1,135.0	10.0	210.4
Low-Income Housing (through 1958)	960.0	0.5	138.0
Middle-Income Housing (through 1958)	150.0	0.5	71.8
Urban Renewal (1958)	25.0	1.6	0.6
Education Bonds			
Higher Education Facilities (1957)	250.0	—	2.3

— Represents zero.

SOURCE: New York State Division of the Budget, *Interim Annual Information Statement, State of New York: June 3, 2002*, <http://www.budget.state.ny.us/investor/ais/ais.html> (last viewed March 26, 2004).

TABLE E-52

## Acreage and Market Value of Taxable State-Owned Lands New York State by County — 2003

County <sup>1</sup>	Forest Preserve Lands <sup>2</sup>		Land Taxable for School Purposes Only <sup>3</sup>		Reforested Lands <sup>4</sup>		Other <sup>5</sup>	
	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>	Acres	Value <sup>6</sup>
New York State	2,810,351	\$ 2,223,324,180	36,271	\$ 707,761,864	581,278	\$ 415,538,796	266,876	\$ 1,064,488,496
Albany	—	—	—	—	3,259	3,790,448	—	—
Allegany	—	—	—	—	43,401	26,252,040	—	—
Broome	—	—	21	265,100	7,321	4,123,488	—	—
Cattaraugus	—	—	607	427,482	27,761	27,832,126	62,605	111,357,163
Cayuga	—	—	—	—	7,986	3,875,471	—	—
Chautauqua	—	—	—	—	15,191	16,358,800	—	—
Chemung	—	—	—	—	518	362,100	—	—
Chenango	—	—	—	—	56,256	43,726,837	19,441	19,175,550
Clinton	49,765	35,467,140	1,044	2,847,300	9,432	3,436,100	—	—
Columbia	—	—	—	—	2,215	3,739,900	—	—
Cortland	—	—	—	—	25,804	16,238,752	—	—
Delaware	42,016	39,390,543	—	—	15,723	17,886,495	—	—
Dutchess	—	—	6,625	54,760,542	817	983,143	—	—
Erie	—	—	364	695,000	—	—	—	—
Essex	517,222	374,690,209	—	—	—	—	—	—
Franklin	264,341	238,472,508	—	—	19,581	6,131,524	—	—
Fulton	75,626	44,677,883	—	—	1,450	754,432	20,047	32,774,920
Greene	79,213	90,185,623	885	1,664,500	4,149	4,564,200	—	—
Hamilton	789,724	612,103,428	—	—	—	—	486	148,336
Herkimer	354,700	141,160,516	2,389	1,698,270	3,241	2,259,324	3,403	1,583,050
Jefferson	—	—	—	—	5,198	2,532,700	14,362	5,475,195
Lewis	60,155	20,030,466	—	—	66,950	30,104,875	15,753	6,169,200
Livingston	—	—	2,129	6,450,200	2,591	2,952,100	49	41,400
Madison	—	—	130	120,200	20,636	16,863,300	—	—
Monroe	—	—	840	1,243,400	—	—	—	—
Montgomery	—	—	—	—	6,689	5,299,069	—	—
Oneida	9,206	3,441,739	6,689	7,054,346	35,707	18,600,432	4	121,709
Onondaga	—	—	—	—	2,199	1,954,200	—	—
Orange	—	—	799	4,164,484	5,140	31,277,915	42,119	177,053,559
Orleans	—	—	208	349,900	—	—	—	—
Oswego	—	—	—	—	14,672	10,237,593	12,524	8,701,200
Otsego	—	—	—	—	15,772	9,099,898	730	416,519
Rensselaer	—	—	—	—	3,423	2,613,205	7,600	7,817,873
Rockland	—	—	16	228,000	—	—	32,281	650,330,517
St. Lawrence	171,364	135,334,140	—	—	53,978	18,519,701	—	—
Saratoga	16,796	11,375,603	1,250	12,850,635	574	606,800	8,119	13,067,802
Schoharie	—	—	—	—	31,867	27,855,924	—	—
Schuyler	—	—	—	—	16,454	12,071,900	—	—
Seneca	—	—	667	1,440,376	—	—	—	—
Steuben	—	—	—	—	18,842	9,397,807	—	—
Suffolk	—	—	5,619	576,497,410	—	—	—	—
Sullivan	18,826	24,450,022	848	940,100	373	117,400	9,637	10,316,700
Tioga	—	—	—	—	9,318	4,634,800	—	—
Tompkins	—	—	—	—	18,490	20,774,900	—	—
Ulster	154,189	185,686,653	1,573	6,023,842	4,607	4,698,000	12,146	14,600,503
Warren	185,178	206,189,215	—	—	1,318	1,209,100	—	—
Washington	22,030	60,668,492	1,833	1,444,166	1,705	1,450,876	—	—
Westchester	—	—	83	24,821,411	—	—	—	—
Wyoming	—	—	1,652	1,775,200	—	—	—	—
Yates	—	—	—	—	670	351,121	5,570	5,337,300

— Represents zero.

1 Includes only the counties in which New York State owns taxable land.

2 Taxable for all purposes under Sections 532 (a) and (b) of the Real Property Tax Law.

3 Taxable under Section 536 of the Real Property Tax Law.

4 Taxable for all except county purposes under Section 534 of the Real Property Tax Law.

5 Taxable for all purposes under Sections 532 (c) - (i) of the Real Property Tax Law and Section 15-2115 of the Environmental Conservation Law.

6 Represents the sum of city and town assessment roll market values determined by local assessors rather than appraisal values formerly reported by the State Office of Real Property Services market survey. The change results from the Shandaken Decision: *Town of Shandaken v. SBEA*, 62 N.Y. 2d 442 (1984).

SOURCE: New York State Office of Real Property Services.

TABLE E-53

**State and Local Government Employment and Average Earnings  
United States by State — 1990 and 2001**

State	Total FTE <sup>1</sup> (thousands)				Average Monthly Earnings <sup>2</sup>			
	State		Local <sup>3</sup>		State		Local <sup>3</sup>	
	1990	2001	1990	2001	1990	2001	1990	2001
United States	3,840	4,173	9,239	11,206	\$ 2,472	\$ 3,521	\$ 2,364	\$ 3,258
Alabama	79	84	148	184	2,196	3,133	1,749	2,542
Alaska	22	24	21	26	3,543	3,871	3,491	3,939
Arizona	50	65	136	187	2,334	3,198	2,540	3,031
Arkansas	43	51	78	100	1,922	2,905	1,545	2,242
California	325	373	1,091	1,362	3,209	4,740	3,073	4,253
Colorado	54	67	130	167	2,765	3,892	2,292	3,192
Connecticut	58	66	98	116	3,018	4,130	2,854	3,828
Delaware	21	24	17	21	2,245	3,408	2,458	3,207
District of Columbia	X	X	57	45	X	X	3,024	3,989
Florida	160	188	497	616	2,095	3,102	2,247	2,914
Georgia	112	121	270	338	2,037	3,028	1,872	2,690
Hawaii	49	55	13	14	2,317	2,948	2,536	3,350
Idaho	19	23	37	53	2,100	3,128	1,772	2,581
Illinois	145	130	416	486	2,520	3,646	2,463	3,471
Indiana	89	87	196	238	2,496	3,147	2,036	2,788
Iowa	57	55	107	121	2,936	3,792	2,024	2,758
Kansas	50	44	104	127	2,077	3,141	1,979	2,669
Kentucky	75	77	114	151	2,141	3,147	1,823	2,388
Louisiana	85	94	155	186	2,047	2,876	1,713	2,357
Maine	22	22	42	53	2,352	3,225	1,978	2,628
Maryland	89	91	159	188	2,609	3,649	2,776	3,683
Massachusetts	93	95	196	237	2,541	3,900	2,554	3,517
Michigan	144	141	316	358	2,858	4,042	2,646	3,586
Minnesota	70	76	163	205	2,936	4,151	2,552	3,429
Mississippi	47	57	105	136	1,824	2,794	1,543	2,124
Missouri	74	93	171	213	1,965	2,793	2,052	2,728
Montana	17	19	35	34	2,072	2,926	1,959	2,615
Nebraska	29	33	68	79	2,075	2,940	2,089	2,842
Nevada	19	23	42	64	2,502	3,553	2,574	3,931
New Hampshire	16	19	33	47	2,352	3,225	2,215	2,904
New Jersey	112	137	304	322	2,859	4,236	2,698	4,181
New Mexico	40	48	57	73	2,100	2,800	1,783	2,531
New York	285	252	866	926	2,997	4,088	2,795	4,028
North Carolina	107	130	244	334	2,372	3,077	2,065	2,759
North Dakota	15	16	20	22	2,057	2,875	2,138	2,864
Ohio	139	139	385	468	2,510	3,477	2,236	3,176
Oklahoma	65	65	116	135	1,975	2,925	1,761	2,387
Oregon	52	54	100	125	2,302	3,366	2,322	3,424
Pennsylvania	127	154	361	387	2,437	3,586	2,403	3,382
Rhode Island	21	20	27	37	2,586	3,822	2,656	3,718
South Carolina	79	81	116	156	1,956	2,872	1,848	2,543
South Dakota	13	13	24	27	1,979	2,910	1,733	2,399
Tennessee	79	83	175	223	2,055	2,923	1,883	2,640
Texas	223	269	706	941	2,192	3,137	1,952	2,686
Utah	37	52	51	74	2,000	3,022	2,092	2,950
Vermont	13	14	18	23	2,302	3,304	2,090	2,647
Virginia	117	124	221	274	2,267	3,368	2,248	2,978
Washington	91	111	164	196	2,459	3,685	2,515	3,947
West Virginia	34	35	59	61	1,919	2,763	1,862	2,581
Wisconsin	67	69	183	219	2,503	3,967	2,372	3,279
Wyoming	11	11	24	29	2,045	2,837	2,110	2,744

NOTE: Detail may not add to totals due to rounding.

X Not applicable.

1 FTE = Full-Time Equivalent. Employment totals includes all persons on government payrolls during one pay period on the month of October; includes employees of central departments and agencies of major dependent agencies. Also includes paid officials and temporary employees.

2 For full-time employees.

3 Estimates subject to sampling variation.

SOURCE: *Statistical Abstract of the United States, 2003*, <http://www.census.gov/prod/2004pubs/03statab/stlogov.pdf> (last viewed March 5, 2004); United States Bureau of the Census, 1990, *Public Employment*, series GE, No. 1, annual; 2001, *Government Employment*, March 2001.

TABLE E-54

**Work Force in State Government by Geographic Location**  
**New York State — January 1999-January 2003**  
 (full-time equivalents)

County/Location	Total Full-Time Equivalents <sup>1</sup>				
	1999	2000	2001	2002	2003
New York State <sup>2</sup>	166,406.23	165,382.29	166,613.09	166,962.38	164,923.55
New York City	28,363.60	27,791.53	27,477.13	27,298.02	26,740.41
Bronx	2,610.79	2,564.71	2,560.88	2,628.16	2,643.94
Kings	5,856.12	5,306.83	5,470.19	5,175.29	5,244.19
New York	12,174.77	12,332.24	11,897.11	11,719.07	11,223.57
Queens	5,306.60	5,191.69	5,149.93	5,366.68	5,282.42
Richmond	2,415.32	2,396.06	2,399.02	2,408.82	2,346.29
Rest of State	138,042.63	137,590.76	139,135.96	139,664.36	138,183.14
Albany	35,067.56	35,053.37	35,196.20	35,388.72	34,497.89
Allegany	275.00	275.00	275.00	270.50	269.00
Broome	3,487.67	3,563.89	3,699.55	3,731.33	3,700.63
Cattaraugus	1,265.51	1,280.61	1,241.78	1,232.68	1,228.83
Cayuga	1,640.50	1,630.40	1,576.95	1,549.21	1,505.76
Chautauqua	1,166.47	1,166.05	1,181.16	1,161.54	1,167.74
Chemung	1,734.55	1,719.25	1,706.80	1,697.80	1,695.60
Chenango	625.32	643.57	675.85	729.85	915.15
Clinton	2,323.45	2,327.70	2,308.65	2,267.97	2,229.60
Columbia	742.65	759.45	753.45	730.35	721.85
Cortland	514.55	517.67	524.08	533.83	544.63
Delaware	536.50	547.80	536.60	543.80	518.50
Dutchess	6,482.55	6,329.94	6,322.85	6,032.16	5,826.81
Erie	11,131.46	9,693.72	9,754.37	9,861.06	9,685.35
Essex	767.55	768.05	774.85	764.30	756.10
Franklin	2,483.90	3,081.70	3,151.30	3,189.90	3,184.70
Fulton	787.60	779.10	799.50	790.80	754.35
Genesee	472.00	478.52	489.65	503.50	524.75
Greene	1,403.50	1,409.48	1,407.30	1,390.80	1,363.10
Hamilton	205.70	196.50	232.35	247.00	235.70
Herkimer	273.70	274.81	284.00	288.30	286.70
Jefferson	1,654.20	1,627.45	1,610.15	1,561.65	1,534.35
Lewis	148.80	157.25	156.70	163.95	165.05
Livingston	2,095.64	2,091.50	2,054.00	2,013.41	1,936.16
Madison	402.60	399.80	395.85	384.15	378.55
Monroe	3,799.15	3,879.16	3,941.88	4,013.69	4,128.83
Montgomery	190.50	189.50	183.50	182.50	177.00
Nassau	1,573.97	1,528.05	1,582.07	1,526.05	1,489.00
Niagara	414.25	422.75	429.80	460.80	478.55
Oneida	6,399.17	6,385.51	6,384.14	6,240.64	6,190.57
Onondaga	5,522.73	5,576.74	5,638.31	5,813.19	5,903.25
Ontario	203.55	202.50	215.50	224.37	229.68
Orange	3,165.83	3,116.83	3,163.34	3,100.78	3,056.93
Orleans	1,369.95	1,407.20	1,391.50	1,303.00	1,274.20
Oswego	623.65	616.00	590.07	574.20	572.95
Otsego	518.75	533.50	545.15	563.95	554.00
Putnam	186.65	184.30	172.75	181.45	183.10
Rensselaer	1,090.31	1,478.75	1,603.10	2,054.27	2,146.17
Rockland	3,611.19	3,358.88	3,374.11	3,441.52	3,527.47
St. Lawrence	2,552.81	2,555.58	2,565.11	2,479.91	2,391.38
Saratoga	1,513.42	1,522.56	1,511.51	1,524.41	1,537.21
Schenectady	966.27	973.68	1,168.17	1,183.82	1,187.10
Schoharie	359.80	367.15	371.50	370.50	363.00
Schuyler	238.20	247.70	242.20	234.10	229.60
Seneca	559.90	574.90	1,213.70	1,227.20	1,200.90
Steuben	610.00	611.47	590.90	590.60	584.90
Suffolk	10,479.80	10,478.89	10,491.57	10,535.27	10,327.00
Sullivan	1,094.90	1,085.80	1,083.00	1,069.20	1,048.90
Tioga	56.00	56.00	67.55	103.65	105.15
Tompkins	570.20	580.00	575.70	605.55	577.90
Ulster	3,077.61	3,129.87	3,190.00	3,225.95	3,319.68
Warren	300.80	331.06	334.10	343.65	336.40
Washington	1,430.10	1,433.05	1,429.47	1,421.35	1,409.30
Wayne	1,457.80	1,442.23	1,416.90	1,401.90	1,351.53
Westchester	4,372.19	4,430.47	4,497.05	4,622.83	4,693.37
Wyoming	1,789.25	1,781.70	1,785.37	1,759.60	1,730.27
Yates	16.50	21.00	22.00	21.00	18.00
Out of State	238.50	315.40	262.00	234.90	NA
Statewide	X	X	X	X	162.00
Undertermined	X	X	X	X	71.00
			--- IN CITIES ---		
New York City	27,874.55	28,555.18	28,339.33	26,108.53	NA
Albany	32,755.00	34,290.37	34,380.57	32,243.16	NA
Buffalo	6,380.66	5,314.30	5,452.64	5,185.19	NA
Rochester	2,784.94	2,899.22	2,966.10	2,788.88	NA
Syracuse	4,813.50	5,124.02	5,308.41	4,902.58	NA
Utica	1,372.60	1,598.92	1,626.75	1,527.21	NA

NOTE: Detail may not add to totals due to rounding.

NA Not available.

X Not applicable.

1 Includes employees in the classified State service of the Executive Branch (including SUNY) except the Division of State Police and the Palisades Park Commission. Seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included. Employees on leave with half pay are included; employees on leave without pay are excluded. Full-time

equivalent calculations are based on percentage of time actually worked. For example, an employee working one half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full pay is counted as 1.0 FTE. An employee on leave at half pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.

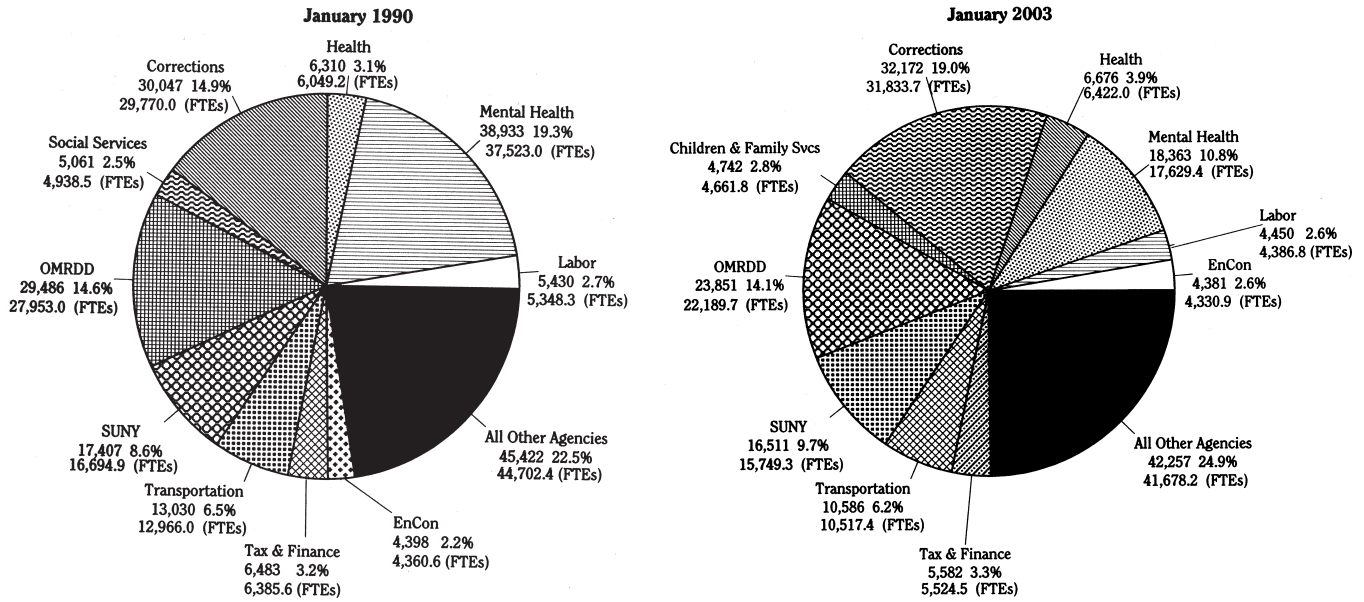
2 Includes FTEs located outside New York State.

SOURCE: New York State Department of Civil Service, Information Resource Management Division.



FIGURE E-5

Employment Trends in the Ten Largest Agencies  
New York State — January 1990 and January 2003



RANK BY NUMBER OF EMPLOYEES

Agency	Jan 2003	Jan 1990	Percent Growth 1990-2003	Agency	Jan 2003	Jan 1990	Percent Growth 1990-2003
Corrections	1	2	9.11%	Health	6	7	-5.80%
OMRDD	2	3	-38.74%	Tax and Finance	7	6	-13.90%
Mental Health	3	1	-38.89%	Children & Family Svcs.	8	—	100.00%
SUNY	4	4	-5.15%	Labor	9	8	-19.32%
Transportation	5	5	-18.76%	Environmental Conservation	10	10	1.18%

— Represents zero.

SOURCE: New York State Department of Civil Service, IRM/Decision Support System.

TABLE E-55

**Work Force in State Government by Agency  
New York State — January 2003**

Agency	Number of Employees	Classified FTEs <sup>1</sup>						
		Total FTEs <sup>1,2</sup>	Competitive	Non-competitive <sup>3</sup>	Exempt <sup>4</sup>	Labor <sup>5</sup>	Pending Non-competitive	Pending Exempt
All Agencies	169,571	164,923.55	134,385.00	22,893.45	2,536.53	4,901.27	34.40	172.90
Departments								
Agriculture and Markets	559	553.55	486.55	42.00	24.00	1.00	—	—
Audit and Control (Comptroller)	2,400	2,370.55	2,109.95	121.70	125.90	6.00	—	7.00
Banking	599	587.00	498.00	56.00	28.00	2.00	1.00	2.00
Civil Service	660	648.46	577.56	59.20	9.70	1.00	1.00	—
Public Employment Relations Board	42	40.60	23.10	10.50	7.00	—	—	—
Corrections	32,172	31,833.65	29,411.69	2,356.36	56.60	6.50	1.50	1.00
Economic Development	233	229.26	159.76	36.50	31.00	1.00	—	1.00
Education	3,354	3,265.85	2,713.09	376.26	73.30	101.20	—	2.00
Environmental Conservation	4,381	4,330.90	3,498.30	511.50	51.10	269.00	—	1.00
Executive Divisions								
Alcoholic Beverage Control	171	169.40	150.40	11.00	7.00	1.00	—	—
Budget	377	370.50	260.20	82.70	26.80	—	—	0.80
Criminal Justice Services	766	750.90	682.30	50.60	17.00	1.00	—	—
Housing and Community Renewal	1,011	997.50	898.70	49.00	35.90	13.00	0.90	—
Human Rights	205	203.50	143.50	50.00	6.00	3.00	1.00	—
Lieutenant Governor, Office of	5	4.95	—	—	4.95	—	—	—
Military and Naval Affairs	3	2.80	0.80	2.00	—	—	—	—
Parole	2,243	2,223.89	2,140.89	65.00	11.00	5.00	—	2.00
Probation and Correctional Alternatives	34	33.80	28.80	3.00	2.00	—	—	—
Real Property Services	412	402.75	392.75	3.00	5.00	2.00	—	—
Veterans' Affairs	116	114.50	97.50	11.00	6.00	—	—	—
Boards								
Consumer Protection	30	30.00	9.00	3.00	18.00	—	—	—
Crime Victims	108	105.90	95.90	8.00	2.00	—	—	—
Elections	37	36.50	20.50	—	16.00	—	—	—
Racing and Wagering	210	207.90	34.50	85.00	64.40	—	12.00	12.00
Offices								
Advocate for the Disabled	17	15.90	3.00	10.90	2.00	—	—	—
Aging	149	144.15	127.95	8.20	7.00	1.00	—	—
Capital Defenders	74	73.60	—	16.00	54.60	—	—	3.00
Employee Relations	90	88.15	23.30	7.00	57.85	—	—	—
General Services	2,043	2,027.54	1,171.90	309.50	59.15	478.99	3.00	5.00
Parks, Recreation and Historic Preservation	2,941	2,928.00	1,164.25	1,344.75	11.00	406.00	—	2.00
Prevention of Domestic Violence	33	32.90	5.00	25.90	2.00	—	—	—
Public Security	15	15.00	15.00	—	—	—	—	—
Regulatory Reform	38	37.10	8.30	13.00	14.80	—	1.00	—
Science, Technology and Academic Research	31	30.40	15.00	9.00	6.40	—	—	—
State Emergency Management	61	61.00	58.00	3.00	—	—	—	—
State Inspector General	73	72.80	—	30.00	42.80	—	—	—
Technology	722	715.20	674.20	33.00	1.00	1.00	—	6.00
Welfare Inspector General	9	9.00	9.00	—	—	—	—	—
Commissions								
Correction	33	33.00	29.00	3.00	1.00	—	—	—
Quality of Care for the Mentally Disabled	91	89.45	18.80	57.65	13.00	—	—	—
Councils								
Arts	58	56.85	12.00	36.85	7.00	—	—	1.00
Children and Families	20	19.70	3.00	1.00	15.70	—	—	—
Other								
Adirondack Park Agency	72	72.00	43.00	21.00	6.00	2.00	—	—
Executive Chamber	196	192.94	8.00	27.00	157.94	—	—	—
Family Assistance								
Children and Family Services	4,742	4,661.79	2,383.69	2,222.80	47.30	6.00	2.00	—
Temporary and Disability Assistance	2,514	2,488.71	2,280.31	151.20	36.70	19.50	—	1.00
Health	6,676	6,422.04	4,722.20	1,490.00	88.04	114.00	2.00	5.80
Insurance	911	902.94	818.34	63.80	17.80	—	3.00	—
Labor	4,450	4,386.75	4,151.25	185.70	42.00	6.80	—	1.00
State Insurance Fund	2,726	2,711.75	2,493.25	188.00	25.00	5.50	—	—
Workers' Compensation Board	1,651	1,632.05	1,505.95	57.00	46.10	20.00	2.00	1.00
Law (Attorney General)	1,735	1,704.80	736.70	213.40	627.40	11.00	2.00	114.30
Medical Fraud Control Unit	305	302.35	298.35	4.00	—	—	—	—
Lottery	329	326.40	301.90	14.50	10.00	—	—	—

(Continued on the following page)

TABLE E-55 (continued)

**Work Force in State Government by Agency  
New York State — January 2003**

Agency	Number of Employees	Total FTEs <sup>1,2</sup>	Classified FTEs <sup>1</sup>						
			Competitive	Non-competitive <sup>3</sup>	Exempt <sup>4</sup>	Labor <sup>5</sup>	Pending Non-competitive	Pending Exempt	
Mental Hygiene									
Alcoholism and Substance Abuse Services	995	968.15	815.85	132.30	14.00	6.00	—	—	
Mental Health	18,363	17,629.39	15,209.16	1,826.33	18.00	575.90	—	—	
Mental Retardation and Developmental Disabilities	23,851	22,189.74	20,531.23	1,306.61	44.05	306.85	—	1.00	
Motor Vehicles	3,387	3,280.18	3,126.88	95.80	45.50	12.00	—	—	
Public Service	573	559.60	482.20	9.00	66.40	2.00	—	—	
State	809	795.34	679.64	35.75	72.95	7.00	—	—	
Taxation and Finance	5,582	5,524.49	5,154.99	114.20	55.00	200.30	—	—	
Transportation	10,586	10,517.35	6,777.50	3,691.85	31.00	16.00	—	1.00	
Commissions									
Investigation	24	24.00	—	—	24.00	—	—	—	
Lake George Park	9	9.00	6.00	3.00	—	—	—	—	
Public Benefit Corporations									
Bridge Authority, New York State	153	153.00	79.00	16.00	1.00	57.00	—	—	
Canal Corporation	591	584.60	272.00	226.60	3.00	83.00	—	—	
Financial Control Board for New York City	15	14.80	—	—	14.80	—	—	—	
Higher Education Services Corporation	797	782.55	724.05	35.50	14.00	9.00	—	—	
Housing Finance Agency	68	65.50	48.00	2.00	11.50	4.00	—	—	
Thruway Authority	3,973	3,963.59	2,895.39	987.70	25.50	53.00	—	2.00	
Other									
Labor Management Committee	62	60.60	11.00	—	49.60	—	—	—	
Northeast Queens Nature and Historic Preserve	2	1.50	—	1.00	0.50	—	—	—	
Teachers' Retirement System	287	282.00	271.50	5.50	—	5.00	—	—	
State University									
Administration, Colleges and Universities	16,511	15,749.30	9,786.23	3,863.84	17.50	2,079.73	2.00	—	

— Represents zero.

1 Full-time equivalents.

2 Includes classified service of Executive branch, seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included in the data. Employees on leave with half-pay are included; employees on leave without pay are excluded. Full-time equivalent calculations are based on percentage of time actually worked. For example, an employee working one-half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full-pay is counted as 1.0 FTE. An employee on leave at half-pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.

3 Consists of those positions for which it is practicable to examine applicants as to their qualifications, but not practicable to conduct examinations on a competitive basis. For the most part, this class consists of skilled trades, although it also includes some positions of a

higher level administrative, scientific or technical character and positions involving (a) a confidential relationship between incumbent and appointment officer or (b) the influencing of policy.

4 Defined in the Civil Service Law to include one secretary of each department and temporary State commission, and deputies authorized to act generally for and in place of their principals. Any other subordinate positions for which competitive or non-competitive examination would not be practicable may be placed in the exempt class.

5 Consists of unskilled labor jobs. There is no requirement that labor positions be listed in the rules. Labor class positions are listed in the Regulations of the Civil Service Commission.

SOURCE: New York State Department of Civil Service, Information Resource Management Division.

TABLE E-56

**Public Employment Relations Board Activities  
New York State — Fiscal Years 1999-2000 — 2003-04**

Activity	1999-2000	2000-01	2001-02	2002-03	2003-04
<b>Conciliation</b>					
Negotiating Units	4,263	4,310	4,350	4,452	4,460
Contracts Negotiable	2,422	2,377	2,250	2,145	2,320
Settled or Negotiations Continue Without Third-Party Assistance	2,050	2,042	1,910	2,307	1,982
Brought to PERB for Assistance	372	335	340	317	338
<b>Impasses Resolved</b>					
Settled by Mediation	291	234	254	209	178
Settled by Mediation by Fact-finder	30	26	14	11	10
Settled by Negotiations Based on Fact-finding Report	26	23	13	14	15
Settled by Acceptance of Fact-finding Report	4	6	8	20a	3
Settled by Post Fact-finding Conciliation	8	9	5	—	1
Settled by Arbitration	20	10	13	8	11
Closed for Other Reasons	38	28	34	21	12
<b>Representation</b>					
Petitions Received	115	114	171	91	104
Director's Decisions	35	31	29	24	18
Board Decisions	12	8	9	2	7
Board Certifications	30	31	27	19	19
Petitions Withdrawn	73	61	111	62	66
Elections	14b	14c	11d	6f	10g
<b>Clarification/Unit Placement Cases</b>					
Cases Pending at Beginning of Year	29	46	31	49	36
Applications Received	89	68	67	64	60
Director's Decisions	17	22	6	9	16
Board Decisions	5	5	1	4	4
Withdrawn After Conference	58	53	45	59	33
Cases Pending at End of Year	46	31	49	36	60
<b>Improper Practices</b>					
Cases Pending at Beginning of Year	515	411	366	405	486
Charges Filed During Year	865	925	799	908	786
Administrative Law Judge Decisions	170	159	104	120	128
Board Decisions	61	50	22	38	37
Charges Settled by Agreement, Withdrawn or Closed	796	770	691	703	660
Cases Pending at End of Year	411	366	405	486	542
<b>Management/Confidential Cases</b>					
Cases Pending at Beginning of Year	14	21	16	14	13
Applications Received	46	42	30	42	28
Director's Decisions	27	35	23	29	19
Board Decisions	1	—	2	1	—
Withdrawn After Conference	10	11	10	11	10
Cases Pending at End of Year	21	16	14	13	19
<b>Work Stoppages</b>					
Strikes	2	3	2	2	—

— Represents zero.

a Includes 13 impasses for which 1 fact finding report was issued.

b 25,754 employees involved.

c 1,580 employees involved.

d 1,901 employees involved.

f 2,519 employees involved.

g 1,182 employees involved.

SOURCE: New York State Public Employment Relations Board.

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## Glossary E

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### Budgetary and Financial Terms

**Aid To Localities:** See Glossary for Section F.

**All-Funds:** A term which refers to all State government funds within the following fund types: General, Special Revenue, Capital Projects, Debt Service, Internal Service, Fiduciary and Enterprise.

**Appropriation:** A statute authorizing a government (e.g., the State) to spend money to achieve a specified purpose.

**Attrition:** A method of achieving a reduction in personnel by not refilling positions vacated through resignation, reassignment, transfer, retirement or means other than layoffs.

**Block Grant:** An aid payment from one level of government to another that allows the grantee a high degree of discretion in the allocation of funds. [See also: Categorical Grant]

**Capital Projects:** A category of appropriations used to support design, construction, reconstruction or major repair of highways, bridges, parks, housing or other physical facilities.

**Capital Projects Fund:** A fund from which the State pays the costs of construction projects; this fund consists primarily of bond proceeds and of moneys transferred from the General Fund.

**Categorical Grant:** An aid payment from one level of government to another that requires the grantee to allocate funds for a specific purpose.

**Debt Service:** A category of appropriations used for the payment of principal and interest on debt and other contractual obligations.

**Dedicated Tax:** A tax levied to support a specific government program or purpose.

**Disbursement:** An actual cash payment.

**Encumbrance:** A method of reserving all or a portion of an appropriation for future expenditure.

**Enterprise Fund:** A type of fund used to account for operations that are financed and carried on much like the activities of private enterprise (e.g., the commissary in the Department of Correctional Services).

**Entitlement Programs:** Certain programs, usually of the Federal government, which by law must provide funding to any recipient who meets specified eligibility requirements (e.g., Social Security).

**Expenditures:** A liability that reflects a decrease in net financial resources.

**Fiduciary Fund:** A type of fund used in situations where the State serves as the custodian of a trust or acts as an agent for an individual, private organization or other governmental body (e.g., the Retirement Fund is a fiduciary fund).

**Financial Plan:** A comprehensive outline of the financial resources and requirements of a government that shows projected closing balances at the completion of a fiscal period (e.g., a fiscal year). In the case of New York State, it includes the projections for one or more funds that are managed by the State displayed on a cash or GAAP basis.

**Fringe Benefits:** Payments made by the State for retirement, social security, health and dental insurance contributions, workers' compensation, unemployment, survivors' and disability insurance.

**Fund:** A fiscal and accounting entity established by legislation or administrative action for the purpose of achieving specified objectives or carrying on certain activities.

**Fund Type:** A description of the purpose of a fund. The use of GAAP requires that government resources be accounted for by fund — an accounting mechanism through which moneys are spent and spending is controlled.

**General Fund:** The major operating fund of the State that comprises all State income and outgo not earmarked for special purposes. The General Fund is also a fund type.

**General State Charges:** Costs required by statute, or arising from collective bargaining or court orders. Such charges include fringe benefits, fixed costs, payments in lieu of taxes, and judgments against the State.

**Generally Accepted Accounting Principles (GAAP):** Uniform standards and guidelines for accounting and reporting of financial information. The standards for governments are established by national standard-setting groups, primarily the National Council on Governmental Accounting, the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

**Internal Service Fund:** A type of fund used to account for the financing of services (e.g., data processing, printing) provided by one department or agency or other departments, agencies or governments on a cost-reimbursable basis.

**Local Assistance Account:** An account within the General Fund from which State grants, advances, financial assistance and other payments are made to local governments, school districts and other entities.

**Lump-Sum:** A type of appropriation whose amount includes personal service, nonpersonal service, maintenance undistributed, or capital projects appropriations. Although it is made for a stated purpose, a lump-sum appropriation shows only a single amount and does not specify amounts for individual objects of expenditure.

**Maintenance Undistributed:** A type of lump-sum appropriation, which is normally used when the allocation among personal service and other expenses cannot be precisely forecast in advance, as in the case of an agency reorganization or the administration of rapidly changing programs.

**Matching Funds:** A type of grant that requires the government or agency receiving the grant to commit a certain amount of funding to the same program or purpose as a precondition of eligibility for the grant.

**Miscellaneous Receipts:** A term that refers to a broad range of unrelated revenue sources derived, for example, from investment earnings, the sale of abandoned property, fees, licenses, fines and transfers.

**Nonrecurring Revenues:** Revenues available during one fiscal year that will not be available during subsequent fiscal years.

**Nonpersonal Service:** A type of appropriation and expenditure that supports nonpersonal service costs such as contractual services, travel costs, equipment and supplies, etc.

**Personal Service:** A type of appropriation and expenditure which provides for State employee salaries and wages.

**Program:** An organized set of activities directed toward a common purpose or goal.

**Reappropriation:** The legal authorization to continue to encumber and spend all or part of an unencumbered balance of a prior-year appropriation during the new fiscal year. Capital projects extending over several fiscal years usually require reappropriations.

**Revenue Sharing:** Aid provided by one level of government to another with few stipulations on its use. The amount of the share made available to each recipient normally depends on formulas set forth in statute.

**Save-Harmless Aid:** A provision of law guaranteeing that a program or local entity receives no less than the amount of aid received during the previous fiscal year regardless of the impact of funding formulas.

**Special Revenue Fund:** A fund established to receive revenue from a dedicated source with moneys in the fund restricted to specific purposes.

**Spring Borrowing:** Short-term borrowing undertaken by the State in April (the start of the fiscal year) to cover an imbalance between spending needs and available resources. The amount borrowed must be repaid within one year of the date of issue.

**State Operations:** A category of appropriations supporting the operations of State agencies and programs (e.g., administration).

**State Purposes Account:** An account within the General Fund from which the State's operating costs are paid. Such costs include salaries, General State Charges, contractual payments, certain financial assistance, advances and interest.

**Tax and Revenue Anticipation Notes:** Short-term borrowing in anticipation of taxes and revenues yet to be received.

**User Fees:** Charges associated with using a particular service or facility (e.g., parks and/or highways).