



# Appendix

Directory of Major New York State  
Government Agencies and Other Sources



## Adirondack Park Agency

P.O. Box 99  
Ray Brook, New York 12977  
Ross S. Whaley, Chairman  
Richard Lefebvre, Executive Director

**Contact:** Richard Lefebvre, Executive Director  
(518) 891-4050 (voice)  
(518) 891-3938 (fax)  
Web Site: [www.apa.state.ny.us](http://www.apa.state.ny.us)

The Adirondack Park Agency was created in 1971 pursuant to Article 27 of the Executive Law as an independent agency in the State Executive Department. It is comprised of eleven members, eight of whom are private citizens appointed by the Governor with the consent of the Senate, five of whom must be full-time Park residents, no more than five of whom may be of the same political party. The Governor appoints a chairperson from the citizen members. The other three members are the commissioners of Environmental Conservation and Economic Development and the Secretary of State.

The basic purpose of the Adirondack Park Agency Act is to “ensure optimum overall conservation, protection, preservation, development and use of the unique scenic, aesthetic, wildlife, recreational, open space, historic, ecological and natural resources of the Adirondack park.” The Agency seeks to accomplish this through master planning for State lands, and administration of a land use control program for private lands. Forty-three percent of the land of the Park is Forest Preserve owned by the people of the State and protected by Article XIV of the State Constitution, which mandates that it “. . . be forever kept as wild forest lands” and prohibits timber cutting or alienation.

The Agency administers the State Land Master Plan in consultation with the Department of Environmental Conservation. Upon approval by the Governor the Master Plan guides the management of State lands. It also administers the “Adirondack Park Private Land Use and Development Plan” enacted by the Legislature for private lands. It seeks to encourage towns and villages to adopt local land use programs. Upon Agency approval of such plans, much of the Agency’s permitting function over new land use, development and subdivision is transferred to local government.

The Agency also administers the State Wild, Scenic and Recreational Rivers System Act on private lands and the Freshwater Wetlands Act for both public and private lands within the Park. Approximately 95 percent of the Wild, Scenic and Recreational Rivers in the State are in the Park, and approximately 14 percent of the six million acre Park is wetland.

The Agency also operates two Adirondack Park Visitor Interpretive Centers: the Paul Smiths Center on Route 30 in the Town of Brighton, Franklin County, and the Newcomb Center on Route 28, Town of Newcomb, Essex County. Both centers offer interpreted trails, indoor exhibits and audiovisual programs, without charge, daily except Thanksgiving and Christmas.

## Advocate for Persons with Disabilities, New York State Office of

See: Quality of Care and Advocacy for Persons with Disabilities, Commission on

## Affordable Housing Corporation, New York State

641 Lexington Avenue  
New York, New York 10022  
Jerome M. Becker, Chairman

**Contact:** Tracy A. Oats, Vice President of Policy and Planning  
(212) 688-4000, ext. 678  
Web Site: [www.nyhomes.org/ahc/ahc.html](http://www.nyhomes.org/ahc/ahc.html)

The New York State Affordable Housing Corporation, a subsidiary of the New York State Housing Finance Agency (HFA), pursuant to Section 45-b and 1213(1) of the Private Housing Finance Law, was established to administer the Affordable Home Ownership Development Program. The purpose of the Program is to promote homeownership by person of low and moderate income, which, in turn, fosters development, stabilization and preservation of neighborhoods and communities. To achieve these goals, the Corporation provides financial assistance, in conjunction with other private and public investment, for the construction, acquisition, rehabilitation and improvement of owner-occupied housing.

The Corporation may provide grants within the following per dwelling unit limitations: up to \$35,000 per unit; or \$40,000 within the limits of available funding, per unit for projects located in high cost areas as defined by the Corporation, or projects receiving a U.S. Department of Agriculture Rural Development Service (formerly the Farmer’s Home Administration) Loan. To encourage the leveraging of other private and public funds, the Corporation grants cannot exceed 60 percent of the total project development costs. By reducing development and rehabilitation costs, assistance provided under the Act by the Corporation makes homeownership affordable to families and individuals for whom there are no other reasonable and affordable home ownership alternatives in the private market. Additionally, the development and rehabilitation activities undertaken in connection with this Program are intended to help eliminate conditions of blight and deterioration and to create jobs and stability in communities throughout the State. Eligible applicants include: local municipalities; housing authorities; housing development fund companies; neighborhood and rural preservation companies; as well as not-for-profit or charitable organizations primarily involved in housing development.

The Corporation works with its parent agency, HFA, and its sister agency, the State of New York Mortgage Agency (SONYMA), to increase homeownership opportunities. As part of the Agency’s policy of one-stop shopping, the SONYMA Project Set-Aside application has been incorporated into the AHC application.

The Board is composed of the Commissioners of Taxation and Finance, and Housing and Community Renewal, and Director of the Budget, ex-officio, and four additional members appointed by the Governor with Senate advice and consent. Terms six years. No compensation. Members continue to serve until reappointed or replaced.

## Aging, Office for the

2 Empire State Plaza  
Albany, New York 12223-1251  
Neal E. Lane, Director

**Contact:** Thomas Gallagher, Director Public Information  
(518) 474-7158  
E-mail: [tom.gallagher@ofa.state.ny.us](mailto:tom.gallagher@ofa.state.ny.us)  
Web Sites: [www.aging.state.ny.us](http://www.aging.state.ny.us)  
[www.agingwell.state.ny.us](http://www.agingwell.state.ny.us)  
[www.hiicap.state.ny.us](http://www.hiicap.state.ny.us)  
[www.seniorhousing.state.ny.us](http://www.seniorhousing.state.ny.us)  
[www.flu.state.ny.us](http://www.flu.state.ny.us)

The New York State Office for the Aging (NYSOFA) is the designated State agency responsible for providing leadership and direction to 59 area agencies on aging and their thousands of

local programs and providers. The New York State Office for the Aging has the responsibility of stimulating the development of Federal, State and local programs for the aging; administering, coordinating and operating such programs; and keeping older people and their families informed of the assistance available to them through a program of public information and education for the individual older person and the community at large.

Among the programs administered by NYSOFA through 59 local area agencies on aging:

Title III B — Planning, coordination, demonstration, service and training programs under the Federal Older Americans Act;

Title III C — National Nutrition Program for the Elderly under the Federal Older Americans Act including both congregate and home delivered meals;

Title III D — In-home services for frail elderly under the Federal Older Americans Act;

Title III E — Older Americans and family caregivers will benefit from new or expanded services and supports under the Federal Older Americans Act

Title III F — Disease prevention and health promotion under the Federal Older Americans Act;

Title V — Senior Community Service Employment Program under the Federal Older Americans Act;

Supplemental Nutrition Assistance Program (State-aided) — Providing home delivered meals and other nutritional services to homebound elderly;

Community Services for the Elderly Program (State-aided) — Providing community support services to elderly at-risk of institutional long term care;

Expanded In-Home Services for the Elderly Program (State-aided) — Providing case managed low cost non-medical in-home services to chronically impaired elderly.

NYSOFA's general research and statistical responsibilities encompass: the evaluation of programs and collection of primary and secondary data descriptive of the aged, their needs and the services they receive; and the dissemination of this information via regular liaison with area agencies on aging, interested community groups, other State agencies and the Legislature.

#### **Publications:**

For information on publications, contact the Public Information Office (518-474-7158). Among the publications offered are:

Senior Citizen Resource Guide.

Annual Report, New York State Office for the Aging.

The Wit to Win: How to Integrate Older Persons with Developmental Disabilities into Community Aging Programs. Published by the NYS Office for the Aging and NYS Office of Mental Retardation and Developmental Disabilities.

Aging in New York State: Overview of the Status of Older New Yorkers.

Nutrition Survey of the Elderly in New York State.

How to Get to Work.

Demographic Projections: 1995-2025.

Project 2015: The Future of Aging in New York State.

When You Are Concerned: A guide for families concerned about the safety of an older driver.

In addition, NYSOFA web sites provide online publications and information on topics including:

- Services and programs for older New Yorkers' and their families at [www.aging.state.ny.us](http://www.aging.state.ny.us);
- Health and wellness information and tools for mature adults at [www.agingwell.state.ny.us](http://www.agingwell.state.ny.us);
- Health insurance information and assistance on Medicare, Medigap, Managed Care, and Long Term Care Insurance at [www.hicap.state.ny.us](http://www.hicap.state.ny.us); and
- An online directory of senior housing developments in New York State at [www.seniorhousing.state.ny.us](http://www.seniorhousing.state.ny.us).

## **Agriculture and Markets, Department of**

10B Airline Drive  
Albany, New York 12235  
Nathan L. Rudgers, Commissioner

**Contact:** Stephen C. Ropel, Director of Agricultural Statistics  
(518) 457-5570  
E-mail: [nass-ny@nass.usda.gov](mailto:nass-ny@nass.usda.gov)  
Web Site: [www.nass.usda.gov/ny](http://www.nass.usda.gov/ny)

The prime responsibilities of the Department of Agriculture and Markets are to ensure that a wholesome supply of food reaches the consumers of the State and to encourage the growth of the State's agricultural industry.

Its activities include: licensing of milk plants, slaughterhouses, refrigerated warehouses, processing plants and feed and fertilizer manufacturers; supervision of State laws pertaining to purity of foods, kosher foods, sanitation of milk and food processing plants, branding and labeling of feed, fertilizer and seeds and enrichment of bread and flour; inspection and grading of eggs, apples and other farm products; prevention and control of animal and plant diseases; collection and publication of agricultural statistics; and conducting the annual New York State Fair.

#### **Statistical Series:**

##### **DAIRY, MEAT AND POULTRY PRODUCTS**

Cattle and Milk Cows: Numbers on hand and production.  
Frequency: Annually  
Area covered: New York State by county  
Published: 1975 to present

Dairy and Meat Products in Cold Storage Warehouses: Volume reports.  
In: Cold Storage Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1919 to present

Dairy Statistics, New York: Comprehensive summary of monthly and annual statistics.  
Frequency: Annually  
Area covered: New York State  
Published: 1918 to present

List of Milk Plants and Dealers in New York State.  
Frequency: Annually  
Area covered: New York State  
Published: 1892 to present

Livestock on Farms: Livestock on hand and production.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1867 to present

Livestock Slaughter: Commercial Slaughter.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1945 to present

Milk Production: Milk and other dairy products.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1924 to present

Poultry Production: Eggs produced, number of layers, chicks hatched, turkey poults hatched and turkeys raised.  
In: New York Crop and Livestock Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1924 to present

Survey of Retail Milk Prices.  
Frequency: Monthly  
Areas covered: 9 Metropolitan New York Areas and  
24 Upstate Markets  
Published: 1948 to Present

#### FRUITS, FIELD CROPS, FLOWERS AND VEGETABLES

Apples in Cold Storage: Apples by variety and type of storage.  
Frequency: Monthly (October to May)  
Area covered: New York State  
Published: 1919 to present

Field Crops Summary: Production; harvested acreage; yield and production of grain, hay, dry beans and potato crops.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1866 to present

Forecasts of Field Crop Production  
In: New York Crop and Livestock Report  
Frequency: Monthly during growing season  
Area covered: New York State  
Published: 1916 to present

Frozen Fruits and Vegetables: Holdings in cold storage.  
In: Cold Storage Report  
Frequency: Monthly  
Area covered: New York State  
Published: 1919 to present

Fruit Production Report: Production by type of fruit.  
Frequency: Seasonally and annually  
Area covered: New York State  
Published: 1914 to present

Grapes Processed Report: Tonnage of grapes acquired by wineries and processing plants, by variety.  
Frequency: Annually  
Areas covered: Production areas  
Published: 1954 to present

Honey Production Report: Number of hives and production of honey.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1940 to 1981; reinstated in 1986

Intentions to Plant: Intended plantings of major field crops and selected vegetable crops.  
In: New York Crop and Livestock Report  
Frequency: Annually  
Area covered: New York State  
Published: 1916 to present

Maple Syrup Production  
Frequency: Annually  
Area covered: New York State  
Published: 1919 to present

Vegetable Production Report: Harvested acreage yield and production of major vegetable crops.  
Frequency: Seasonally and annually  
Area covered: New York State  
Published: 1916 to present

## Alcoholic Beverage Control, Division of

84 Holland Avenue  
Albany, New York 12208  
Edward F. Kelly, Chairman

**Contact:** J. Mark Anderson, Deputy Commissioner  
(518) 486-4767  
E-mail: maanderson@abc.state.ny.us  
Web Site: www.abc.state.ny.us

The Division of Alcoholic Beverage Control, operating under the direction of the State Liquor Authority (SLA), a three member board, issues and renews licenses and permits required in the alcoholic beverage industry, works with local law enforcement agencies to ensure compliance with the Alcoholic Beverage Control (ABC) Law, and regulates trade and credit practices related to the sale and distribution of alcoholic beverages at wholesale and retail levels. The State Liquor Authority inspects premises where alcoholic beverages are manufactured or sold; and has control over the number and type of such licenses issued, as determined by public convenience and advantage.

The Annual Report of the State Liquor Authority includes data on types of licenses in effect, disciplinary actions taken and other activities.

## Alcoholism and Alcohol Abuse, Division of

See: Alcoholism and Substance Abuse Services, Office of

## Alcoholism and Substance Abuse Services, Office of

1450 Western Avenue  
Albany, New York 12203-3526  
Shari Noonan, Acting Commissioner

**Contact:** Jennifer Farrell, Director of Communications and Community Relations  
(518) 485-1768  
Fax: (518) 485-6014  
Web Site: www.oasas.state.ny.us

The Office of Alcoholism and Substance Abuse Services administers the state's comprehensive program for treating persons addicted to alcohol and other drugs, for preventing the harmful use of substances and for researching the basic aspects of addictions.

OASAS was enacted by Chapter 223 of the Laws of 1992, which consolidated the previous Division of Alcoholism and Alcohol Abuse (DAAA) and the Division of Substance Abuse Services (DSAS).

OASAS plans, develops and regulates the state's system of alcoholism and substance abuse treatment agencies. OASAS operates 13 Addiction Treatment Centers, which provide inpatient rehabilitation services to 9,000 persons per year. It licenses and regulates some 1,300 local, community-based providers that serve more than 115,000 persons on any given day in a wide range of inpatient, outpatient residential programs. The agency inspects and monitors these programs to guarantee quality of care and to ensure compliance with state and national standards.

The Office also monitors substance use and abuse trends in the state; provides a comprehensive education and prevention program through some 350 agencies based in schools and communities; promotes public awareness and citizen involvement through community action groups; fosters Employee Assistance programs for drug-free workplaces; pursues state, federal and private sources of funding for services; establishes linkages of services for clients in other human services agencies and the criminal justice system; and provides education and training for persons dealing with clients, including credentialing addiction counselors. OASAS is the designated Single State Agency to coordinate state-federal relations in this area.

### **Statistical Series**

County Resource Book  
Published: Annually

Statistical reports pertaining to treatment and prevention data on a statewide, local or regional basis.  
Published: Periodically

### **Other Publications:**

12th Annual Alcohol/Substance Use and Traffic Safety Awareness Survey  
Published: Annually during 1990-2001

Current Drug Use Trends in New York City  
Published: Semiannually

Federal, State, Local Planning Update  
Published: Annually

Local Services Plan Guidelines for Alcoholism and Substance Abuse Services  
Published: Annually

Directory of Treatment Providers  
Published: Annually

Best Practices Study: Medically Supervised Outpatient Treatment Programs  
Published: May 2003

Minimum Legal Purchase Age and Traffic Safety: Facts and Practices  
Published: 2003

OASAS Evaluation Systems: Behaviors of Clients Remaining in Treatment at Least Six Months: For the Period January 1, 1999 to June 30, 2001  
Published: June 2002

Collaboration: OASAS and Child Welfare System  
Published: 2001

Guidelines for Level Care Determination  
Published: April 2001

Substance Abuse Treatment for Persons in the Criminal Justice System: A Best Practices Partnership  
Published: March 2001

Alcohol and Other Drug Abuse Prevention Services Needs Assessment: County-Level Social Indicator Study  
Published: June 2001

Treating Co-Occurring Mental Health and Addictive Disorders in New York State: A Comprehensive View  
Published: May 2001

OASAS Evaluation System: Preliminary Analysis of Behaviors of Clients Remaining in Treatment for at Least Six Months  
Published: June 2001

Alcohol and Young Drivers: Preliminary Findings from the OASAS Zero Tolerance Survey  
Published: November 2000

Regional Reports on the 1998 New York State School Survey  
Published: 2000

The New York State School Survey: Alcohol and Other Drug Use Findings — 5th Grade Through 12th Grade, 1998  
Published: 2000

Methadone Patients in Treatment Continuously for at Least Ten Years  
Published: September 1999

OASAS Evaluation Systems: Preliminary Analysis of Behaviors of Methadone Maintenance Patients Remaining in Treatment for One, Two, Three, Four and Five Years  
Published: October 1999

Alcohol Use and Safety Risks Among College Students in New York State  
Published: 1998

Conference on Pain Management and Chemical Dependency: Scientific Program Summaries and Abstracts  
Published: 1998

A Fifteen-Year Review of Drinking Age Laws: Preliminary Findings of the 1996 New York State Youth Alcohol Survey  
Published: 1997

DWI Treatment Services in New York State: The 1996 Survey of Alcoholism Program Directors

OASAS Facts and OASAS Overviews: Brief summaries of major topic areas.  
Published: Periodically

OASAS also publishes a variety of consumer-oriented pamphlets and reports on prevention, treatment and research.

## Arts, Council on the

175 Varick Street, 3rd Floor  
New York, New York 10014  
Richard J. Schwartz, Chairman

**Contact:** Richard J. Schwartz  
(212) 627-4455  
Web Site: [www.nysca.org](http://www.nysca.org)

Established in 1960, the Council on the Arts is a state and federally funded agency that provides support toward the activities of not-for-profit organizations in New York State to help bring high-quality artistic performances and programs to the state's residents. The Council has been directed by the Legislature to maintain "*the paramount position of this State in the nation and the world as a cultural center*" through its support of programs and activities of not-for-profit arts and cultural organizations.

The Council meets its grant-making goals by inviting applications from eligible not-for-profit organizations (as described in the Council's Program Guidelines) for local assistance funds to provide "cultural services" to the people of New York State, and by contracting for provision of those services with grant recipients.

The Council's arts discipline program areas include Architecture, Planning & Design/Capital Projects, Arts in Education, Dance, Electronic Media & Film, Folk Arts, Individual Artists, Literature, Museum, Music, Presenting, Special Arts Services, State & Local Partnerships/Decentralization, Theatre, and Visual Arts.

Among its programs is Decentralization, which awards grants to designated arts organizations throughout the state acting as regranteeing sites for the Council. Those organizations, in turn, regrant funds through a local decision-making process unique to each site. Through this annual program of local grant-making, the Council is able to help support the work of community-based organizations and artists that provide arts and cultural programs on a local or neighborhood basis.

The Council does not make grants directly to individuals. To address the funding needs of individual artists, the Council supports the statewide *Artist Fellowship Program* of the New York Foundation for the Arts ([www.nyfa.org](http://www.nyfa.org)), a not-for-profit organization. Fellowships are primarily intended to support new creative work of artists. Artist fellowship recipients are required to provide some sort of public service activity as a condition of their fellowship award.

NYSCA's annual funding report and its annual Program Guidelines are available on the Council's website ([www.nysca.org](http://www.nysca.org)).

## Attorney General, New York State Office of the

State Capitol  
Albany, New York 12224

120 Broadway  
New York, New York 10271  
Eliot Spitzer, Attorney General

**Contact:** Darren Dopp, Press  
(518) 473-5525  
Peter A. Drago, Public Information  
(518) 474-7330  
Web Site: [www.oag.state.ny.us](http://www.oag.state.ny.us)

The New York State Attorney General serves as one of the four statewide officials elected by popular vote. The Attorney General

is authorized to appoint the State's Solicitor General, and may also appoint deputy and assistant attorneys general as they are deemed necessary.

As head of the Office of the Attorney General, the Attorney General is both the "People's Lawyer," and the State's chief legal officer.

As the "People's Lawyer," the Attorney General serves as the chief guardian of the legal rights of the citizens of New York, its businesses and its natural resources. In his role as the State's chief legal counsel, the Attorney General is responsible for supervising the State government's legal affairs. The Attorney General not only advises the Executive branch of State government, but also prosecutes and defends all actions and proceedings on behalf of, and against, the State.

The Attorney General serves all New Yorkers in the numerous matters affecting their daily lives. The Attorney General's Office is charged with myriad statutory and common law duties and has concomitant enforcement powers. The Attorney General protects consumers and investors against fraud, protects the public health and the environment against polluters, enforces state civil rights laws, and works to ensure that the rights of wage earners and businesses across the State are not unlawfully abridged.

The Attorney General also commences certain statewide criminal prosecutions, directs the activities and investigations of the Organized Crime Task Force and the Medicaid Fraud Control Unit. While the Attorney General acts independently of the Governor, there are cases in which the Governor may direct the Attorney General to undertake specific criminal investigations and prosecutions.

### Publications:

Crime Victims Bill of Rights.

Consumers' Guide to the New Car Lemon Law: What to do if your new car is a lemon.

Consumers' Guide to the Used Car Lemon Law: What to do if your used car is a lemon.

Patient Abuse — Information on Who to Contact.

Stay Safe On-Line (Children and Teen Versions).

Tenant's Rights Guide (English and Spanish).

Housing Guide for Seniors (English and Spanish).

Tips for Charities Raising Funds in New York State.

For a complete list of free publications please call 1-800-771-7755.

## Audit and Control, Department of

See: State Comptroller, Office of the

## Banking Department

One State Street  
New York, New York 10004-1417

5 Empire State Plaza  
Suite 2310  
Albany, New York 12223-1555  
Diana L. Taylor, Superintendent of Banks

**Contact:** Communications and Media Relations Deputy  
(212) 709-1691  
Web Site: [www.banking.state.ny.us](http://www.banking.state.ny.us)

The major responsibility of the Banking Department is to administer laws relating to State-chartered financial institutions. These include: commercial banks (State banks, trust companies and a private banker), savings banks, savings and loan associations, licensed lenders, credit unions, agencies and branches of foreign banking institutions, safe deposit companies, investment companies, sales finance companies, insurance premium finance agencies, transmitters of money, licensed cashers of checks, budget planners, mortgage bankers and mortgage brokers. The Department carries out its responsibilities by licensing or chartering, examining and supervising these institutions. Almost all regulated institutions are required to file periodic financial reports. Certain types of institutions (commercial banks, savings and loan associations, savings banks, credit unions and foreign agencies and branches) may operate under either State or Federal charter with the Banking Department supervising only those which it charters.

Separate "spreadsheets" for State-chartered trust companies, commercial banks, private bankers, savings banks, savings and loan associations, credit unions, life insurance departments of savings banks, the Savings Banks Life Insurance Fund, licensed lenders, investment companies, safe deposit companies and mutual trust investment companies are published periodically. These contain statements of assets and liabilities for the individual institutions supervised by the Department.

#### **Statistical Series:**

Closed Institutions.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1922 to present

Investment Companies Supervised by Department: Assets, liabilities and capital accounts by individual institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1920 to present

Licensed Lenders: Assets, liabilities and capital accounts by individual institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1925 to present

Life Insurance Departments of Savings Banks: Assets, liabilities and surplus accounts of life insurance departments, by department of each issuing savings bank.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1940 to present

Mutual Trust Investment Companies: Assets, liabilities and capital.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1976 to present

Principal Banking and Lending Facilities: Total assets of commercial banks, savings banks, savings and loan associations, licensed lenders, credit unions and number of institutions, for State and Federally chartered institutions.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1948 to present

Safe Deposit Companies: Assets, liabilities and capital accounts by institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1892 to present

Savings Bank Life Insurance Fund: Statement of Condition and Summary of Operations.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1941 to present

State-Chartered Commercial Banks: Assets, liabilities and capital accounts by institution. Data as of December 31, plus at least one other date during the year.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1851 to present

State-Chartered Credit Unions: Assets, liabilities, surplus and reserve accounts, by institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1921 to present

State-Chartered Foreign Financial Institutions: Assets and liabilities of New York agencies and branches of foreign banking corporations. Aggregated separately for agencies and branches.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1959 to present

State-Chartered Savings and Loan Associations: Assets, liabilities, surplus and reserve accounts, by institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1920 to present

State-Chartered Savings Banks: Assets, liabilities and surplus accounts by individual institution.

In: Annual Report of the Superintendent of Banks  
Frequency: Annually  
Area covered: New York State  
Published: 1857 to present

Report of the Superintendent of Banks on Loans to Small Businesses and Small Farms

Frequency: Annually  
Area covered: New York State  
Published: 1994 to present

## **Budget, Division of the**

State Capitol  
Albany, New York 12224  
John F. Cape, Director

**Contact:** Michael Marr, Director of Communications  
(518) 473-3885  
Web Site: [www.budget.state.ny.us](http://www.budget.state.ny.us)

The Division of the Budget is responsible for preparation of the Executive Budget, including development of the State's Financial Plan, and for execution of the Budget throughout the year.

Estimates of State receipts by source and recommended appropriations and disbursements for the coming fiscal year are published annually in the Governor's Budget Message, issued in January. This publication also includes revised estimates for the current fiscal year, actual figures for the preceding year and information on State debt and special funds.

Quarterly Financial Plan Updates, published at the conclusion of each quarter of the State fiscal year, contain similar financial data, reflecting actual experience of the fiscal year, and actions taken by the Legislature subsequent to the enactment of the budget.

The Division also assembles and analyzes data on intergovernmental finances and programs, participates in the negotiation and administration of collective bargaining agreements and coordinates the administration of the Governmental Accountability, Audit and Internal Control Act of 1987.

## **Business Permits and Regulatory Assistance, Office of**

See: Regulatory Reform, Governor's Office of

## **Central New York Regional Transportation Authority**

One Centro Center  
P.O. Box 820  
Syracuse, New York 13205-0820  
Vincent A. Cook, Chairman

**Contact:** Frank Kobliski, Chief Operating Officer  
Steven Share, Chief Financial Officer  
Robert Sprague, Chief Administrative Officer  
(315) 442-3333  
Web Site: [www.centro.org](http://www.centro.org)

The Central New York Regional Transportation Authority (CNYRTA) was formed by state legislation in 1970 to revitalize local public transportation. By the 1980s, the Central New York transportation system was honored as one of the best mid-sized transit systems in North America. The CNYRTA currently serves three counties, Onondaga, Cayuga and Oswego, including the cities of Syracuse, Auburn, Oswego and Fulton.

The CNYRTA also operates the William F. Walsh Regional Transportation Center. The Transportation Center is the new hub for Greyhound, Trailways, Amtrak and Centro and approximately 1.2 million travelers will pass through its doors each year.

In an effort to clean the air in Central New York, the CNYRTA operates a Compressed Natural Gas (CNG) fueling station. The station is the largest of its kind in New York State. It provides both indoor fueling capabilities for Centro's growing fleet of CNG buses, as well as an outdoor facility available to the public. The total CNG fleet now numbers 109 buses.

Its paratransit system, Call-A-Bus, was a pioneer in this arena and has been progressive in meeting Americans with Disabilities Act (ADA) regulations. Call-A-Bus coordinates services with local

community action agencies and has received partial funding from the local Office of the Aging to provide service not required by the ADA mandate.

## **Children and Family Services, Office of**

Capital View Office Park  
52 Washington Street  
Rensselaer, New York 12144-2735  
John A. Johnson, Commissioner

**Contact:** Public Information Office  
(518) 473-7793  
E-mail: [cfspio@dfa.state.ny.us](mailto:cfspio@dfa.state.ny.us)  
Web Site: [www.dfa.state.ny.us](http://www.dfa.state.ny.us)

The Office of Children and Family Services (OCFS) has comprehensive responsibilities for children, youth and families and for vulnerable populations.

OCFS Regional Offices provide oversight for the child welfare programs of the local departments of social services including Child Protective, Preventive, Foster Care and Adoption Services. OCFS also operates the New York State Child Abuse and Maltreatment Reporting Register.

The Office's Division of Rehabilitative Services is responsible for operating residential and day service programs for court-placed youth including counseling, education and vocational instruction. It also sponsors community-operated programs for youth recreation and delinquency prevention.

Prevention and rehabilitation efforts are joint ventures with local and county government, supported by federal, state, county and municipal funds, as well as private contributions. The Office provides technical and financial assistance to agencies involved in community youth programs and monitors activities of voluntary child-care and detention agencies in New York State.

The Office's Bureau of Management Information prepares a variety of statistical reports on clientele and program data, including population projections and placement trend analyses.

### **Statistical Series:**

**Annual Report:** Client characteristics including demographic and legal attributes of youth admitted, discharged and in care at the end of the year in residential and non-residential programs serving youth in OCFS custody.

Frequency: Annually  
Area covered: New York State  
Published: 1978 to present

**Monitoring and Analysis Profiles:** Data on child welfare programs of Child Protective Services, Preventive, Foster Care and Adoption. Includes demographic information on children in the care and custody of local departments of social services.

Frequency: Annually  
Area covered: New York State  
Published: 1989 to present

**Comprehensive Report of Children and Youth in the Custody of Local Departments of Social Services (LDSS) or OCFS:** Admissions, discharges and in care counts plus selected demographic data for current quarter and compared to same quarter last year.

Frequency: Quarterly  
Area covered: New York State  
Published: 1998 to present

## Children and Families, Council on

52 Washington Street  
Room 256, West Building  
Rensselaer, New York 12144-2735  
Alana M. Sweeny, Chief Executive Officer

**Contact:** Deborah Benson, Director of Policy and Planning  
(518) 473-3652  
Web Site: [www.ccf.state.ny.us](http://www.ccf.state.ny.us)

The New York State Council on Children and Families, within the Office of Children and Family Services, was established to coordinate an effective and efficient delivery system of services to children and families across state and local agencies. The commissioners or directors of the 13 health, education, and human services agencies serve as members of the formal Council on Children and Families, which is chaired by the Senior Deputy Secretary to the Governor for Health and Human Services.

In recent years, the Council has placed a greater emphasis on conducting policy analysis and program development that will lead to broader systemic changes in the way services are funded or delivered. The Council orients its priorities towards the development of comprehensive and coordinated systems of care and facilitates the development of strategies to remove barriers that impede the efficient and effective delivery of services to New York's children and families.

The Council has taken the lead in developing a common set of outcomes — New York State Touchstones — that state agencies and local governments can employ when developing strategies and programs for children and families. Touchstones is also a means by which New York State can be held accountable for the results it hopes to achieve. The Council is also responsible for the production of "Kids Count," a data book that provides a county breakdown of indicators regarding the well-being of children and families. An extension of this work is the development of the data driven web site: [www.nyskwic.org](http://www.nyskwic.org)

Since its inception, the Council has undertaken major responsibilities for improving access to services for children who are hard to serve. These children are identified as hard to place, because their service needs do not appear to meet the criteria for placement within a single system, and they require services from more than one service delivery system.

In this vein, the Council has been the lead agency for various initiatives that promote collaboration on statewide and local levels. Examples of such collaborative initiatives include: The Task Force on School/Community Collaboration, the Children's Coordinated Services Initiative, the New York State Head Start Collaboration Project, Family Support New York, and the Alliance for Family Literacy.

### Publications:

New York State Touchstones 1998 Data Book — Funded by the Annie E. Casey Foundation Kids Count Initiative, this data book provides statewide measures on the status of children and families, and profiles each county on the same set of measures.

New York State Touchstones 2000 Data Book

New York State Touchstones 2002 Data Book

New York State Touchstones 2003 Data Book

New York State Touchstones 2005 Data Book

## Civil Service, Department of

W. Averell Harriman State Office Building Campus  
Building 1, 2nd Floor  
Albany, New York 12239  
Daniel E. Wall, President of the NYS Civil Service Commission & Commissioner of the Department of Civil Service

**Contact:** Marc E. Carey, Director of Public Information  
(518) 457-9375  
Web Site: [www.cs.state.ny.us](http://www.cs.state.ny.us)

The 117-year-old New York State civil service system is the oldest state system in the country. Its rules are promulgated by the three member, quasi-judicial State Civil Service Commission. The Commission hears and acts on appeals concerning examination qualifications and ratings, position classifications, salary levels, disciplinary actions and the use of preferred lists. It also reviews requests for reinstatement of employees who have been absent for more than one year and for reemployment of retirees.

Under State Civil Service Law, the President of the Commission also serves as head of the State Civil Service Department, which is the central personnel agency for the departments and agencies in the Executive Branch of State government. The Department is responsible for recruiting and testing new applicants for jobs in the State government work force as well as testing candidates for promotion within State service. The Department also compiles and analyzes information about the composition of the State work force.

Other duties of the Department include: determining titles, salary levels and qualification requirements for positions in the classified service of the State; providing technical assistance and services to the State's 102 municipal civil service agencies; and administering health, dental, accident and life insurance programs for State employees.

### Publications:

Summary of New York State Civil Service Law: Contains the main body of provisions pertaining to the administration of Civil Service procedures and requirements in State service.  
Frequency: Updated as necessary

Workers With Disabilities Program. Questions and answers about obtaining State employment through Sections 55-b (non-veterans) and 55-c (wartime veterans) of the Civil Service Law.\*

Career Services for Today's State Employee.  
Build a Career with New York State.\*

Working With People with Disabilities: A Supervisor's Guide.  
Frequency: Updated as necessary

The Promotion Test Battery — Questions and Answers.\*

Quality Standards/Innovative Applications. A report of the Governor's Task Force on the New York State Civil Service System, December 1995.\*

Information for Survivors of New York State Employees.\*  
Frequency: Updated as necessary

How to Conduct a Job Interview.\*

Frequency: Updated as necessary

Getting a Job with New York State.\*

Frequency: Updated as necessary

The Governor's Program to Hire Veterans with Disabilities.\*

Frequency: Updated as necessary

Procedures for Implementing Reasonable Accommodation in New York State Agencies.\*

Frequency: Updated as necessary

Manual for Administrative Law Judges and Hearing Officers.\*

Frequency: Updated as necessary

\* = Available on the Department's web site.

## Commerce Department, New York State

See: Empire State Development

## Consumer Protection Board, New York State

5 Empire State Plaza

Suite 2101

Albany, New York 12223

Teresa A. Santiago, Chairperson and Executive Director

**Contact:** Jon Sorensen, Director of Marketing and Public Relations

(518) 474-3514

Governor Pataki's Consumer Action Line:

1-800-697-1220

Fax: (518) 474-2474

E-mail: [webmaster@consumer.state.ny.us](mailto:webmaster@consumer.state.ny.us)

Web Site: [www.nysconsumer.gov](http://www.nysconsumer.gov)

The Consumer Protection Board's Consumer Assistance Unit (CAU) answers consumer questions and provides mediation between a business and the consumer when a business has engaged in false, misleading or deceptive practices.

### Publications:

All of the following publications are available online at [www.nysconsumer.gov](http://www.nysconsumer.gov) (PDF) or by mailing your publication requests to:

New York State Consumer Protection Board  
Public Information Office/Publication Requests  
5 Empire State Plaza  
Suite 2101  
Albany, New York 12223-1556

### CONSUMER PROTECTION BOARD OVERVIEW

About the CPB (Spanish version available): The Mission of the New York State Consumer Protection Board (CPB) is to protect, educate and represent consumers. This brochure will provide detailed information on the services we provide as well as contact information if you think you need the Consumer Protection Board on your side!

### ENERGY

Energy Efficiency: The New York State Energy Research and Development Authority (NYSERDA) has a number of programs to

help New Yorkers save money on their energy bills by improving the energy efficiency and comfort of their homes. At <http://www.getenergysmart.com/GES.portal>, this link will connect you with the NYSERDA Energy Smart web site, which offers important information for consumers on energy saving tips, current rebate programs, the Energy Star Label, how to shop for energy efficient products, etc.

A Consumer Guide to Home Heating, Oil, Propane and New York State Consumer Assistance Programs (Spanish version available): This brochure provides plain language information to assist consumers in shopping for heating oil and propane, help consumers reduce their home heating bills, and increase awareness of New York State programs to encourage conservation and assist low-income consumers with their heating bills.

How to Choose an Energy Company: Buying Tips for Consumers (Spanish version available): Competition in the Energy Industry raises many questions for consumers. The CPB has prepared this brochure to help consumers ask the right questions when deciding whether to choose another energy company.

### FINANCIAL

A Consumer Guide to Basic Financial Transactions (Spanish version available): This guide has been created by the New York State Consumer Protection Board and the New York State Banking Department to demystify basic financial transactions including cashing checks, opening bank accounts, transferring money to other countries and obtaining short-term loans.

Choosing and Using Credit Cards: Consumer Tips (Spanish and Chinese versions available): The New York State Consumer Protection Board has prepared this brochure to provide important tips on how to choose a credit card, avoid credit card debt and what to do if you have significant credit card debt.

A Consumer Guide to Preventing Identity Theft (Chinese and Spanish versions available): Discusses ways that identity theft occurs and warning signs to look for. This guide outlines what to do if your identity gets stolen and who to contact.

A Consumer Guide to Credit Reports: This brochure provides information explaining why you should review your credit report, how to obtain a copy of that report, and what to do if there are errors in that report.

### HOUSING

Model Residential Lease: The New York State Consumer Protection Board has produced this document to help inform tenants and landlords of their rights and responsibilities.

Rent Smarts: This booklet sets out the nature of renters' rights and responsibilities, which are protected by a variety of laws or court decisions. The booklet also includes a plain language model lease that can be used as a rental agreement for most housing.

Home Improvements Without Headaches: The New York State Consumer Protection Board has prepared this brochure to provide consumers with important information to help protect themselves and their homes.

Home Improvements Tip Sheet: This flyer summarizes the Home Improvement Brochure giving you the most important do's and don'ts.

### IMMIGRATION

Immigration Consultant Fraud (Albanian, Chinese, French, Haitian Creole, Polish, Russian, and Spanish versions available): Because

the immigration laws change so frequently and they are often difficult to understand, the New York State Consumer Protection Board and the New York State Citizenship Unit have developed this guide to help identify and protect immigrants from these common scams regarding immigration issues.

## INSURANCE

**A Consumer Guide to Purchasing Auto Insurance** (Spanish version available): This guide provides helpful hints and information on the requirements and options for purchasing auto insurance.

**A Consumer Guide to Purchasing Life Insurance** (Spanish version available): This guide provides consumers with important information about life insurance.

**A Consumer Guide to Purchasing Long Term Care** (Spanish version available): This guide provides New York consumers with important information about long-term care insurance.

**A Consumer Guide to Purchasing Health Insurance** (Spanish version available): This guide provides New York consumers with important information about health insurance.

**A Consumer Guide to Purchasing Homeowners and Tenants Insurance** (Spanish version available): This guide provides important information about insurance for your home and its contents, whether you rent or own.

## MODELING AND TALENT SCAMS

**Modeling and Talent Scams:** There are many unscrupulous companies charging advance fees for services to aspiring models and entertainers. This brochure, created with the help of the Screen Actors Guild, gives some do's and don'ts for young people and their parents

## SHOPPING

**Tips for Buying a Gift Card** (Spanish version available): The Consumer Protection Board gives the top ten tips and what to "ask about" when buying a gift card.

**Holiday Shopping Tips:** What you need to know before you start your holiday shopping. Advice on how to keep records and overall tips for understanding your rights as a consumer during this holiday season.

**Consumer Tips for Online Shopping** (Spanish version available): This brochure offers tips and advice to help ensure that transactions you make online are safe and secure.

**Online Auctions:** Internet auctions are becoming the most popular way to shop online. This guide is designed to show you how to get the most out of your internet auction experience.

## TELEMARKETING

**Do Not Call Consumer Brochure:** Informs consumers how to enroll, and how to file a complaint.

## OTHER

**Holiday Safety Tips:** In this flyer, the New York State Consumer Protection Board identifies some tips to help ensure that Christmas lights and other holiday decorations are used safely.

**Child Safety:** The New York State Consumer Protection Board provides these safety guidelines to help protect children from accidents and dangerous products.

**Exercise Your Rights:** A Consumer Guide to Choosing a Health Club: Whether your goal is to shed a few pounds, get in shape,

gain more energy, or simply meet new people, you may be contemplating joining a health club. To ensure that it's you getting the work-out and not your wallet, the New York State Consumer Protection Board has developed the following guide to help you in choosing a health club.

**Q&A's About the New York State Pet Dealer and Breeder Licensing Program:** Questions such as "What is the Pet Dealer and Breeder Licensing Program?", "Who is required to be licensed and how often are they inspected?" and "What are the requirements for Pet Dealers and Breeders?" are answered in this brochure.

**A Guide to New York State's Lemon Law:** Details the rights guaranteed to consumers under State and Federal law when purchasing or leasing a new car.

**Dialing for Dollars** (Available online only at [www.nysconsumer.gov/pressreleases/2001/dialingfordollars.pdf](http://www.nysconsumer.gov/pressreleases/2001/dialingfordollars.pdf)): Details misleading sales practices by Florida Psychic Service.

**Senior Scams** (Chinese and Spanish versions available): While anyone can be the victim of fraud, older adults are a favorite target of con artists and swindlers. These scams can cost senior citizens thousands of dollars.

**Toll-Free-Numbers:** Contains numerous toll-free numbers of local, State and Federal agencies responsible for assisting consumers.

**Are You Thinking About Attending a Trade or Vocational School?:** Proprietary schools are institutions that cannot provide formal degrees but do offer training for careers in a trade or in business to enhance existing career skills. These schools offer diplomas or certificates to those students who successfully complete the course requirements. Proprietary schools are required to be licensed and supervised through the New York State Education Department's Bureau of Proprietary School Supervision. To file a complaint or for further assistance please visit the Bureau of Proprietary Schools web site at <http://www.highered.nysed.gov/bpss/>.

**Prescription Drug and Health Care Discount Cards** (Spanish version available): This brochure helps consumers determine whether a health care or prescription drug discount card might serve their needs and helps consumer identify discount cards that are worth the money.

**Summer Safety:** This brochure provides tips regarding barbecue safety, boat and watercraft safety and swimming tips.

## Correction, Commission of

4 Tower Place  
Albany, New York 12203  
Alan J. Croce, Chairman/Commissioner  
Frederick C. Lamy, Commissioner  
Daniel B. Reardon, Commissioner

**Contact:** Jessica Scaperotti  
(518) 457-8828  
Web Site: [www.scoc.state.ny.us](http://www.scoc.state.ny.us)

The New York State Commission of Correction, by legislative mandate, is empowered to oversee and regulate state prisons, county jails and local police departments, including facilities within the City of New York — over 400 facilities in all. The specific powers and duties of the Commission are delineated in Article 3 of the New York State Correction Law.

The Commission is comprised of three members appointed by the Governor, one of whom is designated Chair. The other Commissioners respectively chair the Citizens Policy and Complaints

Review Council (CPCRC), which reviews grievances and complaints against correctional facilities, and the Medical Review Board (MRB), which investigates inmate deaths and oversees health care services.

The Commission serves as a central repository for information on the correctional system in New York State. As such, the Commission collects annual admission data from: all county correctional departments; city, town and village police lockups; New York City Department of Correction; New York City Police Department precinct lockups; and, the New York State Department of Correctional Services for state prison inmates.

#### **Statistical Series:**

County Jail Population Count and Percent of Occupancy: A one-day picture, county by county, of inmate populations and percent of occupancy (excluding New York City Facilities).  
Frequency: Monthly  
Published: 1986 to present

Statewide Compilation of Admission to City, Town and Village Police Department Lockups: Presents data received from police department lockups (outside New York City) on their number of admissions and cell capacity.  
Frequency: Yearly  
Published: 1977 to present

Statewide Compilation of Data from Sheriffs' Annual Reports: Presents data received from county correctional facilities (outside New York City) on the number of admissions and discharges; length of stay; and admissions by demographic characteristics including race, age and ethnic origin.  
Frequency: Annually  
Published: 1968 to present

#### **Other Publications:**

Annual report of the New York State Commission of Correction: Presents a narrative overview of the activities and accomplishments of the Commission. It reports on major field evaluations; approved construction/renovation projects; county correctional personnel trained and/or certified; compliance/noncompliance with promulgated minimum standards; status of creating and enhancing existing standards; review of current legislation; and an overview of inmate mortalities across all of New York State's correctional jurisdictions.  
Frequency: Annually  
Published: 1977 to present

Classification and Housing of Inmates in County Correctional Facilities: Presents background, impact, and monitoring of amendments to the Classification Law (as enacted in August of 1984) to the classification and housing of inmates in county correctional facilities.  
Frequency: Annually  
Published: 1985 to present

## **Correctional Services, Department of**

1220 Washington Avenue  
State Office Campus, Building 2  
Albany, New York 12226-2050  
Glenn S. Goord, Commissioner

**Contact:** Paul Korotkin, Director of Program Planning, Research and Evaluation  
(518) 457-3007  
Web Site: [www.docs.state.ny.us](http://www.docs.state.ny.us)

The Department of Correctional Services (DOCS) is responsible for the care, custody, treatment and rehabilitation of all offenders

sentenced to its 70 institutions located throughout the State. It meets these responsibilities by maintaining secure custodial care of committed offenders and by providing program offerings to committed offenders in a variety of activities so that they may prepare themselves for a productive role in society upon release. The Department publishes summary statistics on an annual, quarterly, and monthly basis on the social and legal characteristics of offenders under its jurisdiction and movement of offender populations. In addition, the Department publishes a series of research studies annually. An annotated listing is available on request.

#### **Publications:**

The Sixteenth Annual Shock Incarceration Program Legislative Report: 2004

This report to the Legislature, which was prepared jointly with the Division of Parole, presents an overview of the program's development and operation as well as statistical data on the screening process, disciplinary process, education programs and other program components.

NYS DOCS Shock Incarceration 2004 Legislative Report — Summary

This report is an abridged version of the Sixteenth Annual Shock Incarceration Program Legislative Report: 2004.

Semiannual Legislative Report on Earned Eligibility Program: October 2003-March 2004

The Legislature mandates two semiannual reports on the Earned Eligibility Program. This semiannual report provides a statistical overview of the Earned Eligibility Program for the six month period from October 2003 through March 2004. The report focuses on inmates evaluated for a Certificate of Earned Eligibility prior to their initial hearing.

Semiannual Legislative Report on Earned Eligibility Program: April 2003-September 2003

See above.

Merit Time Program Summary — October 1997-December 2003

In 1997, the Legislature authorized the creation of the Merit Time Program. It allows inmates who are serving prison sentences for certain nonviolent crimes to earn a possible reduction of the minimum term if they have achieved certain significant programmatic objectives and have not engaged in any serious disciplinary infractions. The first Merit Time appearances occurred in October 1997. This report provides a statistical overview of the Merit Time Program for the period from October 1997 through December 2003.

Comprehensive Alcohol and Substance Abuse Treatment Program (CASAT): Legislative Report for 2003

This report presents statistics on cases in Phase I of the Program according to specific CASAT facilities, cases which have graduated to Phase II (Community Reintegration), and cases which have been released to parole supervision. Information is presented on drug use history of CASAT participants, cost of operating the program, rate of return to prison for CASAT participants and comparison inmates, and the operation of the relapse component of the CASAT program.

Highlights of the Comprehensive Alcohol and Substance Abuse Treatment Program (CASAT) — 2003

This report is an abridged version of the Comprehensive Alcohol and Substance Abuse Treatment Program — 2003 report.

Psychological Screening Program for Correction Officer Applicants: Legislative Report for 2003

This statutorily mandated annual report reviews the operation of the psychological screening program for correction officer candidates in the past year.

#### 1999 Releases: Three Year Post Release Follow-Up

The subject of this report is a population of 25,491 inmates released in 1999 from facilities of the New York State Department of Correctional Services. Each case was followed for a period of three years to determine the number returned to Department custody. For purposes of comparison, similar release cohorts for 1985-1999 are also analyzed.

#### The Effect of Earning a GED on Recidivism Rates

This article in the *Journal of Correctional Education* is based on Department research, which found that inmates who earned their GED while incarcerated in DOCS returned to custody at a significantly lower rate than offenders who did not earn a GED.

#### HUB System: Profile of Inmate Population Undercustody on January 1, 2004

This report presents a profile of the total inmate population and the population within each geographical region of the state. Included is information on: age, gender, race/ethnicity, county of commitment, birthplace, marital status, religious affiliation, crime, sentence length, prior criminal record, self-reported drug and alcohol use, and other variables.

#### Admissions and Releases — 2003

This report presents a preliminary look at the volume of inmates admitted to and released from custody of DOCS by month for calendar years 2002 and 2003.

#### Preliminary Data Tables Year 2003 Court Commitments

The tables contained in this report present preliminary data on the legal history characteristics of new commitments and the demographic characteristics of new commitments during calendar year 2003.

#### Preliminary Data Tables Year 2003 Discharges

The report describes characteristics of inmates discharged from the Department during calendar year 2003. The preliminary tables present information on length of time served in custody.

#### Characteristic of New Court Commitments — 2003

This document describes the population of new court commitments to the New York State Department of Correctional Services during calendar year 2003. It presents information on legal history characteristics of new court commitments (e.g., crime, minimum sentence, prior criminal record) and demographic characteristics (e.g., age, sex, ethnic status, county of commitment).

#### Characteristic of Inmates Discharged — 2003

This report presents information on length of time in custody for first releases according to various legal criteria such as commitment offense, felony class category of commitment offense, and minimum and maximum sentence length.

#### Unusual Incident Report: January-December 2003

This report provides descriptive information on the number and type of reported unusual incidents (e.g., assault, fire, attempted suicide, death, and escape) that occurred in New York State correctional facilities.

#### Inmate Escape Incidents 1999-2003

This report provides descriptive information on incidents of escape from the correctional facilities of the New York State Department of Correctional Services between 1999 and 2003. The report also presents information on demographic characteristics and legal history of escapees.

#### Inmate Suicide Report 1997-2003

This report examines the characteristics of the 84 inmates who committed suicide in a Department of Correctional Services facility during the seven year period of 1997-2003.

#### Summary of OCA Felony Processing 2002-2003

This report summarizes data by region from the Office of Court Administration Activity Report for indictments, felony dispositions, pending dispositions, and felony cases pending sentence by term for calendar years 2002 and 2003.

#### New York State Felony Processing — January-December 2003

This report summarizes data by region from the Division of Criminal Justice Felony Processing Report for arrests, indictments, dispositions, and convictions for calendar years 2002 and 2003.

#### Parole Board Dispositions at DOCS Facilities — 2003

This report summarizes the release decisions of the Board of Parole at the Department of Correctional Services' correctional facilities in calendar years 2002 and 2003. Decision results are provided by month or by facility in the report.

#### Identified Substance Abusers — December 2003

This annual report provides information on inmates under custody on December 31, 2003 who have been identified as substance abusers. As of December 31, 2003, 78 percent of the inmates under Department custody for wrong substance abuse information was available were identified as substance abusers.

#### Follow-Up Study of RSAT Participants

This Department research report examined the return rates of participants in the federally funded Residential Substance Abuse Treatment (RSAT) program. This follow-up study found that the offenders who complete this program prior to release have a significantly lower return rate than both unsatisfactory program participants and the Department's overall release population.

#### Overview of New York State DOCS Drug Free Prisons Pilot Project

This final report presents an overview of the operation and the results of the pilot project, which was federally funded with the Drug Free Prisons grant. This project targeted inmates in Special Housing for drug infractions. Department research found that program participants who completed the project workbook while in SHU had a significantly lower number of disciplinary infractions after release from SHU than comparable non-participants.

#### The Impact of Foreign-Born Inmates on the New York State Department of Correctional Services

Between April 1, 1985 and December 31, 2003, the number of inmates born in the United States increased by 88 percent, but the number of foreign-born inmates increased sharply by 213 percent.

#### Video Conferencing for Deportation Hearings

The video conferencing of deportation hearings began as a ninety-day pilot project in Spring of 1998. From 1999 to 2003, a total of 9,671 televideo deportation hearings have been held. The total estimated cost savings attributable to the televideo deportation hearing program is \$1.7 million.

#### The State Criminal Alien Assistance Program

This report profiles the State Criminal Alien Assistance Program (SCAAP), which provides federal reimbursement to the states for a portion of the costs incurred as a result of incarcerating criminal aliens. The report gives a brief history of the program and awards granted.

#### Annotated Listing of Department of Correctional Services' Research on Female Commitments

The purpose of this updated listing is to briefly discuss the Department's research series on female offenders. Capsule summaries are provided of the Department's studies on female commitments.

#### Religious Affiliation of Undercustody Population — 2003

This report presents a profile of the religious affiliation of the Department's inmate population. As of January 1, 2003, over 86 percent of the inmate population reported affiliation with an organized religion.

New York State's Victim Notification Program: A Statistical Summary — 2003

This report concerns the statutorily mandated Victim Notification Program of the New York State Department of Correctional Services. This annual report updates the prior Department's reviews of this program.

Temporary Release Program: Annual Report for 2003

This annual report prepared by the Division of Temporary Release provides an overview of the operation of the Department's Temporary Release program in 2003. Information is presented on the number of participants in the past year as well as absconders, arrests and inmate earnings/taxpayer savings.

Inmate Grievance Program: Semiannual Report for January-June 2003

This report prepared by the Division of Inmate Grievance profiles the operation of the Department's Inmate Grievance program in the first six months of 2003. Statistical information is provided on the number and type of grievances filed by facility.

Inmate Grievance Program: Annual Report — 2003

This annual report prepared by the Division of Inmate Grievance provides relevant statistics on the operation of the Department's inmate Grievance Program in 2003. Similar to the preceding semiannual report, information is presented on the number and type of grievances filed by facility.

## Court Administration, Office of

See: Unified Court System, New York State

## Crime Victims Board

845 Central Avenue  
South 3, Suite 107  
Albany, New York 12206  
Joan A. Cusack, Chairwoman

**Contact:** Everett A. Mayhew, Jr., General Counsel  
(518) 457-8066  
Fax: (518) 457-8658  
E-mail: everettmayhew@cvb.state.ny.us  
Web Site: www.cvb.state.ny.us

The Crime Victims Board was created in 1966 under Article 22 of the Executive Law. The Board is empowered to provide financial assistance to innocent victims of crime or their families who incur expenses due to injury, disability or death as a direct result of those criminal acts.

The following are eligible to file a claim with the Board: (1) a victim of a crime that has sustained a personal physical injury; (2) a victim of a crime that did not sustain a physical injury but meets one of the following criteria (some of the categories of compensation may be limited.):

- (a) an elderly (60 years old or older) or disabled victim;
- (b) a child victim/witness (eligible for all categories of compensation);
- (c) a victim of certain unlawful imprisonment/kidnapping crimes;
- (d) a victim of certain menacing, harassment, stalking or criminal contempt crimes;

(3) the surviving spouse, grandparent, parent, stepparent, child or stepchild of a victim who died as a direct result of a crime; (4)

any person dependent for his/her principle support upon a victim who died as a direct result of a crime; (5) any person that paid or incurred the burial expenses of a victim; (6) the parent, step-parent, grandparent, guardian, brother, sister, stepbrother or stepsister of a child victim of a crime; (7) a surviving spouse of a crime victim who died from causes not directly related to the crime when the victim died prior to filing a claim with the Board or subsequent to filing a claim but prior to the rendering of a Board decision. This is limited to only out-of-pocket loss incurred as a direct result of a crime.

Once a claimant has filed a claim they must establish the following additional eligibility requirements: (1) that a crime was committed; (2) that the crime was promptly reported to a criminal justice agency. In cases involving sex offenses, a "criminal justice agency" shall include any medical facility that provides a forensic physical examination for victims of rape and sexual assault; (3) that the crime victim was an "innocent" victim of the crime (did not by his/her conduct contribute to the infliction of his/her injuries); (4) the victim must have cooperated in the investigation and/or prosecution of the crime, except claims based on forensic rape exams; (5) the claim must be filed within one year of the crime (or a delay in filing a claim must be justified); and (6) financial difficulty must be proven if the claim is over \$5,000.

Crime victim compensation is available for the following unreimbursed losses: (1) unreimbursed expenses for medical or other related services; (2) lost earnings or support up to \$600 per week, to a maximum of \$30,000; (3) burial expense, to a maximum of \$6,000; (4) occupational or vocational rehabilitation expense to the victim or a family member; (5) counseling expense to the victim and to certain family members are paid in accordance to the Crime Victims Board fee schedule (the fee schedule is based on reasonable fee rates depending on the credential of the treating counselor); (6) crime scene clean-up expenses; (7) for Good Samaritan (to prevent a crime, or to apprehend or help apprehend a crime perpetrator) victims only, property losses up to \$5,000; (8) expense of repair or replacement of essential personal property, to a maximum of \$500, with a maximum of \$100 for cash (the victim need not suffer physical injury if over 60, disabled, be under 18 years of age, or a stalking/harassment victim to receive this benefit); (9) transportation expense for necessary court appearances in connection with the prosecution of the crime; (10) expense of residing at or utilizing the services of a domestic violence shelter; (11) attorney fees for representation before the Board, to a maximum of \$1,000; and (12) burial and certain counseling expenses of family members without regard to the financial difficulty of the claimant when a police officer or firefighter dies from injuries received in the line of duty as a direct result of a crime.

In addition to providing financial assistance, the Crime Victims Board also acts as advocate for crime victims' rights, needs and interests in the State of New York. In this capacity the Crime Victims Board works with other governmental units as well as victims individually in an attempt to secure equity in the administration of justice at the State, County and local community level. As part of its advocacy responsibilities, a clearinghouse of multidisciplinary information is maintained on crime victims and related areas from a State and national perspective.

Since 1981, the Crime Victims Board has had legislative authority to fund local victim/witness assistance service agencies across the state. Initially, twenty-three programs received grant funds for this purpose. In fiscal year 2003-04, 193 victim/witness assistance programs received grant funds from the Board totaling approximately \$25.2 million.

The funding is a combination of State and local dollars. The Federal funding is pursuant to the Victims of Crime Act and is

comprised of fines and penalties levied against criminals convicted of federal crimes. The state funding is from the Criminal Justice Improvement account made up of mandatory surcharges and victim assistance fees assessed defendants.

The agencies provide a comprehensive array of services such as crisis intervention, counseling, court accompaniment and legal assistance, transportation, referrals to other services and assistance in completing the crime victims compensation application.

The funded programs provide assistance to those applying for State compensation as well as services to those who may not meet state eligibility requirements, ensuring that all victims in New York are able to receive services.

Victim data and workload statistics are published yearly in the Annual Report of the Crime Victims Board. "The Rights of Crime Victims in New York State" booklet informs crime victims of their rights in the criminal justice system.

## Criminal Justice Services, Division of

Four Tower Place  
Albany, New York 12203  
Chauncey G. Parker, Director of Criminal Justice and Commissioner

**Contact:** Susan Jacobsen, Bureau of Justice Research and Innovation  
(518) 457-7301  
Web Site: [criminaljustice.state.ny.us](http://criminaljustice.state.ny.us)

The Division is headed by a Commissioner who is appointed by the Governor. The Commissioner also serves as the Governor's Director of Criminal Justice, overseeing policy development and operations for all New York State criminal justice agencies and programs.

The central mission of the Division of Criminal Justice Services is to apply agency resources to the reduction of crime and the increase in criminal justice system effectiveness in New York State. This is accomplished through the following major programs: Administration; Justice Information Systems; Public Safety; Strategic Planning; and Legal and Forensic Services.

The Division's goals and objectives are to:

- Advise and assist the Governor and the Director of Criminal Justice in developing policies, plans and programs for improving the coordination, administration and effectiveness of the criminal justice system;
- Act as the official State Planning Agency pursuant to certain federal acts and prepare, evaluate and revise statewide crime control and juvenile justice delinquency prevention plans;
- Receive and disburse funds from the federal government as described by these plans;
- Conduct criminal justice research and monitor program effectiveness on behalf of the criminal justice system;
- Recommend and promulgate a minimum basic training course for Police and Peace Officers and Security Guards;
- Conduct studies and analyses of the administration or operations of any law enforcement agency when requested by the head of such agency, and make the results available for the benefit of such agency;
- Establish, through electronic data processing and related procedures, a central data facility with a communication network serving qualified agencies throughout the state, so that they may contribute and have access to information contained in the central data facility;

- Receive, process and file fingerprints, photographs and other descriptive data for the purpose of establishing the identity and previous criminal record of arrested persons, and provide such records to authorized representatives of federal, state and local criminal justice agencies;
- Adopt appropriate measures to assure the security and privacy of its files;
- Provide technical support, training and funding to law enforcement, prosecution, defense, and crime laboratories;
- Maintain the DNA databank, the sex offender registry, and the Uniform Crime Reporting system for New York State, and provide statistical and other information from these as authorized by law; and
- Administer a program of internal controls and internal audit to meet the requirements of the Governmental Accounting, Auditing, and Internal Control Act..

### Statistical Series:

Crime and Justice Annual Report: Presents offense and arrest data, indictment, disposition and sentence information reported by the courts, probation, corrections, parole, the Crime Victims Board activity reported by those agencies, and law enforcement agency strength, and law enforcement officers killed or assaulted in the line of duty.

Frequency: Annually

Published: 1974 to present

## Demographic Research and Reapportionment, Legislative Task Force on

250 Broadway — 21st Floor  
New York, New York 10007-2563  
Senator Dean G. Skelos, Co-Chairman  
Assemblyman Sam Hoyt, Co-Chairman

**Contact:** Debra A. Levine, Co-Executive Director  
Lewis M. Hoppe, Co-Executive Director  
(212) 618-1100  
Web Site: [www.latfor.state.ny.us](http://www.latfor.state.ny.us)

The Legislative Advisory Task Force on Reapportionment was established by Chapter 45 of the Laws of 1978. It consists of six members, of whom two are appointed by the Temporary President of the Senate, two by the Speaker of the Assembly, and one each by the Minority Leader of the Senate and the Minority Leader of the Assembly.

After completion of the State's redistricting in 1983, the Legislature determined that the information system constructed by the Task Force could be of assistance to legislative committees and State agencies in mapping and data analysis projects. Chapter 45 of the Laws of 1978 was amended by Chapter 51 of the Laws of 1983 and the advisory task force was continued as the Legislative Task Force on Demographic Research and Reapportionment.

On April 1, 2000, the Census Bureau fulfilled its decennial responsibility to undertake a full enumeration of the nation's population. Under Public Law 94-171, enacted in 1975, the Census Bureau is required to send the governor and legislature of each state the results of the decennial census, within a one-year period, to be used for redistricting.

The 2000 reapportionment process was the most open in the State's history. The Task Force provided the general public, both individuals and organizations, with unprecedented access to this process. Maps, data, and other information were made available to interested parties on several media: paper, CD-ROM, and the Internet.

After preparing proposed plans for new Assembly and Senate districts, the Task Force held a series of public hearings throughout the State. Subsequently, Governor Pataki signed the legislative districts into law as Chapters 35 and 38 of the Laws of 2002. The Governor later signed the new Congressional districts into law as Chapter 86 of the Laws of 2002.

The Census Bureau reported that New York's population grew by 5.5 percent between 1990 and 2000, while the national population grew by 13.2 percent. As a result, the State lost two seats in the House of Representatives (from 31 seats to 29), as reported by the President of the United States. Each of New York's 29 Congressional districts now contains approximately 654,000 people (an increase of 74,000).

Following the 2000 Census, the average population of an Assembly and Senate district increased by about 6,500 and 11,000, respectively (to approximately 126,500 per Assembly district and 306,000 per Senate district), due to the State's population gain. The State Constitution (Article III, section 2) stipulates 150 Assembly districts and (Article III, sections 2 and 4) outlines a formula for the determination of the number of State Senate districts. According to figures compiled in the 2000 census, implementation of this formula created a State Senate of 62 districts.

To facilitate the 2000 reapportionment, the Task Force developed a state-of-the-art geographic information system (GIS), composed of computer hardware, software, and a street-level database. The database contains census geography, political geography, and census data, all down to the block level. From this database, maps and associated demographic reports for any area of the State can be generated.

The Task Force maintains an on-line database of census data statewide and has developed a Demographic Information and Retrieval System (DIARS), which allows members of the Legislature and State agencies to access the data directly by telephone. Reports and studies can be produced on various topics and the Task Force has the capability of integrating the data with its mapping facilities.

The Task Force has also developed a Geographic Area Retrieval Program (GARP) to assist users in cross-referencing local geography and census areas. Based upon the Census Bureau's Topologically Integrated Geographic Encoding and Referencing (TIGER) file, GARP allows a user to determine the State legislative, Congressional, and school district, as well as census geography for any address within the State. GARP is also accessible by telephone. A description of capabilities and detailed information on DIARS and GARP may be obtained from the Task Force.

## Publications

2002 Atlas of New York State Congressional and Legislative Districts: State Senate and State Assembly: An 8-1/2" by 14" atlas of individual district maps created on the Task Force's computerized redistricting system. Also contains a demographic section that breaks down each district's population by race/Hispanic origin and voting age. Includes a CD-ROM.  
Price: \$50 plus shipping and handling

2002 Congressional and Legislative District Profiles: One volume each for State Assembly, State Senate, and United States Congress summarizing demographic and socioeconomic data as recorded in the 2000 Census of Population and Housing. Data include race, age, citizenship, ancestry, education, employment, household income, and home ownership, aggregated to the 2002 legislative district level.  
Price: \$50 for the set, \$20 each for individual volumes, plus shipping and handling

The same publications are also available for the 1990's reapportionment process. For prices, please contact the Task Force.

## Dormitory Authority of the State of New York

515 Broadway  
Albany, New York 12207-2964  
Gail H. Gordon, Chair  
Maryanne Gridley, Executive Director

**Contact:** Paul J. Burgdorf, Director, Communications and Marketing  
(518) 257-3380  
Web Site: [www.dasny.org](http://www.dasny.org)

The Dormitory Authority is a public benefit corporation authorized to finance and build higher education, health care, mental health and public-purpose facilities across New York State. The Dormitory Authority sees as its mission to provide customers with low-cost, quality sources of capital and facilities delivered on time by a responsive and innovative team of professionals.

The Authority was created in 1944 to finance and build dormitories at State teachers' colleges following World War II. Over the years, the Legislature has increased the Dormitory Authority's scope of duties. In September 1995, the former Medical Care Facilities Finance Agency and Facilities Development Corporation were consolidated into the Dormitory Authority. Proposed by Governor George E. Pataki, the consolidation provides one-stop services for project financing and construction for the higher education and health care sectors, while investors retain their confidence in a highly regarded bond issuer.

Annually, the Dormitory Authority is one of the top municipal bond issuers in the country, with \$6.03 billion in bonds sold during fiscal year 2004 and a bond portfolio of \$31.2 billion. Its project management workload tops \$4.95 billion, making the Authority one of the largest public building construction agencies in the nation.

Today, the Dormitory Authority finances and builds facilities for health care, higher education, government and certain nonprofit and public institutions so they may furnish their vital services to New Yorkers. These facilities include public and private university academic and residential buildings, hospitals, laboratories, medical research facilities, nursing homes, art galleries, libraries, performing arts centers, adult homes, special education schools, primary care facilities, psychiatric centers and court facilities.

Among the construction and financing programs offered by the Dormitory Authority are: City University of New York capital programs; State University of New York educational facilities, dormitories and dining halls; independent colleges and universities; community colleges; Special Act school districts; court facilities for cities and counties; long-term care facilities; nonprofit hospitals; nonprofit agencies providing mental health services; facilities for the New York State departments of Health, Education and Mental Hygiene; New York City Health and Hospitals Corporation capital program; nonprofit institutions authorized by statute; and tax-exempt equipment leasing and financing programs for all Authority clients.

The Authority carries out its programs through an 11-member Board, a full-time staff of more than 600 persons, independent bond counsel and other outside advisers. Five Members are appointed by the Governor, with the advice and consent of the Senate, to terms of three years. The State Comptroller, the Temporary President of the Senate, and the Speaker of the Assembly each appoint a Member, and the Director of the Budget and Commissioners of Health and Education serve *ex officio*. Members serve without compensation but are entitled to reimbursement for necessary expenses.

Statistics regarding Dormitory Authority programs and activities are published in its Annual Report and are available on the Internet at [www.dasny.org](http://www.dasny.org).

## Economic Development, Department of

See: Empire State Development

## Education Department

State Education Building  
Albany, New York 12234  
Richard Mills, Commissioner

**Contact:** Elementary, Middle, Secondary and Continuing Education:  
Martha Musser, Coordinator, Office of Information and Reporting Services  
(518) 474-7965  
E-mail: rptcard@mail.nysed.gov

Higher Education:  
Glenwood Rowse, Coordinator, Office of Research and Information Systems  
(518) 474-5091  
E-mail: heds@mail.nysed.gov

Web Site: [www.nysed.gov](http://www.nysed.gov)

The Education Department has overall coordinating responsibility for all public and private systems of elementary, secondary and higher education in the State and provides oversight and technical assistance to New York's museums, libraries, historical societies and historic records repositories. Additionally, it administers the New York State Museum, New York State Library and New York State Archives as well as several smaller cultural programs. The Department's Office of Information and Reporting Services provides comprehensive statistical information on request and through a variety of standard periodic publications.

The Office of Information and Reporting Services also plots long-term trends in elementary and secondary enrollment, number of schools and school districts, high school graduates and in many other areas of interest. Higher education enrollments and degrees awarded are reported by the Higher Education Office of Research and Information Systems (ORIS). Data concerning school revenues and expenditures are provided by the State Aid Unit of the State Education Department.

### Statistical Series:

#### ELEMENTARY AND SECONDARY EDUCATION

**Fall Enrollment:** Number of students for each school grade (K-12), including students with disabilities.  
In: Public School Enrollment and Staff  
Frequency: Annually  
Areas covered: School districts, counties, regions, total State  
Published: 1902 to present

**High School Graduates:** Number of high school graduates and number of graduates entering post-secondary education within and outside New York State. This document may be viewed at the Department's web site ([www.nysed.gov](http://www.nysed.gov)).  
In: Distribution of High School Graduates and College-going Rate  
Frequency: Annually  
Areas covered: School districts, counties, regions, total State  
Published: 1902 to present

**Nonpublic School Enrollment and Staff:** Data are presented in summary and disaggregated form (by county and religious affiliation).

In: Nonpublic School Enrollment and Staff  
Frequency: Annually  
Published: 1966 to present

**Number of Public Schools:** Distribution of schools by type (elementary, junior high, senior high).  
In: Public School Enrollment and Staff  
Frequency: Annually  
Area covered: New York State  
Published: 1902 to present

**Number of School Districts:** Total in New York State by type (city, dependent, independent superintendency).  
In: Public School Enrollment and Staff  
Frequency: Annually  
Area covered: New York State  
Published: 1902 to present

**Professional Positions in Public Day Schools:** By type of position (classroom teachers, other professional staff).  
In: Public School Enrollment and Staff  
Frequency: Annually  
Areas covered: School districts, counties, regions, total State  
Published: 1902 to present

**Racial/Ethnic Distribution of Public School Students and Staff:** Presents historical and current data (disaggregated by school district), as well as racial/ethnic composition of the State's public schools.  
Frequency: Annually  
Published: 1968 to present

#### HIGHER EDUCATION

Information on colleges and universities is available through the Office of Research and Information website at [www.highered.nysed.gov/oris](http://www.highered.nysed.gov/oris) in table and/or file format for the following topics.

**Admission Information:** Trend data on application, acceptance and enrollment patterns of freshman. Current data by racial/ethnic category and by academic preparation.

**Degrees Conferred:** Degrees conferred by level of degree, gender, subject areas, and racial/ethnic category.

**Employees-Faculty:** Number, gender, rank, tenure and salaries of full-time instructional faculty.

**Employees:** Counts of staff by, type, racial/ethnic category and gender. Number of instructional faculty by institution.

**Enrollment at Colleges and Universities:** Enrollment by level of study, sector, gender, racial/ethnic category and institution.

**Enrollment Activity:** Information on annual and fall term credit hours and contact hours by program level. Fall term persistence and remedial/development success for first-time undergraduates.

**Enrollment by Origin of Students:** Student residency information by level of student.

**Enrollment of Transfer Students:** Information on full-time undergraduate transfer students by source institution sector and level.

**Enrollment of Students with Disabilities:** Number of students enrolled in colleges and universities by type of disability.

**Enrollment by Age of Student:** Enrollment by age category and level of student.

**Graduation Rates:** Cohort graduation rates of full-time, first-time and transfer students at the associate and baccalaureate degree levels.

Institutional Finances: Financial information on the State's colleges and universities.

Student Charges: Tuition and fees, room and board.

### **Other Publications:**

Annual Report to the Governor and the Legislature on the Educational Status of the State's Schools (a.k.a., the Chapter 655 Report): Chapter 655 of the Laws of 1987, which amended Section 215-a of State Education Law, requires the Education Department to annually submit a report to the Governor and the Legislature providing a statistical picture of schools and students. The annual report is due in February and is presented in two parts. The first part (Volume 1), titled Statewide Profile of Educational System, provides data on longitudinal trends as well as an analysis of student/district need and minority composition. Gender and other issues are addressed in Volume 1. The second part (Volume 2, Statistical Profiles of Public School Districts), provides district level demographic and performance data. Volume 2 data may be viewed at the Department's website ([www.nysed.gov](http://www.nysed.gov)).

New York State School Report Card: Since 1997 the Department has produced a Public School Report Card for all public schools. The Report Cards are distributed in late winter of each school year and contain assessment (testing), dropout, attendance, suspension, enrollment and high school graduate data. Data are displayed for the most recent three years of available data. Schools are compared statistically to schools of similar type with student need being a factor in determining similar schools. The School Report Cards may be viewed at the Department's website ([www.nysed.gov](http://www.nysed.gov)).

Code Manual for Public School Districts in New York State: The single source for school district codes used by the field and all units of the Education Department which receive reports from the schools of the State.

Directory of New York State Public Schools and Administrators: Published in the early fall, this is the sole reference available which shows names, addresses and telephone numbers of chief school officers and school principals. After an initial distribution within the Department and to school administrators, additional copies are sold on request. This document may be viewed at the Department's website ([www.nysed.gov](http://www.nysed.gov)).

Directory of Nonpublic Schools and Administrators: Published in the early fall, this is the sole reference available showing names, addresses and telephone numbers of nonpublic school principals. It also shows the registration status of nonpublic high schools. This document may be viewed at the Department's website ([www.nysed.gov](http://www.nysed.gov)).

Education Statistics, New York State, Prepared Especially for Members of the Legislature: A pocket size booklet, this publication is made available to legislators and their staffs at the opening of the legislative session to provide a brief overview of education in the State. This document may be viewed at the Department's web site ([www.nysed.gov](http://www.nysed.gov)).

## **State Archives**

Cultural Education Center  
Empire State Plaza  
Albany, New York 12230  
Christine W. Ward, Assistant Commissioner and Executive Officer

**Contact:** Kathleen D. Roe, Archival Services  
(518) 474-6926  
E-mail: [archref@mail.nysed.gov](mailto:archref@mail.nysed.gov)  
Web Site: [www.archives.nysed.gov](http://www.archives.nysed.gov)

An office of the Education Department, the New York State Archives appraises, acquires, preserves and provides access to historically valuable records from all three branches of State government. The Archives is also responsible for providing records advisory services to both State and local governments, and for advising and assisting archival programs throughout the state. Operational since 1978, the State Archives houses over 71,335 cubic feet of material dating from about 1632 to the present, with additional records acquired at a rate of 2,500-3,000 cubic feet per year. Most of the records in the Archives are on paper; they include files, bound volumes, cartographic records, architectural documents, photographic prints and computer printouts. Significant holdings are also contained on microfilm, videotapes, audiotapes, computer tapes, photographic and motion picture film.

## **Geological Survey**

Room 3099  
Cultural Education Center  
Empire State Plaza  
Albany, New York 12230  
Dr. Robert H. Fakundiny, State Geologist

**Contact:** Robert H. Fickies, C.G., Assistant Chief  
(518) 474-5810  
E-mail: [rfickies@mail.nysed.gov](mailto:rfickies@mail.nysed.gov)

The New York State Geological Survey performs two basic functions: providing geological information to governmental agencies, the mineral industry and the public; and serving as the geological research bureau for New York state government. The Survey is currently involved in major projects concerning environmental, engineering and regional geologic studies.

Projects dealing with environmental and engineering studies include: statewide landslide inventory; engineering properties of clay deposits of New York; prediction and mitigation of earthquake effects; and low-level radioactive waste repository siting.

Regional geologic investigations include: quadrangle mapping of bedrock and/or soils of the Adirondack Mountains, and portions of Onondaga and Westchester counties; Paleozoic biostratigraphic investigations in New York; seismic investigations of the crustal structure of New York; cataloging of mineral resource localities in northern New York; and evaluation of offshore mineral resources of Long Island.

### **Publications:**

Scientific Publications List: Cumulative list of available New York State Geological Survey publications.  
Frequency: Annually  
Area covered: New York State  
Published: 1958 to present

## **State Library**

Cultural Education Center  
Empire State Plaza  
Albany, New York 12230

**Contact:** Valerie Chevrette, Public Information Coordinator  
(518) 474-5961  
Web Site: [www.nysl.nysed.gov](http://www.nysl.nysed.gov)

The New York State Library is one of the nation's oldest libraries, established in 1818. The Research Collection includes more than 20 million books, documents, maps and other resources. More than 39,000 New Yorkers with physical impairments enjoy at-home access through the New York State Talking Book and Braille Library. Additionally, New Yorkers statewide enjoy 24-hour access to a powerful on-line catalog of information about the Library, its collections and services through the Internet.

The New York State Library sponsors a powerful statewide virtual library. NOVEL, the New York Online Virtual Electronic Library, gives New Yorkers access to full-text of thousands of national and international newspapers and magazines, health and medical resources, business collections, and age-appropriate materials for youngsters via their local library. NOVEL is the first ever statewide virtual library in New York State.

The Standards and Specifications collection provides small businesses with rush reference services that win business contracts for New York.

The State Library is the only complete repository for federal publications in New York State and is one of only 63 United States Patent Depository libraries in the entire nation. It is the only state library in the nation to qualify for membership in the Association of Research Libraries.

The Library Development Division promotes library development in the State to insure educational, informational, vocational, and recreational library resources for all New Yorkers. The Division works with 9 reference and research library resources systems, 23 public library systems, 42 school library systems, 260 academic and research libraries, 752 public libraries and many special libraries, serving as a clearinghouse for library information.

#### **Statistical Series:**

Public Libraries: In Public and Association Libraries Statistics.  
Frequency: Annually  
Area covered: New York State  
Published: 1956 to present (Latest edition on CD ROM 1998)  
Statistics from 1991-2003 available on the Division of Library Development's web site at [www.nysl.nysed.gov/libdev/libs/index.html](http://www.nysl.nysed.gov/libdev/libs/index.html)

#### **Other Publication:**

Library Systems: Members of library systems, addresses and maps of systems.  
In: The Directory of New York State Library Systems and members  
Frequency: Annually through 1993; irregularly thereafter  
Area covered: New York State  
Published: 1976 to present (Latest edition 2000)  
Information about libraries and library systems is available on the Division of Library Development's web site at [www.nysl.nysed.gov/libdev/libs/index.html](http://www.nysl.nysed.gov/libdev/libs/index.html).

*Find Your Public Library* is available on the Division of Library Development's web site at [www.nysl.nysed.gov/libdev/libs/pulibs/1pls.htm](http://www.nysl.nysed.gov/libdev/libs/pulibs/1pls.htm).

## **State Museum**

Cultural Education Center  
Empire State Plaza

Albany, New York 12230  
Clifford A. Siegfried, Assistant Commissioner and Director

**Contact:** David W. Palmquist, Manager, Chartering Program  
(518) 473-3131  
Web Site: [www.nysm.nysed.gov](http://www.nysm.nysed.gov)

The State Museum's history, inquiry, discovery and education began in 1836 when the Museum was established as the State Geological and Natural History Survey. Over the years, it has grown into a major research and educational institution dedicated to preserving New York's rich artistic, social, historical and environmental legacies under the leadership of the New York State Education Department.

The Museum's mission is two-fold:

- To promote inquiry and advance knowledge in the fields of geology, biology, anthropology, and history through the investigation of material evidence germane to New York State's past, present and future, and
- To share this knowledge through exhibits and other means with wide and diverse audiences, whom the Museum encourages to take delight in learning by participating in the discovery processes central to its work.

Today, the State Museum's collections number more than six million artifacts and specimens. Each year, the Museum's exhibits and programs attract more than one million visitors, more than half of whom come from outside the Capital Region. The programs that are offered to school groups attract about 60,000 students annually from throughout the State.

## **Office of Educational Television and Public Broadcasting**

Albany, New York 12230  
[Vacant], Director

**Contact:** Mark Waldman  
(518) 474-4731  
Web Site: [www.oce.nysed.gov/etvpb/](http://www.oce.nysed.gov/etvpb/)

Nine public television stations and seventeen public radio stations are funded in part by New York State through the State Education Department. Each station is owned by a Regents-chartered corporation or institution of higher learning. Public television stations provide educational programming in conjunction with established committees at each station.

## **Elections, State Board of**

40 Steuben Street  
Albany, New York 12207  
Neil W. Kelleher, Chair  
[Vacant], Executive Director

**Contact:** Lee Daghlian, Director of Public Information  
(518) 474-1953  
E-mail: [ldaghlian@election.state.ny.us](mailto:ldaghlian@election.state.ny.us)  
Web Site: [www.elections.state.ny.us](http://www.elections.state.ny.us)

The State Board of Elections, created in 1974 by an act of the New York State Legislature, has responsibility for the administration

and enforcement of all election laws including campaign finance disclosure.

The Board certifies candidates for the ballot, prepares the form and content of ballot issues and tabulates the vote for State offices for submission to the State Board of Canvassers.

The Board has extensive oversight functions with respect to local boards of election. It directs and monitors administrative procedures, promulgates rules and regulations and adopts uniform forms prescribed by the Election Law.

The State Board also investigates all violations of the Election Law and, if it finds reasonable cause to believe that a violation warranting criminal prosecution has occurred, it refers the case to the appropriate district attorney.

With respect to campaign finance disclosure, the Board is the filing office for all financial statements relating to candidates for State office. As such, it audits the statements and refers violators to district attorneys, or obtains fines through civil proceedings. The Board also issues advisory opinions and establishes accounting procedures.

The Board is also involved in promoting voter registration in New York State. Currently, the Board is responsible for administering the agency-based registration program created pursuant to the National Voter Registration Act and the newly executed Help America Vote Act of 2002. The Board also maintains its 1-800-FOR-VOTE registration hotline, which callers may use to obtain voter registration forms. In addition, the Board provides voter registration supplies to groups and organizations throughout the state interested in conducting voter registration drives.

## Empire State Development

30 South Pearl Street  
Albany, New York 12245  
Charles A. Gargano, Commissioner

**Contact:** Robert Scardamalia, Chief Demographer  
(518) 292-5300  
Web Site: [www.nylovesbiz.com/nydc/default.asp](http://www.nylovesbiz.com/nydc/default.asp)

Empire State Development, formerly the Department of Economic Development, is responsible for the promotion of business and industry in New York State. In line with this, Empire State Development conducts research, compiles statistics and publishes information on various aspects of the State's economy.

Among the Empire State Development's regular publications are an annual fact book on business and an annual report on personal income. Empire State Development also provides a variety of data services and is able to make certain data available via the web and diskette.

### Statistical Series:

Business Factbook, New York State: Annual data on general business indicators, production, export trade, employment and earnings, construction, income, and other indicators.

Frequency: Annually  
Areas covered: United States, New York State, metropolitan areas, counties  
Published: 1948 to present

Personal Income in Areas and Counties of New York State: Detailed estimates by type and source of income, based on data from the United States Department of Commerce.  
Frequency: Annually

Areas covered: New York State, metropolitan areas and counties  
Published: 1929 to present

## State Data Center

Empire State Development  
30 South Pearl Street  
Albany, New York 12245  
Charles A. Gargano, Commissioner  
(518) 292-5300

**Contact:** Robert Scardamalia, Chief Demographer  
Technical Support: (518) 292-5300  
Web Site: [www.nylovesbiz.com/nydc/default.asp](http://www.nylovesbiz.com/nydc/default.asp)

New York State is participating in the State Data Center Program established by the U.S. Bureau of the Census. The purpose of this program is to provide improved access to the extensive data files available from nationwide censuses that collect and process information on a wide range of topics at various levels of governmental or geographic subdivisions.

The New York State Data Center provides services to state and local governments, business and industry, educational institutions, nonprofit organizations and individuals. These services are provided through a network of Affiliate Data Centers located throughout the entire state. The Affiliates, along with public, academic and research libraries, provide data access and statistical services at the local level. (For a complete list of Affiliate Data Centers, see pages 657-661.)

Primary data sources include the decennial Census of Population and Housing, Economic Censuses, the Census of Agriculture, the Census of Governments and a variety of other data maintained by the U.S. Bureau of the Census, Bureau of Economic Analysis and Bureau of Labor Statistics. Much of the data is available on CD-ROM as well as in printed reports.

### Statistical Series:

Current Population Estimates, Official: Total population estimates for New York State, by county, as of July 1 of each year.  
Frequency: Annually  
Published: 1960 to present

Tabulations from the Current Population Survey for New York State: Data on age, race, Spanish origin, sex, income, education and employment as of March of each year.  
Frequency: Annually  
Area covered: State  
Published: 1986 to 1999

## New York State Data Center and Affiliates

### Lead Agency

Empire State Development  
30 South Pearl Street  
Albany, New York 12245  
Technical Assistance (518) 292-5300  
Web Site: [www.empire.state.ny.us](http://www.empire.state.ny.us)

## New York State Data Center and Affiliates

### Coordinating Agencies

Cornell Institute for Social and Economic Research  
CISER Data Archive  
Cornell University  
391 Pine Tree Road  
Ithaca, New York 14850  
Dr. Warren Brown  
(607) 255-8399  
Web Site: [www.nysis.cornell.edu](http://www.nysis.cornell.edu)

New York State Office of Real Property Services  
Sheridan Hollow Plaza  
16 Sheridan Avenue  
Albany, New York 12210-2714  
Bill Godell  
(518) 474-3453  
Web Site: [www.orps.state.ny.us](http://www.orps.state.ny.us)

New York State Library  
6th Floor — Cultural Education Center  
Empire State Plaza  
Albany, New York 12230  
Allan Raney  
(518) 474-5355  
Web Site: [www.nysl.nysed.gov/](http://www.nysl.nysed.gov/)

### Affiliates

Capital District Regional Planning Commission  
5 Computer Drive, West  
2nd Floor  
Albany, New York 12205  
Leif Engstrom  
(518) 453-0850  
Web Site: [www.cdrpc.org](http://www.cdrpc.org)  
Counties served: Albany Saratoga  
Rensselaer Schenectady

Center for Governmental Research, Inc.  
One South Washington Street, Suite 400  
Rochester, New York 14614  
Sarah Boyce  
(585) 325-6360  
Web Site: [www.cgr.org](http://www.cgr.org)  
Counties served: Statewide

Central New York Regional Planning and Development  
Board  
126 North Salina Street  
100 Clinton Square, Suite 200  
Syracuse, New York 13202  
Kathleen Bertuch  
(315) 422-8276  
Web Site: [www.cnyrpd.org](http://www.cnyrpd.org)  
Counties served: Cayuga Onondaga  
Cortland Oswego  
Madison

City University of New York  
The Graduate Center/CUNY  
CUNY Data Service  
365 Fifth Avenue, 6th Floor  
New York, New York 10016

Joseph Pereira  
(212) 817-2032  
Web Site: [web.gc.cuny.edu/cur/](http://web.gc.cuny.edu/cur/)  
Counties served: Statewide

Columbia County Planning Department  
401 State Street, 3rd Floor  
Hudson, New York 12534  
Patrice O. Perry  
(518) 828-3375  
Web Site: [columbiacountyny.com](http://columbiacountyny.com)  
Counties served: Columbia

Columbia University  
Mail Code 3301  
420 West 118th Street, 201 IAB  
New York, New York 10027  
Jane Weintrop  
(212) 854-6012  
Web Site: [www.columbia.edu/acis/eds/](http://www.columbia.edu/acis/eds/)  
Counties served: Bronx Queens  
Kings Richmond  
New York

Cornell University  
Olin Library, Room 504  
Ithaca, New York 14853-5301  
Janie Harris  
(607) 255-9481  
Web Site: [campusgw.library.cornell.edu](http://campusgw.library.cornell.edu)  
Counties served: Statewide

Dutchess County Department of Planning and  
Development  
27 High Street  
2nd Floor  
Poughkeepsie, New York 12601  
Lindsay Carille  
(845) 486-3600  
Web Site: [www.co.dutchess.ny.us](http://www.co.dutchess.ny.us)  
Counties served: Dutchess

Genesee/Finger Lakes Regional Planning Council  
50 West Main Street, Suite 8107  
Rochester, New York 14614  
David Zorn  
(585) 454-0190  
Web Site: [www.gflrpc.org](http://www.gflrpc.org)  
Counties served: Genesee Seneca  
Livingston Wayne  
Monroe Wyoming  
Ontario Yates  
Orleans

Greater Syracuse Chamber of Commerce  
572 South Salina Street  
Syracuse, New York 13202  
Connie Mauté  
(315) 470-1886  
Web Site: [www.syracusechamber.com](http://www.syracusechamber.com)  
Counties served: Cayuga Onondaga  
Cortland Oswego  
Madison

## New York State Data Center and Affiliates

Greene County Planning and Economic Department  
Greene County Office Building  
411 Main St  
Catskill, New York 12414  
Warren Hart  
(518) 719-3290  
Web Site: [www.greenebusiness.com](http://www.greenebusiness.com)  
Counties served: Greene

Herkimer-Oneida Counties Comprehensive Planning  
Program  
Union Station  
321 Main Street, 3rd Floor  
Utica, New York 13501  
Dale S. Miller  
(315) 798-5710  
Web Site: [www.co.oneida.ny.us](http://www.co.oneida.ny.us)  
Counties served: Herkimer Oneida

Hudson Valley Regional Council  
1010 D Street  
New Windsor, NY 12553-8475  
Diana Hesse  
(845) 567-9466  
Web Site: [www.hudsonvalley.org](http://www.hudsonvalley.org)  
Counties served: Columbia Rockland  
Dutchess Sullivan  
Greene Ulster  
Orange Westchester  
Putnam

Lake Champlain/Lake George Regional Planning Board  
P.O. Box 765  
Lake George, New York 12845  
Walter Young  
(518) 668-5773  
Web Site: [www.albany.net/~rpb/](http://www.albany.net/~rpb/)  
Counties served: Clinton Warren  
Essex Washington  
Hamilton

Long Island Regional Planning Board  
H. Lee Dennison Office Building, 4th Floor  
P.O. Box 6100  
Hauppauge, New York 11788  
Roy Fedelem  
(631) 853-5111  
Web Site: [www.co.suffolk.ny.us/planning](http://www.co.suffolk.ny.us/planning)  
Counties served: Nassau Suffolk

Marist College  
School of Management  
3399 North Road  
Poughkeepsie, New York 12601-1387  
Ann Davis  
(845) 575-3945  
Web Site: [www.marist.edu](http://www.marist.edu)  
Counties served: Dutchess Ulster  
Orange

Montgomery County Department of Economic  
Development and Planning  
Old County Courthouse

9 Park Street, P.O. Box 1500  
Fonda, NY 12068-1500  
Ken Rose  
(518) 853-8334  
Web Site: [www.co.montgomery.ny.us/economicdevelopment/](http://www.co.montgomery.ny.us/economicdevelopment/)  
Counties served: Montgomery

Nelson A. Rockefeller Institute of Government  
411 State Street  
Albany, New York 12203-1003  
Michael Cooper  
(518) 443-5258  
Web Site: [www.rockinst.org](http://www.rockinst.org)  
Counties served: Statewide

New York City Department of City Planning  
Population Division  
22 Reade Street — 4 West  
New York, New York 10007  
Richard Satkin  
(212) 720-3443  
Web Site: [www.nyc.gov/planning](http://www.nyc.gov/planning)  
Counties served: Bronx Queens  
Kings Richmond  
New York

New York Metropolitan Transportation Council  
199 Water St  
New York, New York 10038  
Wieslawa Amber  
(212) 383-7233  
Web Site: [www.nymtc.org/](http://www.nymtc.org/)  
Counties served: Nassau Suffolk  
Putnam Westchester  
Rockland Also New York City

New York State Association of Health Planning  
Agencies, Inc.  
c/o Finger Lakes Health Systems Agencies  
1150 University Avenue  
Rochester, NY 14607  
Melinda Whitbeck  
(585) 461-3520  
Web Site: [www.flhsa.org](http://www.flhsa.org)  
Counties served: Statewide

New York State Department of Economic Development  
30 South Pearl Street  
Albany, New York 12245  
Staff  
(518) 292-5300  
Web Site: [www.empire.state.ny.us](http://www.empire.state.ny.us)  
Counties served: Statewide

New York State Department of Labor  
Building 12, Room 490  
Harriman State Campus  
Albany, New York 12240  
Frank Menendez  
(518) 457-1316  
Web Site: [www.labor.state.ny.us](http://www.labor.state.ny.us)  
Counties served: Statewide

## New York State Data Center and Affiliates

New York State Department of Transportation  
Office of Transportation and Strategy  
Data Analysis and Forecasting Bureau  
50 Wolf Road, 6th Floor  
Albany, New York 12232  
Nathan Erlbaum  
(518) 457-2967  
Web Site: [www.dot.state.ny.us](http://www.dot.state.ny.us)  
Counties served: Statewide

New York State Library  
6th Floor — Cultural Education Center  
Empire State Plaza  
Albany, New York 12230  
Allan Raney  
(518) 474-2274  
Web Site: [www.nysl.nysed.gov/](http://www.nysl.nysed.gov/)  
Counties Served: Statewide

New York State Office of Children and Family Services  
52 Washington Street  
South Building, Room 314  
Rensselaer, New York 12144  
Staff  
(518) 473-1286  
Web Site: [www.dfa.state.ny.us](http://www.dfa.state.ny.us)  
Counties Served: Statewide

New York State Office of Temporary and Disability  
Assistance  
40 North Pearl Street  
Albany, New York 12243  
George Falco  
(518) 473-2539  
Web Site: [www.otda.state.ny.us](http://www.otda.state.ny.us)  
Counties Served: Statewide

New York State Small Business Development Center  
State University Plaza  
41 State Street  
Albany, New York 12246  
Roger Green  
(518) 443-5398  
Web Site: [www.nyssbdc.org](http://www.nyssbdc.org)  
Counties served: Statewide

Niagara County Center for Economic Development  
Vantage Center, Suite 1  
6311 Inducon Corporate Drive  
Sanborn, New York 14132  
Michael Casale  
(716) 278-8750  
Web Site: [www.nccedev.com](http://www.nccedev.com)  
Counties served: Niagara

Orange County Department of Planning  
124 Main Street  
Goshen, New York 10924  
Kathy V. Murphy  
(845) 291-2535  
Web Site: [www.co.orange.ny.us](http://www.co.orange.ny.us)  
Counties served: Orange

Port Authority of New York and New Jersey  
233 Park Avenue South, 11th Floor  
New York, New York 10003  
Eugene Spruck  
(212) 435-4417  
Web Site: [www.panynj.gov](http://www.panynj.gov)  
Counties served: Dutchess      Rockland  
                         Nassau              Suffolk  
                         Orange              Westchester  
                         Putnam              Also New York City

Putnam County Division of Planning and Development  
841 Fair Street  
Carmel, New York 10512  
Michelle Powers  
(845) 878-3480 ext. 109  
Web Site: [www.putnamcountyny.com](http://www.putnamcountyny.com)  
Counties served: Putnam

Queens Borough Public Library  
89-11 Merrick Boulevard  
Jamaica, New York 11432  
Wai Sze Chan  
(718) 990-8656  
Web Site: [www.queenslibrary.org](http://www.queenslibrary.org)  
Counties served: Bronx      Queens  
                         Kings              Richmond  
                         New York

Rockland County Department of Planning  
50 Sanitorium Road, Building T  
Pomona, New York 10970  
Doug Schuetz  
(845) 364-3421  
Web Site: [www.co.rockland.ny.us](http://www.co.rockland.ny.us)  
Counties served: Rockland

St. Lawrence County Planning Office  
48 Court Street  
Canton, New York 13617  
John F. Tenbusch  
(315) 379-2292  
Web Site: [www.co.st-lawrence.ny.us](http://www.co.st-lawrence.ny.us)  
Counties served: St. Lawrence

Southern Tier Central Regional Planning Board  
145 Village Square  
Painted Post, New York 14870  
Marcia Weber  
(607) 962-5092  
Web Site: [www.stcplanning.org](http://www.stcplanning.org)  
Counties served: Chemung      Steuben  
                         Schuyler

Southern Tier East Regional Planning Development  
Board  
375 State Street  
Binghamton, New York 13901-2308  
Robert Augenstern  
(607) 724-1327 ext. 212  
Web Site: [www.steny.org](http://www.steny.org)  
Counties served: Broome      Otsego  
                         Chenango      Schoharie  
                         Cortland      Tioga  
                         Delaware      Tompkins

## New York State Data Center and Affiliates

Southern Tier West Regional Planning and  
Development Board  
Center for Regional Excellence  
4039 Route 219  
Salamanca, New York 14779-9625  
Brian Schrantz  
(716) 945-5301  
Web Site: [www.southerntierwest.org](http://www.southerntierwest.org)  
Counties served: Allegany Chautauqua  
Cattaraugus

State University of New York at Albany  
Center for Social and Demographic Analysis  
BA B-10  
1400 Washington Avenue  
Albany, New York 12222  
Richard Alba  
(518) 442-4669  
Web Site: [www.albany.edu/csda](http://www.albany.edu/csda)  
Counties served: Statewide

State University of New York at Binghamton  
Bartle Library  
P.O. Box 6012  
Binghamton, New York 13902  
Dave Vose  
(607) 777-4907  
Web Site: [library.lib.binghamton.edu/](http://library.lib.binghamton.edu/)  
Counties Served: Statewide

State University of New York at Buffalo  
Business and Government Documents Reference Center  
Lockwood Library  
Buffalo, New York 14260-2200  
Ed Herman  
(716) 645-2814 ext. 431  
Web Site: [ublib.buffalo.edu/libraries/asl/guides/  
busdoc/index.html](http://ublib.buffalo.edu/libraries/asl/guides/busdoc/index.html)  
Counties served: Statewide

State University of New York at Plattsburgh  
101 Broad Street  
Redcay 213  
Plattsburgh, New York 12901

Jim Murphy  
(518) 564-3215  
Web Site: [www.plattsburgh.edu/](http://www.plattsburgh.edu/)  
Counties served: Statewide

Syracuse University  
Bird Library  
222 Waverly Avenue  
Syracuse, New York 13244-2010  
Lesley Pease  
(315) 443-3209  
Web Site: [libwww.syr.edu/research/internet/  
government/index.html](http://libwww.syr.edu/research/internet/government/index.html)  
Counties served: Statewide

Syracuse-Onondaga County Planning Agency  
11th Floor, Civic Center  
421 Montgomery Street  
Syracuse, New York 13202  
Megan Hourigan  
(315) 435-2611  
Web Site: [www.ongov.net](http://www.ongov.net)  
Counties served: Onondaga

Ulster County Planning Board  
P.O. Box 1800  
244 Fair Street  
Kingston, New York 12402  
Robert Leibowitz  
(845) 340-3337  
Web Site: [www.co.ulster.ny.us/planning/](http://www.co.ulster.ny.us/planning/)  
Counties served: Ulster

Westchester County Department of Planning  
432 County Office Building  
148 Maritime Avenue  
White Plains, New York 10601  
Michael Lipkin  
(914) 995-2426  
Web Site: [www.westchestergov.com/](http://www.westchestergov.com/)  
Counties served: Westchester

## Employee Relations, Governor's Office of

2 Empire State Plaza, Suite 1201  
Albany, New York 12223-1250  
George H. Madison, Director

**Contact:** Michelle Germain McDonald, Spokesperson  
(518) 474-4800  
Web Site: [www.goer.state.ny.us](http://www.goer.state.ny.us)

The Governor's Office of Employee Relations, created on June 1, 1969, is charged with carrying out the powers and duties conferred on the Governor by the Taylor Law. The Office acts as the Governor's agent in negotiating collective agreements, including compensation and benefit programs, with the nine public employee unions representing the 14 bargaining units that comprise a majority of the State work force.

OER provides advice and counsel to the Governor on employee relations activities and has broad powers to require State officers and agencies to comply with the State's obligations under the collective agreements. The Office advises State agencies on contract interpretation and personnel policies; processes grievances that are appealed from the facility and agency levels; represents the State in all contract arbitrations and in other employee relations matters before the Public Employment Relations Board; and assists the Attorney General in case preparation and presentation in lawsuits concerning employee relations.

The Office also advises the Governor on matters of public employee relations for various jurisdictions of public employment and prepares necessary legislation to carry out policy recommendations.

The Office conducts, participates in and funds a variety of education and training activities for negotiating unit members in cooperation with the public employee unions, as well as through the

State's several joint labor/management committees. In addition, the Office is responsible for benefit and compensation packages and the design and implementation of training and development activities for the State's management/confidential employees. All of these programs are designed to improve the efficiency, skills, level of knowledge and quality of work life of New York State employees. The statewide network of approximately 40 on-site day care centers, as well as the statewide Employee Assistance Program, are also the shared responsibility of the joint labor/management committees.

Through its consulting and training services, the Office helps State agencies, leaders and employees integrate the best available management approaches and practices to improve operational performance and customer satisfaction. This function emphasizes the use of strategic planning, process reengineering, teamwork, labor/management partnering, and related concepts to get better results from government organizations.

The Office maintains copies of collective agreements with the 14 bargaining units under its jurisdiction, negotiations, benefit plans and labor/management and management/confidential training programs. The activities and responsibilities of the Office in negotiations and human resource development create a large volume of data, most of which are not presented in journal publication format but available at the agency's website (<http://www.goer.state.ny.us>).

## Energy Office, New York State

Agency went out of operation in 1995.

## Energy Research and Development Authority, New York State

17 Columbia Circle  
Albany, New York 12203-6399  
Vincent A. DeIorio, Chairman  
Peter R. Smith, President

**Contact:** Thomas G. Collins, Director of Communications  
(518) 862-1090 ext. 3250  
(518) 862-1091(fax)  
E-mail: [tgc@nyserda.org](mailto:tgc@nyserda.org)  
Web Site: [www.nyserda.org](http://www.nyserda.org)

New York State Energy Research and Development Authority (NYSERDA), a public benefit corporation, was created by the New York State Legislature in 1975 to use innovation and technology to solve some of New York's most difficult energy and environmental problems in ways that improve the State's economy. NYSERDA places a premium on objective analysis, as well as collaboration, reaching out to solicit multiple perspectives and share information. NYSERDA is committed to public service, striving to be a model of what taxpayers want their government to be: effective, flexible, responsive and efficient. This commitment is reflected in the more than 200 national and international awards NYSERDA has received over the past 25 years.

NYSERDA administers the New York Energy Smart<sup>SM</sup> program, which is designed to support certain public benefit programs during the transition to a more competitive electricity market. Some 1,100 projects in more than 40 programs are funded by a charge on the electricity transmitted and distributed by the State's investor-owned utilities. The New York Energy Smart<sup>SM</sup> program provides energy efficiency services, including those

directed at the low-income sector, research, economic development and environmental protection activities.

NYSERDA derives its basic research revenues from an assessment on the intrastate sales of New York State's investor-owned electric and gas utilities, and voluntary contributions from the New York Power Authority and the Long Island Power Authority. More than 400 NYSERDA research projects help the State's businesses and municipalities with their energy and environmental problems. Since 1990, NYSERDA has successfully developed and brought into use more than 125 innovative, energy-efficient, and environmental beneficial products, processes and services.

NYSERDA's Research and Development programs incorporate innovative energy-efficient technologies into industrial processes enhancing the environment by saving energy, preventing pollution, and strengthening the economy, making New York State industry more competitive through increased productivity and reduced vulnerability to energy price and supply disruptions. NYSERDA's Energy Efficiency Services programs use federal funds to work with business and institutions in identifying existing technologies and equipment to reduce their energy costs, improve environmental conditions, secure project funding from public and private sources and stimulate usage of alternative fueled vehicles. With an eye on usage, the Energy Analysis program monitors energy supply, consumption, distribution and regulatory policies.

Additional NYSERDA areas of responsibility:

- The New York State Low-Level Radioactive Waste Management Act (1986) charged NYSERDA with acquiring lands for designing and obtaining necessary regulatory approvals for constructing and operating facilities to dispose low-level radioactive waste (LLRW) generated in New York State.
- NYSERDA manages the Western New York Nuclear Service Center, the site of a former nuclear fuel reprocessing facility and a moth-balled low-level radioactive waste disposal area. The site is located on 3,340 acres of State-owned land approximately 30 miles southeast of Buffalo, near West Valley in Cattaraugus County. As manager of the Center, NYSERDA represents New York State in the U.S. Department of Energy's (DOE) West Valley Demonstration Project (WVDP) and also maintains the other part of the site, including the disposal area.
- NYSERDA issues tax-exempt bonds and notes on behalf of utility companies to finance certain eligible costs of their electric, gas or steam-service systems. Under certain circumstances, NYSERDA also can issue tax-exempt special energy project bonds to reduce costs of borrowing for businesses and institutions in the State. These interest-cost savings directly benefit New Yorkers. New York State's utility customers will save nearly \$3.9 billion in interest costs on the close to \$3.9 billion in bonds that NYSERDA has outstanding.
- NYSERDA monitors generation and management of low-level radioactive waste in the State and assists the coordination of State programs related to radioactive materials control and nuclear energy, including serving as the Governor's designated liaison with the U.S. Nuclear Regulatory Commission.
- NYSERDA Residential Energy Affordability programs provide incentives, financing and low-income assistance to upgrade energy efficiency in existing homes, build Energy Star-labeled homes and use renewable energy in addition to driving market transformation through promotion of Energy Star-labeled products.

**Publications:**

NYSERDA publishes an Annual Report along with reports documenting a given program, topic or sector. Most publications can be found at: [www.nyserdera.org/publications.html](http://www.nyserdera.org/publications.html).

**Environmental Conservation, Department of**

625 Broadway  
Albany, New York 12233  
Denise M. Sheehan, Acting Commissioner

**Contact:** Laurel Remus, Director, Division of Public Affairs and Education  
(518) 402-8013  
Web Site: [www.dec.state.ny.us](http://www.dec.state.ny.us)

Creation of the Department of Environmental Conservation (DEC) in July of 1970 marked the beginning of a new approach to environmental action, bringing together all State functions for environmental protection and resource management.

The Department is charged with detecting and controlling sources of land, air and water pollution as well as protecting and managing New York's fish, wildlife, forests and other natural resources. Another mandated role is to inform and educate the public about the environment.

The operations of the Department create a large volume of data, the majority of which is not presented in journal publication. Technical reports are issued on a variety of technical subjects related to the environment.

The Department has an information site on the World Wide Web. Some statistical information is currently available on the DEC website, and there are plans to add information.

Most DEC data can be found through the main page: [www.dec.state.ny.us](http://www.dec.state.ny.us)

**Statistical Series:**

**Accidents, Hunting:** Number of injuries and fatalities.  
Available from: Division of Fish, Wildlife and Marine Resources, Sportsmen Education Unit  
Frequency: Annually  
Area covered: New York State  
Published: 1940 to present

**Air Quality Monitoring:** Detailed data on air content, as measured at various air monitoring sites throughout the State.  
Available from: Bureau of Air Research, Division of Air Resources  
Areas covered: Individual monitoring sites  
Published: 1958 to present  
Available on website: [www.dec.state.ny.us/website/dar/reports/index.html](http://www.dec.state.ny.us/website/dar/reports/index.html)

**Annual Report, Division of Water:** Water program objectives and accomplishments.  
Frequency: Annually (most recent state fiscal year)  
Available from: Division of Water

**Big Game Take:** Calculated annual legal take of deer and bear based on sample data from field checking stations, public freezer locker plants and take reports from licensed big game hunters.  
Available from: Bureau of Wildlife, Division of Fish, Wildlife & Marine Resources  
Frequency: Annually

Areas covered: Counties of New York State  
Published: 1949 to present

**Commercial Finfish, Shellfish and Crustacean Landings:** Take by species, from marine waters of New York State. Recent data give breakdown by selected major waterways.  
Available from: Bureau of Marine Resources, Division of Fish, Wildlife & Marine Resources  
Area covered: New York State  
Published: 1895 to present  
Available on website: [www.dec.state.ny.us/website/dfwrm/marine/marstats.html](http://www.dec.state.ny.us/website/dfwrm/marine/marstats.html)

**Fish Stocking:** Number of fish stocked by New York State by species and, recently, by body of water.  
Available from: Bureau of Fisheries, Division of Fish, Wildlife & Marine Resources  
Frequency: Annually  
Areas covered: New York State and/or counties  
Published: 1895 to present  
Available on website: [www.dec.state.ny.us/website/dfwrm/fish/foe4clst.html](http://www.dec.state.ny.us/website/dfwrm/fish/foe4clst.html) and [www.dec.state.ny.us/website/dfwrm/fish/stokintr.html](http://www.dec.state.ny.us/website/dfwrm/fish/stokintr.html)

**Forest Fires:** Number of fires, acres burned and estimated loss due to fires in State forest lands.  
Available from: Division of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State and/or counties  
Published: 1895 to present

**Generation and Disposal of Hazardous Waste in New York State:** Identifies quantity and type; is subdivided by DEC region and by county.  
Available from: Division of Solid and Hazardous Materials  
Frequency: Annually  
Published: 1990 to present

**Hunting, Fishing and Trapping Licenses:** License sales by type.  
Available from: Division of Fish, Wildlife and Marine Resources  
Frequency: Annually  
Areas covered: New York State and counties  
Published: 1918 to present (State); 1964 to present (counties)

**Inactive Hazardous Waste Disposal Sites in New York State (Registry):**  
Available from: Division of Environmental Remediation  
Frequency: Annually  
Areas covered: All counties  
Published: 1980; 1983 to present

**Inactive Hazardous Waste Disposal Sites: Status Report**  
Available from: Division of Environmental Remediation  
Frequency: Quarterly  
Areas covered: All counties  
Published: 1986 to present

**Inactive Hazardous Waste Site Remedial Plan: Update and Status Report.**  
Available from: Division of Environmental Remediation  
Frequency: Annually  
Area covered: New York State  
Published: 1986 to present

**Land Acquisition:** Acreage acquired by Department with summaries by purpose (Forest Preserve, Reforestation, Public Fishing Rights, Wetlands, etc.)  
Available from: Division of Lands and Forests, Bureau of Real Property  
Frequency: Annually  
Areas covered: Counties of New York State  
Published: 1885 to present

Licensed Outdoor Guides: Names and addresses of New York State Licensed Guides with breakdown by address and guide activity.  
Available from: Bureau of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State  
Published: 1986 to present

Marine Water Quality: Detailed data on the bacterial levels as measured at locations throughout the marine region.  
Available from: Bureau of Marine Resources, Division of Fish, Wildlife & Marine Resources  
Areas covered: Waters of the marine region  
Published: 1960 to present

Mining Operations: Acreage affected by mining, acreage reclaimed, type of mine and minerals being mined.  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1978 to present  
Available on website: [www.dec.state.ny.us/website/dmn/minedata.htm](http://www.dec.state.ny.us/website/dmn/minedata.htm)

Municipal Sludge Management Practices in New York State  
Available from: Division of Solid and Hazardous Materials  
Frequency: Occasional  
Area covered: New York State  
Published: 1989 to present

NYS Low-Level Radioactive Waste Transportation Report.  
Available from: Division of Solid & Hazardous Materials, Bureau of Radiation and Hazardous Site Management  
Frequency: Annually  
Area covered: All counties  
Published: 1987-present  
Available on website (1997, 1998, 1999): [www.dec.state.ny.us/website/dshm/hazrad/rad.htm](http://www.dec.state.ny.us/website/dshm/hazrad/rad.htm)

Oil and Gas Drilling and Production Report: Statistics on permits issued, wells drilled and amounts of oil and gas produced in each town and field; solution mining and underground natural gas and LPG (liquefied petroleum gas) storage; exploration and development highlights; market value and revenue statistics; and leasing data for state-owned lands.  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1985 to present  
Available on website: [www.dec.state.ny.us/website/dmn/ogdata.htm](http://www.dec.state.ny.us/website/dmn/ogdata.htm)

Oil and Gas Fields Glossary and Map: Up-to-date information and maps on oil and gas fields, as well as changes in the sizes and shapes of old, historical fields. The fields are listed by county with corresponding town, type of fluid produced, status and producing formation. Information is also available on gas storage fields, LPG facilities and solution mining fields.  
Available on website: [www.dec.state.ny.us/website/dmn/index.html](http://www.dec.state.ny.us/website/dmn/index.html) or email the Division of Mineral Resources at [dmninfo@gw.dec.state.ny.us](mailto:dmninfo@gw.dec.state.ny.us)

Oil and Gas Operations: Volume produced and estimates of underground reserves.  
Available from: Division of Mineral Resources  
Frequency: Annually  
Area covered: New York State  
Published: 1966 to present  
Available on website: [www.dec.state.ny.us/website/dmn/ogdata.htm](http://www.dec.state.ny.us/website/dmn/ogdata.htm)

Public Use of Forest Recreation Facilities: Volume of use of public recreational facilities in the State Forest Preserve by individual facility.

Available from: Bureau of Recreation, Division of Operations  
Frequency: Annually  
Area covered: New York State  
Published: 1926 to present

The Recycling Bulletin: A concise, descriptive summary of the recycling programs in New York State.  
Available from: Division of Solid and Hazardous Materials  
Frequency: Updated annually.  
Available on website: [www.dec.state.ny.us/website/dshm/redrecy/exsum.pdf](http://www.dec.state.ny.us/website/dshm/redrecy/exsum.pdf)

Register of Solid Waste Management Facilities: Data on individual solid waste management facilities (landfills, transfer stations, landfill gas recovery waste-to-energy facilities).  
Available from: Division of Solid and Hazardous Materials  
Frequency: Periodically  
Published: 1978 to present

Rotating Intensive Water Basin Studies: Detailed assessment of water quality, measured at various water monitoring sites throughout the State.  
Available from: Division of Water  
Frequency: Every other year  
Area covered: Selected Drainage Basins  
Published: 1987 to present

Sales of Forest Products from State Lands: Product sales by type and volume.  
Available from: Bureau of Public Lands, Division of Lands and Forests  
Frequency: Annually  
Area covered: New York State  
Published: 1965 to present

Solid Waste Management Plan — Update.  
Available from: Division of Solid and Hazardous Materials  
Frequency: Annually prior to 1992; biennially after 1992.  
Area covered: New York State  
Published: 1987 to present

Solid Waste Management Technical Assistance Report  
Available from: Division of Solid and Hazardous Materials  
Frequency: Annually  
Published: 1988 to present

Stumpage Price Report: Lists the average low, average high and most common prices paid for standing trees sold for sawlogs, pulpwood, fuelwood and poles.  
Available from: Bureau of Private Land Services, Division of Lands and Forests  
Frequency: Semiannually (January and July)  
Area covered: 14 reporting areas throughout New York State  
Published: 1972 to present

Timber Resources, An Analysis of New York's: Analysis of the fourth forest survey of New York; trends since previous surveys; forest area by ownership, stand size and forest type; timber volume by species, location and quality; biomass; timber products output for sawlogs, pulpwood and fuel wood; growth and removals; forest area, volume and growth and removals; and opportunities for increasing production of major forest resources. (A cooperative report with the USDA Forest Service.)  
Available from: Bureau of Private Land Services, Division of Lands and Forests  
Frequency: Every 10 to 12 years  
Area covered: Entire state  
Published: 1960 to 1993

Wildland Searches and Rescues: Number of searches and staff hours spent on missions.

Available from: Division of Forest Protection and Fire Management  
Frequency: Annually  
Area covered: New York State  
Published: 1963 to 1998

### Other Publications

**Conservationist:** The Department's official magazine, with articles covering all phases of environmental concern and natural resource management. Subscription is \$10.00 per year and requests should be addressed to: Conservationist, P.O. Box 1500, Latham, New York 12110.

Available on website: [www.dec.state.ny.us/website/dpae/cons/index.html](http://www.dec.state.ny.us/website/dpae/cons/index.html)

Frequency: Bimonthly

**Directory of Primary Wood Using Industries of New York:** List of all mills in New York which use logs to produce forest products: includes sawmills, pulp and paper mills, veneer mills, etc.

Available from: NYS Department of Environmental Conservation, Bureau of Private Land Services, Division of Lands and Forests, 625 Broadway, Albany, New York 12233

Published: Every 3 to 4 years, 1954-1991.

**Directory of Secondary Wood Using Industries in New York:** Lists manufacturers that use any wood product more finished than green lumber and produce a product that includes a significant component of wood.

Available from: NYS Department of Environmental Conservation, Division of Lands and Forests, Bureau of Private Land Services, 625 Broadway, Albany, New York 12233

Published: Every 3 to 4 years, 1954-1992

**Environment DEC:** An online newsletter that covers department accomplishments and programs that relate to environmental conservation, pollution prevention, and natural resources management, as well as recreational opportunities and upcoming events that pertain to the environment of New York State.

Available on website: <http://www.dec.state.ny.us/website/environmentdec/index.html>

Frequency: Monthly

**Solid Waste Generation and Management Report.**

Available from: Division of Solid and Hazardous Materials

Frequency: Biennially after 1996

Area covered: New York State

Published: 1994 to present

**The Environmental Notice Bulletin:** The ENB publishes notices and information in accordance with Environmental Conservation Law Article 3-0306(4). Among the items published are: DEC Notice of Complete Application, DEC Notices of Rule Making, State Environmental Quality Review notices, DEC hearing notices, coastal zoning management notices, DEC announcements, registry of emission reduction credits, and oil, gas and solution mining permit activity. The ENB is an official publication of the New York State Department of Environmental Conservation.

Published: Biweekly from July 29, 1976 through May 17, 1977. It has been published weekly since May 25, 1977. Since November 10, 1999, the ENB has been available online from the DEC website: [www.dec.state.ny.us/website/enb/index.html](http://www.dec.state.ny.us/website/enb/index.html).

## Environmental Facilities Corporation, New York State

625 Broadway  
Albany, New York 12207-2997  
Thomas J. Kelly, President

**Contact:** Susan Mayer, Director of Corporate Communications  
(800) 882-9721 or (518) 402-6957  
E-mail: [info@nysefc.org](mailto:info@nysefc.org)  
Web Site: [www.nysefc.org](http://www.nysefc.org)

The New York State Environmental Facilities Corporation (EFC) is a public benefit corporation that promotes environmental quality by providing low-cost capital and expert technical assistance to municipalities, businesses and State agencies for environmental and public health projects in New York State.

EFC's primary programmatic activities are:

- **Clean Water State Revolving Fund (CWSRF).** EFC makes below market rate loans to municipalities and private organizations for water pollution control projects — including landfill closure and other nonpoint source projects. EFC has made more than \$11 billion in loans to date under this program.
- **Drinking Water State Revolving Fund (DWSRF).** EFC administers the DWSRF in partnership with the New York State Department of Health. This program provides community water suppliers, both public and private, with access to low-interest financing for projects that improve and protect public water supplies. EFC has provided almost \$1.5 billion in grants and loans to date under this program.
- **New York City Watershed Agreement.** EFC is signatory of the New York City Watershed Agreement, with substantial responsibilities in the areas of technical assistance, and contract and financial management.
- **Industrial Finance Program.** EFC makes low-interest loans to businesses for environmental improvement projects including solid waste management, sewage treatment, water supply and hazardous waste remediation.
- **Technical Advisory Services.** EFC provides technical advisory services to help businesses and government entities reduce pollution and waste streams, comply with new and existing environmental laws and regulations, and manage environmental projects and programs.
- **Financial Assistance to Business.** Governor Pataki's 1996 Clean Water/Clean Air Bond Act contained \$60 million to provide assistance to New York's small business sector in complying with water and air quality regulations. EFC is working with the Department of Environmental Conservation and Empire State Development Corporation to assist small business in protecting the environment while remaining competitive.
- **Small Business Assistance Program.** Provides small businesses such as printers, metal and wood furniture manufacturers, autobody shops, drycleaners, and various other manufacturers with free and confidential technical assistance for complying with air emission requirements.
- **Clean Vessel Assistance Program.** Helps protect and improve water quality in New York's navigable waterways by providing grants to marinas, municipalities and not-for-profit organizations for installing facilities that receive sewage from recreational marine vessels. The Clean Vessel Assistance Information and Education Program provides grants for projects that increase awareness and use of such facilities.
- **Environmental Farm Assistance and Resource Management Program (EFARM).** EFARM provides financial and

technical assistance to farming businesses across New York State for the improvement of environmental management practices, including air and water pollution abatement and odor control through improved nutrient management.

EFC is governed by a Board of Directors. There are three *ex-officio* members: The Commissioner of Environmental Conservation, who is the Chairperson and Chief Executive Officer; the State Health Commissioner; and the Secretary of State. In addition, four board members are appointed by the Governor, with the advice and consent of the Senate, for six year terms. The President of the Corporation is appointed by the Chief Executive Officer and approved by the Board of Directors.

The *ex-officio* members are Denise M. Sheehan, Acting Commissioner of Environmental Conservation; Antonia C. Novello, M.D., M.P.H., Commissioner of Health; and Randy A. Daniels, Secretary of State.

The appointed members of the Board are Lawrence F. DiGiovanna, Esq., of Brooklyn, whose term expires on December 31, 2005; Lelia M. Wood-Smith, Esq., of Rye, New York, whose term expires on December 31, 2006; and Victoria S. Kennedy, of Fayetteville, whose term expires on December 31, 2003.

Executive Staff: Thomas J. Kelly, President; David Sterman, Executive Vice President; James T. Gebhardt, Chief Financial Officer; James R. Levine, General Counsel; Barbara Wayman, Director of Corporate Operations; Robert Davis, Director of Engineering and Program Management; Frederick McCandless, Director of Technical Advisory Services; and Susan Mayer, Director of Corporate Communications and Records Access Officer.

#### **Publications:**

The State Revolving Fund Application Kit

Annual Drinking Water Intended Use Plan

Annual Clean Water Intended Use Plan

EFC Annual Report

Annual Information Statement

Clean Air News

Vapor Recovery Systems: Self Inspection Handbook for Gasoline Facilities

## **Equalization and Assessment, State Board of**

See: Real Property Services, Office of

## **Facilities Development Corporation**

Merged into the Dormitory Authority of the State of New York effective September 1, 1995.

## **General Services, Office of**

Corning Tower  
Empire State Plaza

Albany, New York 12242  
Daniel D. Hogan, Commissioner

**Contact:** Jennifer Morris, Public Affairs  
(518) 474-5987  
Web Site: [www.ogs.state.ny.us](http://www.ogs.state.ny.us)

The Office of General Services (OGS) provides comprehensive services that help facilitate government operations. Through diverse programs that support the efforts of state agencies, local governments, not-for-profit organizations and the general public, OGS works to ensure its customers receive assistance that will help them fulfill their missions and objectives.

Among the services provided by OGS are:

- a broad range of procurement contracts for the commodity, service and technology requirements of State agencies and authorized non-State agencies, including local governments, schools, libraries and not-for-profit organizations;
- architectural, engineering and design & construction management services to State agencies operating State-owned facilities;
- real property management of approximately 18 million square feet of State-owned space, including 46 major and 84 ancillary facilities across the state;
- various support services that assist governmental agencies, including management and delivery of interagency mail and freight, printing and quick copy services and employee and visitor parking, as well as support to schools, libraries and other eligible organizations through management of the Federal and State Surplus Property program and the Government Donated Foods program;
- office space planning and real estate leasing services for State agencies;
- coordination of cultural events, convention support, and visitor services at the Empire State Plaza and other major State office buildings.

OGS continually works with its clients to develop innovative strategies and solutions that will provide the public with a range of services at an affordable cost.

## **Health, Department of**

Corning Tower  
Empire State Plaza  
Albany, New York 12237  
Antonia C. Novello, M.D., M.P.H., Dr. P.H., Commissioner

**Contact:** Mark Yanulavich, Director  
Bureau of Communications Production Services  
1739 Corning Tower  
Empire State Plaza  
Albany, New York 12237  
(518) 474-8431  
Web Site: [www.health.state.ny.us](http://www.health.state.ny.us)

The New York State Department of Health is a community of professionals who, through a commitment to education, innovation, leadership in crises, customer respect and research solutions for health problems, make New Yorkers the healthiest people in the nation.

## Strategic Priorities

- Protect New Yorkers from disease, environmental risks and disasters
- Promote the healthy behavior of New Yorkers and their communities
- Improve the quality of health care in New York
- Increase access to health care for New Yorkers
- Strengthen the scientific foundation for health protection, health promotion and health care
- Strengthen the Department's capacity to achieve its goals

## Departmental Goals

Protect New Yorkers from disease, environmental risks, and disasters:

- Reduce the incidence and impact of disease
- Reduce the adverse impact of environmental factors on human health
- Increase the capacity of state and local public health systems to prepare for and respond to public health threats, emergencies, disease outbreaks, chemical, biological or nuclear terrorism and natural disasters
- Reduce the incidence of injuries and subsequent morbidity and mortality

Promote the healthy behavior of New Yorkers and their communities:

- Reduce tobacco usage and substance abuse, especially among youth
- Improve diet and physical activity
- Promote safer sexual behaviors
- Improve disease management strategies
- Enhance public knowledge by providing timely, accurate, and understandable science and public health education programs, and by disseminating appropriate educational resources
- Improve the quality of health care in New York
- Improve consumer and patient protection
- Reduce medical errors and the incidence of malpractice
- Increase quality of life for people in long-term care
- Enhance the reliability, effectiveness, and availability of services of New York's laboratory community through improved proficiency testing and laboratory education
- Improve the quality of the health industry workforce
- Promote and implement medical assistance programs for all individuals in need

Increase access to health care for New Yorkers:

- Increase health insurance coverage and the scope of health insurance benefits

- Eliminate disparities in health access and outcomes
- Increase the availability of primary health care services for underserved populations
- Increase the availability and effectiveness of preventive health services for all New Yorkers
- Increase the availability and effectiveness of services for the treatment and management of chronic diseases
- Increase the availability and effectiveness of health services for children with special health care needs
- Increase participation in managed care
- Enhance the fiscal integrity of Medicaid programs and purchase the best value health care for beneficiaries

Strengthen the scientific foundation for health protection, health promotion and health care:

- Improve our understanding of how to promote healthy behavior and how to prevent, diagnose and treat both disease and disability
- Increase our understanding of how to improve the quality, effectiveness, utilization, financing and cost effectiveness of health services
- Improve the communication and application of health research results to improve health status
- Maintain a Department-wide research agenda that anticipates future challenges to public health and facilitates translation between research and public health practice
- Enhance support of biomedical research in New York

Strengthen the Department's capacity to achieve its goals:

- Improve organizational responsiveness to the needs of the public
- Ensure the delivery of high quality products and services
- Improve the performance of our programs and systems through employee development, as well as organizational learning

## Higher Education Services Corporation, New York State

99 Washington Avenue  
Albany, New York 12255  
James C. Ross, President

**Contact:** Ronald S. Kermani  
Senior Vice President for Communications  
(518) 473-1264  
Fax: (518) 474-5593  
E-mail: rkermani@hesc.com  
Web Site: www.hesc.org

The New York State Higher Education Services Corporation (NYSHESC) was established by the State Legislature to improve the post-secondary opportunities of eligible students through the

centralized administration of student financial aid and loan programs with those of other levels of government; and to support the administration by the federal government, other states, and institutions of post-secondary education of the federal student aid programs established under Title IV of the Higher Education Act of nineteen hundred sixty-five, as amended, or any successor statute. The Agency administers a variety of grant, scholarship, special award and loan programs for students in postsecondary education. Additionally, HESC co-implements the New York State College Choice Tuition Savings Program and administers a student counseling and mentoring program.

NYSHESC is governed by a Board of Trustees consisting of 15 members, ten of whom shall be appointed by the Governor with the advice and consent of the Senate, three of whom shall be the Commissioner of Education, the Chancellor of the State University, the Chancellor of the City University and four of whom shall be students. One student shall be the President of the Student Association of the State University of New York, one the chair of the United Senate of the City University of New York, one a student currently registered and in full time attendance at a degree granting independent institution of higher education in New York, and one a student registered in a full time course of study at a State University community college. The chief operating officer of the Agency is the President, who oversees 25 programs (grants and loans) as well as several centralized support divisions.

Approximately 90 percent of the NYSHESC budget is funded through sources associated with the Federal guaranteed education loan programs; the remainder are State funds.

NYSHESC publishes an Annual Report containing statistics of grant, scholarship and loan programs administered by the Agency. Further information and literature on the student aid programs administered by the Agency may be requested online at <http://www.hesc.org>. The Annual Report is also available on the HESC web site.

## Housing and Community Renewal, Division of

38-40 State Street  
Albany, New York 12207  
Judith A. Calogero, Commissioner

**Contact:** Jennifer Farina, Director of Communications  
(518) 473-2526  
1-866-ASK-DHCR  
Web Site: [www.dhcr.state.ny.us](http://www.dhcr.state.ny.us)

The Division of Housing and Community Renewal is responsible for the supervision, maintenance and development of affordable low- and moderate-income housing in New York State. The Division performs a number of activities in fulfillment of this mission, including:

- Housing Operations — Oversight and regulation of the State's public and publicly assisted rental housing;
- Community Development — Administration of housing development and community preservation programs, including State and Federal grants and loans to housing developers to partially finance construction or renovation of affordable housing; and
- Rent Administration — Administration of the rent regulation process for more than one million rent-regulated apartments in both New York City and those localities in the counties of Albany, Erie, Nassau, Rensselaer, Rockland, Schenectady and Westchester subject to rent laws.

## Publications:

Rent Administration Publications: Call the InfoLine at (718) 739-6400.

Advisory Opinions

Fact Sheets

Operational Bulletins

Policy Statements

Rent Regulation Reform Act of 1997

Other Agency Publications: Call the DHCR Communications Unit at (212) 480-6732.

Housing Information Series Brochures

Building Partnerships through the Neighborhood and Rural Preservation Programs

Manufactured Home Park Tenants & Owners Information

Rent Regulation Reform Act of 1997

Statewide Section 8 Program

Technical Assistance — Questions and Answers

Weatherization Program

Action Plan 2005 as Approved by HUD

Capital Programs Manual

Consolidated Plan — 2001-2005

Design Handbook

HOME Program Monitoring Guide for State Recipients and Subrecipients

HouseNY

Housing Programs of New York State 2005

Legal Documents Manual

Legislative Member Item Program Manual

Low-Income Housing Credit Qualified Allocation Plan

Low-Income Housing Tax Credit Program for Special Needs Housing

Manufactured Homes Program — New York State Real Property Law Section 233

Mitchell-Lama Housing Companies — 2004 Annual Report

Neighborhood and Rural Preservation Program Manual

Performance Report as Submitted as Approved by HUD — 2003

Performance Report as Submitted to HUD on March 31, 2005

Policy and Procedures for Certified Annual Financial Reports (CAFRs) — 2005

Preservation Company Management Guide

Section 8 Owner and Agent Desk Reference: Budget Based Rent Adjustments

State Supervised Middle Income Housing Development Lists for Families and Seniors

Weatherization Assistance Program — Policy and Procedures Manual — Program Year 2004

Weatherization Assistance Program — Draft State Plan — Program Year 2005

## Housing Finance Agency, New York State

641 Lexington Avenue  
New York, New York 10022  
Jerome M. Becker, Chairman

**Contact:** Tracy A. Oats, Vice President of Policy and Planning  
(212) 688-4000, ext. 678  
Web Site: [www.nyhomes.org/hfa/hfa.html](http://www.nyhomes.org/hfa/hfa.html)

The New York State Housing Finance Agency (HFA) was created in 1960 by Article III of the Private Housing Finance Act and is a corporate governmental agency, constituting a public benefit corporation. The legislation creating the Agency determined the purpose thereof to be, in part, the providing of safe and sanitary housing accommodations, at rental rates which families and persons of low income can afford and which the ordinary operations of private enterprise cannot provide. To accomplish such purpose, the Agency issues its bonds and notes to the investing public in order to encourage the investment of private capital through the Agency in mortgage loans to housing companies and eligible borrowers which, subject to state or federal regulations as to rents, profits, dividends and disposition of their property, supply housing accommodations, and other facilities incidental or appurtenant thereto to such families and persons.

The membership of the Agency consists of the Commissioner of Housing and Community Renewal, the Director of the Budget and the Commissioner of Taxation and Finance of the State of New York, and four additional members appointed by the Governor, with the advice and consent of the Senate. The Governor designates from among the members appointed a Chairman, who serves as such during his term as a member. The Chairman of the Agency is also the chairman of the New York State Project Finance Agency, the State of New York Municipal Bond Bank Agency, and the New York State Affordable Housing Corporation, and is also the Vice Chairman of the State of New York Mortgage Agency. The members appointed by the Governor serve for the full or unexpired portions of six-year terms.

The Agency is authorized to issue bonds and notes to provide funds for the purpose of making mortgage loans to limited-profit housing companies, non-profit housing companies, urban rental housing companies, owners of multi-family federally-aided projects, owners of multi-family housing accommodations, nursing home companies, non-profit hospital and medical corporations, community development corporations, community mental health services and community mental retardation services companies, non-profit corporations authorized to provide youth facilities projects, and community senior citizens centers and services companies; for the purpose of making loans to lending institutions to finance mortgage loans for multi-family housing accommodations; for the purpose of making equity loans to mutual housing companies and certain other corporations, organized in accordance with the provisions of the Private Housing Finance

Law; for the purpose of financing health facilities for municipalities constituting social service districts; making payments to certain public benefit corporations of the state to provide funds to repay the state for amounts advanced to finance the cost of various housing assistance programs administered by such public benefit corporations. The Agency is also authorized to issue bonds and notes to provide funds for the purpose of making mortgage loans to projects combining non-profit housing and health facilities.

## Human Rights, Division of

One Fordham Plaza  
4th Floor  
Bronx, New York 10458  
Michelle Cheney Donaldson, Commissioner

**Contact:** Stephen Lopez, Acting Chief Information Officer  
(718) 741-8379  
Web Site: [www.nysdhr.com](http://www.nysdhr.com)

Initially established in 1945 as the State Commission Against Discrimination, the Division of Human Rights (DHR) is charged with the enforcement of Article 15 of the Executive Law (known as the State's Human Rights Law) and is responsible for working toward the elimination and prevention of unlawful discriminatory practices based upon race, creed, color, national origin, age, sex, disability, marital status, familial status and arrest and conviction records. Division jurisdiction extends to the areas of employment, employment training, housing, public accommodations, nonsectarian tax-exempt educational institutions, extension of credit, real estate board and volunteer fire company membership and commercial practices involving boycotts or blacklisting.

The Division is also responsible for developing policies and programs to promote human rights objectives throughout the State.

The Division may, on its own impetus, initiate formal complaint proceedings in instances where investigations uncover unlawful discriminatory practices.

The Division is currently in the process of developing a Web-based Case Management System that will allow DHR staff to more completely track the progress of complaints filed with the Division. Cutover to the new system is expected to take place during the second quarter of 2004.

## Insurance Department

25 Beaver Street  
New York, New York 10004-2319  
Howard Mills, Superintendent

**Contact:** Wayne Cotter, Director of Research  
(212) 480-2285  
Web Site: [www.ins.state.ny.us](http://www.ins.state.ny.us)

The Insurance Department supervises and monitors the financial condition and market conduct of insurers. The Department has responsibility for approving corporate formations, mergers and consolidations of insuring organizations; licensing insurance agents, brokers, adjusters, consultants and reinsurance intermediaries; and disciplining licensees that violate the Insurance Law. The Department regulates premium rates and policy forms and provisions; services complaints and inquiries from consumers; administers the affairs of companies that are placed in liquidation or rehabilitation; and investigates insurance fraud.

**Statistical Series:**

Assets, Liabilities, Income, Disbursements, Premiums and Claims Losses-Property/Casualty Companies; Life Companies; Accident and Health Companies; Health Service and Medical and Dental Indemnity Corporations; Title Companies; Mortgage Guaranty Companies; and Financial Guaranty Companies.

Frequency: Annually

Area Covered: New York State

Publications: Statistical Tables from Annual Statements. Selected data are also available in the Annual Report of the Superintendent to the Legislature.

Operating Statistics (e.g., Revenues and Disbursements), Legislative Review, New Regulations, Rate and Policy Form Filings, Liquidation Bureau Activities, Summary of Activities.

Frequency: Annually

Area Covered: New York State

Publication: Annual Report of the Superintendent

**Other Publications:**

Consumer Brochures: Life Insurance, Health Insurance (including a complaint ranking), Long-term Care Insurance, Health Maintenance Organizations, Auto Insurance (including a complaint ranking), Medicare Supplement Insurance, Homeowners and Tenants Insurance, and guides for small business owners.

**Labor, Department of**

State Office Campus, Building 12  
Albany, New York 12240  
Linda Angello, Commissioner

**Contact:** Robert Lillpopp  
(518) 457-9071  
Web Site: [www.labor.state.ny.us](http://www.labor.state.ny.us)

Under the direction of Governor George E. Pataki, the New York State Department of Labor supports the economic interests of the people and businesses in New York State. The department connects people to jobs, assists the unemployed, develops workplace skills, and protects the public and the workforce.

In carrying out its mission, the department administers New York's unemployment insurance system, labor exchange system, and state worker protection programs, including enforcement of safety and health regulations in the public sector, state labor laws and federal statutes related to working conditions, wages and hours, and laws related to Public Work. The department also serves as the State's principal source for labor market information and offers a variety of services designed to help businesses find workers and people find jobs.

The department's Division of Employment Services (DOES) has offices located across the State. The offices offer employment-related services, including career counseling and testing, occupational analysis, job development and placement, government-sponsored training programs, and computerized job search resources.

The Department of Labor is charged with overseeing the implementation of the federal Workforce Investment Act of 1998. The act streamlines the large number of training programs New York State offers. It encourages economic development by enhancing New York's competitiveness through a more highly skilled workforce, expanding New York's "work first" efforts to place welfare recipients in unsubsidized employment and creating a business-driven workforce preparation system to ensure workers possess the skills employers demand.

The department cooperates with schools, businesses and unions to sponsor a number of training programs for disadvantaged youth and displaced workers. The department has established a number of apprenticeship programs that not only help to produce a highly trained workforce but also help to expand opportunities for women and minorities to move into well-paying jobs.

The department administers the state's unemployment insurance program, sending benefits to as many as several hundred thousand claimants each week. The department collects taxes from approximately 475,000 businesses to finance these benefits.

The Labor Department is in charge of enforcement of State Labor Law and rules and regulations. The department's Apparel Industry Task Force combats abuses in the garment industry. Labor Department staff also enforces regulations governing minimum wage, hours, payment of wages, working conditions and the general health and safety of all public employees. This includes the funding of safety and health training and education programs.

The department has a web site on the Internet that provides information about the activities of the department, including information for employers, jobseekers, unemployment insurance claimants, and other users of labor market information. The web site can be accessed on the Internet at the following address — [www.labor.state.ny.us](http://www.labor.state.ny.us)

The department's Division of Research and Statistics collects, analyzes and publishes statistics on department operations, conducts research on and evaluates program proposals and operating programs, and publishes a wide variety of statistical and analytical information relating to the economy of the state and its regions. This information includes data on: occupational and industry wages, resident employment and unemployment, industry and occupational employment trends, population characteristics, occupational injuries and illnesses, and data for affirmative action planning. The division also provides online lists of demand occupations as well as CareerZone, a career exploration tool. The web site also lists contact information for the division's 10 labor market analysts around the State who are available for consultation.

**Statistical Series:**

Employees in Nonagricultural Establishments: Estimates of the number of nonfarm wage and salary workers who received pay for any part of the payroll period covering the 12th day of the month (data based on payroll reports).

Frequency: Monthly

Areas covered: New York State, New York City, metropolitan areas and Westchester, Rockland and Putnam Counties.

Published: 1990 to present

Quarterly Census of Employment and Wages: Employment and payrolls covered under the New York State Unemployment Insurance Law. The employment count represents the number of full-time and part-time employees earning wages or salaries for the payroll period covering the 12th of the month.

Frequency: Annually

Areas covered: New York State, New York City, metropolitan areas and counties.

Civilian Labor Force: Estimates of resident civilian labor force, employed, unemployed and the unemployment rate.

Frequency: Monthly

Areas covered: New York State, New York City, metropolitan areas, all counties and areas of 25,000 or more population.

Published: 1976 to present (State and New York City); 2000 to present (Counties, upstate metropolitan areas, and areas of 25,000 or more population)

## Other Publications:

Employment in New York State: A monthly newsletter covering labor research issues and trends in New York and its regions.  
Published: March 1991 to present

Directory of Labor Unions and Employee Organizations in New York State, 1998-99: Includes private and public organizations, as well as names and addresses of officers of over 5,000 local unions and their parent organizations.  
Published: 2002

All listed publications are available on the Department's web site. Additional publications are also available there.

## Law, Department of

See: Attorney General, New York State Office of the

## Liquor Authority, State

See: Alcoholic Beverage Control, Division of

## Lottery, Division of

One Broadway Center  
P.O. Box 7500  
Schenectady, New York 12301-7500  
Nancy A. Palumbo, Director

**Contact:** Jennifer Mauer, Director of Communications  
(518) 388-3415  
Web Site: [www.nylottery.org](http://www.nylottery.org)

The New York Lottery was created through a Constitutional Referendum in November 1966. The Division of the Lottery was established by Chapter 94 of the Laws of 1976 as an independent division of the Department of Taxation and Finance.

Lottery sales of \$5.8 billion in Fiscal Year 2003-2004 earned profits of \$1.9 billion, which were deposited as required by law into the State's Lottery for Education Fund.

Lottery revenues must be used exclusively for education and represent approximately 5 percent of the State's total annual aid to local school districts. From the Lottery's beginning through 2003-2004, more than \$25 billion has been generated for education. By law, 45 percent of LOTTO sales and overall 33 percent of game sales are used to support education. Other Lottery games include New York's Mega Millions, Numbers, Win 4, Take Five, Pick 10, Quick Draw and Instant games. A brochure listing the payments made to individual school districts is available upon request or can be viewed in the Lottery's web site at [www.nylottery.org](http://www.nylottery.org).

The Lottery is divided into six functional areas: Sales and Marketing, Finance, Administration, Operations, Video Gaming and Press and Community Relations.

- The Sales and Marketing Unit is responsible for the design, development, marketing, promotion and sale of all Lottery products: LOTTO, Mega Millions, Take Five, Numbers, Win 4, Pick 10, Quick Draw and Instant games. These games feature a variety of odds. Instant game products are

continually being designed and introduced at a rate of two games every three weeks. The Sales and Marketing Unit includes all of the Lottery's regional sales staff and customer service offices, Advertising, Product Development, Research, Promotions and Corporate Accounts.

- The Finance Unit is responsible for management of the Lottery's investment portfolio, financial accounting for sales and revenue, contract management, human resources, internal audit, prize payments, subscription program and building management.
- The Administration Unit is responsible for the day-to-day support services of the Lottery including: supply and distribution, mailroom and security.
- The Operations Unit is responsible for the operation and support of the Lottery including management and audit of game contractors. Support services include: audit and management of the on-line wagering system, telecommunications for the retailer network, data processing, retailer licensing, the Lottery's official drawings and video gaming licensing.
- The Video Gaming Unit is responsible for the operations and support of the video gaming central system, video gaming machines. This includes oversight of the development of game and system software, review of game development, analysis of the gaming floor(s), and overall guidance of the video gaming program.
- The Communications Unit is responsible for the communications, public relations and community outreach activities of the Lottery including: press relations, correspondence, web site content management, event management, winner awareness and education program management and awareness.

The Directors of these areas, as well as the Executive Deputy Director, report to the Director of the Lottery.

## Medical Care Facilities Finance Agency

Merged into the Dormitory Authority of the State of New York effective September 1, 1995.

## Mental Health, Office of

44 Holland Avenue  
Albany, New York 12229  
Sharon Carpinello, RN, PhD, Commissioner

**Contact:** Catherine Matt, Bureau of Planning  
(518) 473-7161  
Web Site: [www.omh.state.ny.us](http://www.omh.state.ny.us)

The Office of Mental Health is charged with carrying out mandates of the State's Mental Hygiene Law and has overall responsibility for developing plans, programs, and services in the care, treatment, rehabilitation, education and training of the mentally ill.

Its responsibility is two tiered — it directly provides services and also controls the allocation of funds to and the certification of the non-State-operated mental health programs. It provides direct services at nineteen adult, six children, and three forensic psychiatric centers and also operates two research institutes.

It allocates State-appropriated funds to local governments and issues operating certificates to provider agencies which meet required standards.

The Office maintains a large database computer system (the Department of Mental Hygiene Information System patient information system) for the facilities it operates. Individual patient-specific information is entered on-line at the facilities and transmitted to the Central Office mainframe. These resultant data files are maintained at Central Office and are used for billing, planning, statistical and management activities.

In addition, the Office maintains smaller data systems, which provide information on certified beds, staffing and budget allocations.

#### **Statistical Series:**

##### **PATIENTS SERVED**

Persons seen during a one-week period in all programs operated, certified and/or funded by the Office of Mental Health (e.g., State psychiatric centers, outpatient clinics, inpatient units of general hospitals, partial hospitalization programs, day training centers, vocational and social rehabilitation programs, community mental health centers and others). Details on persons seen include: number of visits to each program, by facility/unit; county of residence; county of unit location; age; sex; ethnic group; source of referral; prior service; diagnosis; multiple disabilities; disposition upon termination; and other variables.

In: Survey of Patient Characteristics, Summary Reports, County Rankings and Detailed Reports. Computer tapes are available to interested persons upon request.

Areas covered: State, regions and counties

Survey years: 1981, 1982, 1984, 1985, 1987, 1989, 1991, 1993, 1995, 1997, and 1999.

## **Mental Hygiene, Department of**

See: Alcoholism and Substance Abuse Services, Office of; Mental Health, Office of; Mental Retardation and Developmental Disabilities, Office of

## **Mental Retardation and Developmental Disabilities, Office of**

44 Holland Avenue  
Albany, New York 12229  
Thomas A. Maul, Commissioner

**Contact:** Deborah Sturm Rausch, Director, Public Affairs  
(518) 474-6601  
Web Site: [www.omr.state.ny.us](http://www.omr.state.ny.us)

The New York State Office of Mental Retardation and Developmental Disabilities (OMRDD) became an independent agency when legislation reorganizing the Department of Mental Hygiene became effective on April 1, 1978.

The State of New York and its local governments have a responsibility for the prevention and early detection of mental retardation and developmental disabilities and for the comprehensively planned provision of services including care, treatment, habilitation and rehabilitation of their citizens with mental retardation and developmental disabilities.

The Office of Mental Retardation and Developmental Disabilities' mission is:

- To develop a comprehensive, integrated system of services which has as its primary purposes the promotion and attainment of independence, inclusion, individuality and productivity for persons with mental retardation and developmental disabilities;
- To serve the full range of needs of persons with mental retardation and developmental disabilities by expanding the number and types of community based services and developing new methods of service delivery;
- To improve the equity, effectiveness and efficiency of services for persons with mental retardation and developmental disabilities by serving persons in the community as well as those in developmental centers, and by establishing accountability for carrying out the policies of the state with regard to such persons; and
- To develop programs to further the prevention and early detection of mental retardation and developmental disabilities.

OMRDD operates 14 Developmental Disabilities Services Offices (DDSOs) responsible for providing such programs in one or more counties. These offices seek to provide specially designed person-centered assistance to each individual with developmental disabilities as requested by that person or by his or her family. In partnership with consumers, families, staff, private providers and local governments, these offices seek to improve the quality of life of individuals and their families through the provision of quality cost-effective housing, employment and family support services.

## **Mentally Disabled, Commission on Quality of Care for the**

See: Quality of Care and Advocacy for Persons with Disabilities, Commission on

## **Metropolitan Transportation Authority**

347 Madison Avenue  
New York, New York 10017  
Peter S. Kalikow, Chairman  
Katherine N. Lapp, Executive Director

**Contact:** Alicia Martinez, Director, Marketing and Corporate Communications  
(212) 878-7425  
Web Site: [www.mta.info](http://www.mta.info)

The Metropolitan Transportation Authority is a public-benefit corporation for the continuance, further development, and improvement of public transportation in the five boroughs of New York City, seven suburban counties in New York State (Dutchess, Nassau, Orange, Putnam, Rockland, Suffolk, and Westchester) and extending into Fairfield and New Haven counties in Connecticut.

In 1965, the State Legislature created the Metropolitan Commuter Transportation Authority to operate the Long Island Rail Road. The authority was renamed the Metropolitan Transportation Authority (MTA) in 1968, with its powers expanded to include the New York City Transit Authority, the Manhattan and Bronx Surface Transit Operating Authority (MaBSTOA) and the Triborough

Bridge and Tunnel Authority. In succeeding years, the Staten Island Rapid Transit Operating Authority (1971), Metropolitan Suburban Bus Authority (1973), and Metro-North Commuter Railroad (1983) also came under the MTA's aegis. In 2003, the MTA created the MTA Capital Construction Company to manage its large-scale system expansion projects. In 2004, it created the MTA Bus Company to consolidate the operations of seven private bus companies operating under franchises issued by the New York City Department of Transportation.

In 1994, the MTA launched a service identity program and changed the popular names of most of its operating agencies to more clearly reflect the interconnectedness of the MTA network. The agencies use the following popular names: MTA New York City Transit, MTA Long Island Rail Road, MTA Long Island Bus, MTA Metro-North Railroad, MTA Bridges and Tunnels, MTA Capital Construction, and MTA Bus.

MTA headquarters provides policy direction and financing to its operating agencies for the development of operating and capital programs and for maximizing the use of MTA transportation services and facilities. Operating agencies carry out daily operations on the various transportation systems. The State of New York provides operating assistance to the MTA through mass transportation assistance programs administered by the Department of Transportation. Subsidies from the federal and local governments also provide operating support. In the past decade, the MTA has had unprecedented ridership growth. It provides nearly 8 million rides each weekday, accounting for one-third of all transit ridership in the United States.

Capital funding is provided through government appropriations and through the issuance of MTA debt securities. Since 1982, the MTA has been carrying out an enormous capital program, restoring and replacing its vast transit network. By the end of 2004, \$49.0 billion has been invested for this purpose, enabling the authority to continue providing safe, secure and reliable public transportation to its service region.

The capital program has funded improvements in all MTA operations. In New York City, these included upgraded tracks and signals; modernized subway stations; new and renovated bus depots; new and overhauled subway cars and buses; and security programs for trains, subways, and yards. For commuter railways, the capital program has funded new rail cars and locomotives; the restoration of Grand Central Terminal and renovation of Penn Station; improvements to stations, yards, tunnels, and signals; new repair and maintenance facilities; and electrification of lines.

The MTA's technological innovations, MetroCard® and E-ZPass<sup>SM</sup> revolutionized fare and toll payment on subways, buses, bridges and tunnels. MetroCard automated fare collection paved the way for free transfers between subways and buses, multiride bonuses, and weekly, monthly, and daily transit passes. E-ZPass electronic toll collection has transformed regional highway travel, decreasing traffic congestion, reducing pollution and speeding the commute of thousands of daily MTA customers.

#### **Statistical Series:**

**Capital Program Oversight Committee Report:** Monitors such capital program activities as: funding; contract awards; impact on operating budget; and project progress. Required by 1986 State legislation, this report is sent to members of the Municipal Assistance Corporation, Capital Program Review Board, MTA Board and other interested parties.

Frequency: Quarterly

Areas covered: New York City and the suburban counties

**MTA Strategic Business Plan:** An exhaustive review of the strategic business and planning issues facing the MTA and its agencies

in the coming five years. Includes many performance measures and other detailed operating statistics.

Frequency: Annually

Areas covered: New York City and the suburban counties

**MTA Annual Report:** Reports on MTA-wide issues, including the progress of the capital program, and on the operating performance of each MTA agency. Includes complete audited financial statements.

Frequency: Annually

Areas covered: New York City and the suburban counties

**Progress Report to Investors:** Provides investors, MTA bond-holders, and the financial community with timely, comprehensive information on the operations and finances of the MTA. Includes yearly financial highlights, reports on bond issuance and detailed credit summaries.

Frequency: Annually

Areas covered: New York City and the suburban counties

**Report to the MTA Finance Committee:** Reports on financial performance, service and operating performance for each MTA agency. Included are revenues, expenditures, operating statistics and such performance indicators as on-time statistics and frequency of breakdowns. Also reports on the progress of MTA's capital program for MTA NYC Transit, MTA Metro-North Railroad, MTA Long Island Rail Road, MTA Bridges and Tunnels, and MTA Capital Construction. Included are specific contracts signed, costs for each project, category of work, expected start and completion dates, reasons for delays, projects completed and major highlights.

Frequency: Monthly

Areas covered: New York City and the suburban counties

**Passenger Environment Surveys:** The MTA operating agencies perform periodic surveys of its environment and its passengers.

Frequency: Various

Areas covered: New York City and the suburban counties

## **Metropolitan Transportation Council, New York**

199 Water Street, 22nd Floor

New York, New York 10038

[Vacant], Executive Director

**Contact:** Colleen Brathwaite, Public Information Officer  
(212) 383-7241

E-mail: [cbrathwaite@dot.state.ny.us](mailto:cbrathwaite@dot.state.ny.us)

Web Site: [www.nymtc.org](http://www.nymtc.org)

Established on July 1, 1982, the New York Metropolitan Transportation Council (NYMTC or the Council) is a collaborative forum of transportation and environmental agencies and local elected officials. The Council is responsible for coordinating the transportation planning process in the ten-county area of Nassau, Putnam, Rockland, Suffolk and Westchester and the five boroughs of the City of New York.

NYMTC's members are Federal Highway Administration, Federal Transit Administration, Metropolitan Transportation Authority, Nassau County, New Jersey Transit, New York City Department of City Planning, New York City Department of Transportation, New York State Department of Environmental Conservation, New York State Department of Transportation, North Jersey Transportation Planning Authority, Port Authority of New York and New Jersey, Putnam County, Rockland County, Suffolk County, United States Environmental Protection Agency, and Westchester County.

The Council was designated by the Governor as the region's official Metropolitan Planning Organization (MPO). The Council:

1) develops, maintains and updates the Transportation Improvement Program, a five-year program of transportation projects to be implemented with available federal funds; 2) develops and approves the Unified Planning Work Program, an annual compilation of all transportation planning activities in the region; and 3) monitors and updates periodically the region's long-range transportation plan. The Council's activities include:

- Fulfillment of state and federal transportation planning mandates, including public outreach;
- Provision of information and technical services among NYMTC member agencies and other requestors; and
- Preparation of travel-related forecasts for the several modes of personal transportation.

#### **Statistical Series:**

**Hub-Bound Travel:** Persons and vehicles entering and leaving Manhattan's Central Business District, by travel mode and time of day, on an average business day.

Frequency: Annually

Areas covered: Manhattan Central Business District (south of 60th Street)

Published: 1963, 1971-1973, and 1975 to present

**Hub-Bound Travel At-a-Glance (Brochure):** A "snapshot" of persons and vehicles entering and leaving Manhattan's Central Business District by travel mode, on an average business day.

Frequency: Annually

Areas covered: Manhattan Central Business District (south of 60th Street)

Published: 1992 to present

**Regional Transportation Statistical Report:** Demographic and transportation statistics, including operational and financial data by mode; social and economic statistics; safety statistics; and airport activity.

Frequency: Annually

Areas covered: New York metropolitan area, including New Jersey and Connecticut

Published: 1983-1998, 2000 to present

**Regional Transportation At-a-Glance (brochure):** Transportation related statistics: Public transportation and highways.

Frequency: Annually

Area covered: New York metropolitan area, including New Jersey and Connecticut

Published: 1975 to present

**Regional Demographics At-a-Glance (brochure):** A "snapshot" of the region's socioeconomic and demographic statistics.

Frequency: Annually

Area covered: New York metropolitan area, including New Jersey and Connecticut

Published: 1992 to present

**Transportation Safety Report:** Addresses transportation safety issues and transportation accident statistics.

Frequency: Annually

Area covered: The 12-county downstate metropolitan area

Published: 1998, 2000 to present

**Truck Toll Volumes, Report and Brochure:** An analysis of truck trips on toll crossings data, emphasizing the importance of freight transportation in the region's overall economic development.

Frequency: Annually

Area covered: The New York metropolitan region

Published: 1997, 2001 to present

**County Profiles:** A comprehensive collection of demographic and transportation statistics at a glance, about the counties that form

the NYMTC region. Each county is presented on a separate brochure.

Frequency: Annually

Area covered: The counties of the NYMTC region

Published: 1998, 2003

**Travel Patterns:** An indication of the major changes in mass transit ridership and vehicular traffic on the river crossings in the New York metropolitan area, following the events of September 11, 2001.

Frequency: Quarterly

Area covered: The New York metropolitan region

Published: 2002 to present

**Technology Used in Freight Transportation:** A report which examines current trends in various modes of freight transportation, and comments on the use of modern technology in the freight transportation industry locally, nationally, and internationally.

Frequency: Every five years

Published: 2002

#### **Other Publications**

##### **PLANNING PRODUCTS**

**2005-2030 Regional Transportation Plan:** The plan includes the transportation concepts and studies for the region, uses socio-economic forecasts and demographic trends to identify transportation needs, and establishes a regional framework for future improvements and investments.

**2006-2010 Transportation Improvement Program:** The TIP implements the first five years of the Plan, and includes funding for roadways, bridges, transit systems, bikeways and pedestrian facilities.

**2005-2006 Unified Planning Work Program:** Outlines the planning priorities facing the metropolitan area and describes all transportation and transportation-related air quality planning activities anticipated within the next one-to-two years.

**2005 Transportation Conformity Determination:** This demonstrates that NYMTC's transportation plans conform to the state's air-quality "budgets" that sets limits for motor vehicle emissions.

**2005 Environmental Justice Assessment:** This examines the transportation planning process in the context of the requirements of Title VI of the Civil Rights Act of 1964, which prohibits discrimination in connection with programs and activities receiving Federal financial assistance, and the Federal Executive Order on Environmental Justice, which offers guidance on achieving environmental justice by identifying and addressing health and environmental issues that adversely affect minority and low-income populations disproportionately.

**2005 Consistency Assessment with New York State's Energy Plan:** A review of NYMTC's transportation plan and programs to ensure alignment with the state's Energy Plan, specifically in terms of objectives for increasing energy-efficiency and reducing greenhouse gases.

**2005 Congestion Management System Status Report:** This identifies areas of congestion in the region and plans for mitigation.

#### **Military and Naval Affairs, Division of**

330 Old Niskayuna Road

Latham, New York 12110-2224

Major General Thomas P. Maguire, Jr., The Adjutant General

**Contact:** Scott O. Sandman, Director, Public Affairs  
(518) 786-4581  
Web Site: [www.dmna.state.ny.us](http://www.dmna.state.ny.us)

The Division of Military and Naval Affairs is responsible for the militia forces of New York State. This authority is derived through the Military Law and the Executive Law of New York State.

State militia forces are comprised of the New York Army National Guard, New York Air National Guard, New York Naval Militia and the New York Guard. The Army and Air National Guard and Naval Militia are reserve components of the Federal military service and have a dual Federal/State obligation. The New York Guard is a volunteer reserve land force with responsibility only to the State of New York. Its mission is to provide a reserve force capable of replacing and assuming the State mission of Army National Guard units activated into Federal service and to be available for call-up by the Governor in cases of State emergencies.

Goals/Objectives:

- **Federal:** In a national emergency, the New York Army and Air National Guard are subject to mobilization by the President of the United States. The Federal mission is to provide a trained force to perform Federal duties in a national emergency.
- **State:** At the direction of the Governor, the militia forces of New York are available to assist both State and local civil authorities during natural disaster or emergency situations that threaten the lives or property of citizens.

The Division of Military and Naval Affairs is responsible for providing the administrative, training, housing and logistical support necessary to increase the proficiency and readiness of the State's Militia forces.

## Mortgage Agency, State of New York

641 Lexington Avenue  
New York, New York 10022  
Joseph Strasburg, Chairman

**Contact:** Tracy A. Oats, Vice President of Policy and Planning  
(212) 688-4000, ext. 678  
Web Site: [www.nyhomes.org/sony/sonyma.html](http://www.nyhomes.org/sony/sonyma.html)

The State of New York Mortgage Agency's (SONYMA) programs are geared to first time home buyers of owner-occupied, one-to-four unit residences that are required to meet eligibility criteria established by the Agency, which criteria are required by applicable Federal law. SONYMA's primary home ownership program is the Low Interest Rate Mortgage Program. Using the proceeds of tax exempt mortgage revenue bonds, the Low Interest Rate Mortgage Program offers below market interest rate mortgage loans to low and moderate income households who must be first time home buyers except in target areas. In addition, SONYMA from time to time operates special home ownership programs. These programs currently include the Achieving the Dream Program, the Constructive Incentive Program and the Remodel New York Program. The Achieving the Dream Program provides very low interest rates to low-income first time home buyers. The Construction Incentive Program allows qualified buyers to obtain up to 100 percent financing for the purchase of newly constructed one and two family homes. The Remodel New York program allows for the borrower to finance the cost of acquisition and renovation in one low rate loan.

The Agency's Mortgage Insurance Fund Division (MIF) provides primary mortgage insurance when the property which is the security for the loan (a) is in an area suffering from disinvestment; (b) is located within a designated economic development zone; (c) will result in the production of affordable housing; (d) will be financed by a public lender and meets that lender's criteria; or (e) will provide a retail or community service facility that would not otherwise be provided. In addition, in 1989, the MIF was authorized to write pool insurance on pools of (a) single family loans financed by SONYMA's Single Family Division; (b) loans made by certain domestic not-for-profit corporations; or (c) loans made by a financial institution to a cooperative housing corporation where refinancing is not otherwise available and will facilitate or accommodate affordable home ownership opportunities. In addition, in December of 2004, the MIF was authorized to enter into agreements to provide credit support for bonds and ancillary bond facilities issued by the Convention Center Development Corporation, a subsidiary of the New York State Urban Development Corporation. The yearly amount of credit support, which can be provided by the MIF is limited by statute.

Membership consists of eight directors as follows: the Comptroller or a director appointed by the Comptroller who will serve until a successor is appointed, the Director of the Budget, the Commissioner of Housing and Community Renewal, one director appointed by the Temporary President of the Senate, one director appointed by the Speaker of the Assembly and three directors appointed by the Governor with the advice and consent of the Senate. From the three directors appointed by him, the Governor designates the chairperson of the Agency. The directors appointed by the Temporary President of the Senate and the Speaker of the Assembly serve at the pleasure of the Temporary President of the Senate and the Speaker of the Assembly respectively. A majority of the directors then in office constitutes a quorum for the transaction of any business or the exercise of any power or function of the Agency. Directors do not receive a salary but are reimbursed for actual and necessary expenses. Annual reports to the Governor, Chairperson of the Senate Finance Committee, Chairperson of the Assembly Ways and Means Committee, Comptroller and Director of the Budget are required.

## Motor Vehicles, Department of

Swan Street Building  
Empire State Plaza  
Albany, New York 12228  
Raymond P. Martinez, Commissioner

**Contact:** Kenneth Rose, Director of Program Analysis and Data Services  
(518) 474-0686  
E-mail: [nydmv@dmv.state.ny.us](mailto:nydmv@dmv.state.ny.us)  
Web Site: [www.nydmv.state.ny.us](http://www.nydmv.state.ny.us)

The major objective of the Department of Motor Vehicles is to develop and administer an effective highway safety program in New York State with emphasis on driver and vehicle safety.

The Department maintains an extensive program of recording, reporting and analyzing motor vehicle accidents occurring in the State. Excluded are property-damage-only accidents involving \$1,000 or less damage to any one person, effective August 1, 1991. Previously the limits were:

\$600 (9/85-8/91)  
\$400 (9/78-9/85)  
\$200 (1/70-9/78)  
\$150 (1/65-1/70)

Accident data summaries are published annually and are available statewide and by geographic area. These data are extensively used for evaluating safety aspects of programs of the State, by and of the Department, other State agencies, local governments and the traffic safety community.

The Department is also responsible for: registration and titling of resident motor vehicles; registration of snowmobiles and motorboats; examination and licensing of motor vehicle operators; control and remedial training of operators evidencing poor driving performance; inspection and evaluation of the safety condition of motor vehicles; regulation of vehicle and driver related businesses; collection and analysis of motor vehicle conviction information; and management of the compulsory financial security program. Each of these functions has a contributory role in the Department's larger responsibility for promoting traffic accident prevention in the State.

#### **Statistical Series:**

**Motor Vehicle Accidents:** Data are aggregated and categorized by a number of variables including date, severity, location, driver characteristics, driving environment characteristics, vehicle type, etc.  
In: Summary of Motor Vehicle Accidents (MV-144A)  
Frequency: Monthly, Semiannually, Annually  
Areas covered: New York State, counties and minor civil divisions (annual only)  
Published: 1962 to present

**Motor Vehicle Drivers' Licenses:** Number of licenses and fees collected, by type of license.  
In: Motor Vehicle Registrations, Licenses and Fees Collected (MV-213)  
Frequency: Annually  
Areas covered: Counties of New York State  
Published: 1921 to present

**Motor Vehicle Registrations:** Number of vehicles and fees received, tabulated by type of vehicle registration and county of residence.  
In: Motor Vehicle Registrations, Licenses and Fees Collected (MV-213)  
Frequency: Annually  
Areas covered: Counties of New York State  
Published: 1921 to present

**Motor Vehicle Statistics:** Comprehensive compilation of accident, conviction, registration and license data.  
Frequency: Annually  
Area covered: New York State  
Published: 1975 to present

### **Municipal Bond Bank Agency, New York State**

641 Lexington Avenue  
New York, New York 10022  
Jerome M. Becker, Chairman

**Contact:** Tracy A. Oats, Vice President of Policy and Planning  
(212) 688-4000, ext. 678  
Web Site: [www.nyhomes.org/mbba/mbba.html](http://www.nyhomes.org/mbba/mbba.html)

MBBA was created in 1972 pursuant to Article 8 of the Public Authorities Law of the State of New York, as amended. Its purpose is, in part, to foster and promote the provision of adequate capital markets for, and to facilitate borrowings by, the State's municipalities for their public improvements or purposes; to assist those municipalities in fulfilling their needs for improve-

ments by the creation of indebtedness; and further, to encourage continued investor interest in the purchase of bonds or notes of municipalities as sound and preferred securities for investment. In Chapter 203 of the Laws of 2000 a municipal tax lien securitization program was authorized. The program involves the issuance of obligations by MBBA (or an entity created by MBBA) to facilitate the purchase of delinquent tax liens from municipalities in the State of New York. The obligations are collateralized only by the tax liens (which may be pooled from multiple municipalities throughout the State) and any other funds pledged for the repayment of such obligations. In legislation enacted in 2002, MBBA was authorized to issue school deficit program bonds for the enlarged city school district of the City of Troy. The same legislation permits MBBA to issue special school purpose bonds on behalf of certain special school purpose municipalities, which have prior year claims due and payable to them under certain provisions of the State Education law. It is MBBA's goal that the Program provide a significant and on-going vehicle for municipalities to more efficiently manage fiscal activities through the present realization of revenue and relief from the costs and burdens of delinquent tax collections.

The membership of MBBA consists of the State Comptroller (or a director appointed by the Comptroller), the Secretary of State, the Director of the Budget, the Chairman of the New York State Housing Finance Agency, and three additional directors appointed by the Governor, with the advice and consent of the Senate, at least one of whom must be an elected official of a municipality. The directors appointed by the Governor serve for the full or unexpired positions of four-year terms. MBBA generates an annual report, which is sent to the Governor, the Chairman of the Finance Committee, the Chairman of the Assembly Ways and Means Committee, the Comptroller, and the Director of the Budget.

### **New York Independent System Operator**

3890 Carman Road  
Schenectady, New York 12303  
William J. Museler, President and Chief Executive Officer

**Contact:** John M. Adams, Director, System and Resource Planning  
(518) 356-6139  
E-mail: [jadams@nyiso.com](mailto:jadams@nyiso.com)  
Web Site: [www.nyiso.com](http://www.nyiso.com)

The New York Independent System Operator (NYISO) is an independent, not-for-profit corporation that operates the state's electric power grid from a Power Control Center near Albany, New York, in a manner that ensures reliability and provides fair and open access for all buyers and sellers in the electricity wholesale markets.

The NYISO is one of three Northeast ISOs; the others are ISO-New England and PJM Interconnection. By coordinating their operations, the three Northeast ISOs ensure the reliability of electricity in the region and facilitate the new competitive marketplace.

The NYISO is governed by a board of directors from diverse professional backgrounds who are not affiliated with any entity that transacts business in the New York electric markets. Under the 10-member board of directors are governance committees made up of the NYISO's stakeholders (buyers and sellers at both the wholesale and retail level) and other participants who do not have a commercial stake in the market (i.e., government agencies and environmental advocates). These committees are the Management

Committee and, reporting to it, the Operating Committee and the Business Issues Committee.

A professional staff of analysts and engineers at the NYISO Control Center in Guilderland, New York, run state-of-the-art computer systems used to operate the electricity markets, monitor and dispatch the electric system in real-time, and provide support services to the NYISO's customers. Staff members are independent of and unaffiliated with any entity that transacts business in the New York electric market.

From its sophisticated Control Center, the NYISO monitors a network of more than 10,775 miles of high-voltage transmission lines and approximately 800 generators. This complex system is essential to meet New York's demand for electricity, which reached 156.0 billion kilowatt hours in 1999.

Currently, New York maintains an installed generating capacity of 35,000 megawatts to meet growing electric energy requirements. The highest demand for a one-hour period to date was 30,982 megawatts recorded on August 9, 2001.

Operating 24 hours a day, 365 days a year, the NYISO ensures that New York's more than 18 million residents will receive the most reliable electric power possible through a fair and competitive energy market.

## New York Power Pool

See: New York Independent System Operator

## New York State Association of REALTORS®, Inc.

130 Washington Avenue  
Albany, New York 12210-2220  
Charles M. Staro, Chief Executive Officer

**Contact:** Salvatore I. Prividera Jr., Director of Communications  
(518) 463-0300  
E-mail: [commun@nysar.com](mailto:commun@nysar.com)  
Web Site: [www.nysar.com](http://www.nysar.com)

The New York State Association of REALTORS® is a not-for-profit trade organization representing more than 59,000 of New York State's real estate professionals. The association provides a variety of benefits including legislative and legal representation, educational programs, publications such as *New York State REALTOR®* and a code of professional standards. The term REALTOR® is a registered trademark, which identifies real estate professionals who subscribe to a strict code of ethics as members of the National Association of REALTORS®. These REALTORS® are also members of the New York State Association of REALTORS® as well as their local board or association of REALTORS®.

The New York State Association of REALTORS® (NYSAR) was founded in 1905 as the Real Estate Association of New York State, and for a time was better known as the New York State Association of Real Estate Boards.

Throughout its history, NYSAR has remained consistent in its purpose, yet has progressed and matured with the times. The goal is to assist the association's members in conducting their business successfully and ethically, while promoting the preservation of the right to own, transfer and use real property.

## Niagara Frontier Transportation Authority

181 Ellicott Street  
Buffalo, New York 14203  
Luiz F. Kahl, Chairman  
Lawrence M. Meckler, Executive Director

**Contact:** Lawrence M. Meckler, Executive Director  
(716) 855-7369  
Web Site: [www.nfta.com](http://www.nfta.com)

Created by an Act of the New York State Legislature in 1967, the NFTA is a multipurpose authority charged with responsibility for developing air, water and surface transportation in Erie and Niagara Counties, and with the further objective of formulating and putting into effect a unified mass transportation policy for Erie and Niagara Counties. The Authority owns and operates the Buffalo Niagara International Airport, Niagara Falls International Airport, Port of Buffalo, Metropolitan Transportation Center in Buffalo, Niagara Falls International Transportation Center and the Niagara Frontier Transit Metro System, Inc. in Erie and Niagara Counties.

## Olympic Regional Development Authority

Olympic Center  
218 Main Street  
Lake Placid, New York 12946  
Ted T. Blazer, President and Chief Executive Officer

**Contact:** Mr. Sandy Caligiore, Director of Communications  
(518) 523-1655 ext. 213  
E-mail: [info@orda.org](mailto:info@orda.org) or [sandyc@orda.org](mailto:sandyc@orda.org)  
Web Site: [www.orda.org](http://www.orda.org)

The Olympic Regional Development Authority (ORDA) was created in 1982 as a public benefit corporation with the mandate to manage, maintain and promote and upgrade the facilities that were utilized for the 1980 Olympic Winter Games.

ORDA constructed the United States Olympic Training Center in Lake Placid. It also sponsors numerous athletic and cultural events that bring world class athletes to the area, which is becoming a notable year-round resort.

Under the management of ORDA are:

- The Olympic Center, the world's largest ice center with four refrigerated surfaces under one roof;
- State-owned ski center facilities on Whiteface Mountain and Gore Mountain in the Adirondacks;
- The MacKenzie Intervale Ski Jumping Complex, a multi-faceted site for ski jumping, freestyle aerial skiing (with plastic matting for summer and fall jumping) and a freestyle pool at the Kodak Sports Park, containing 750,000 gallons of water, for summer training;
- The Olympic Speed Skating Oval, which is a 400-meter refrigerated surface;
- The Verizon Sports Complex includes a new combined bobsled/luge and skeleton track, which opened in January 2000, 50 kilometers of cross-country ski trails and a biathlon range, as well as snowshoeing and mountain biking; and

- The 1932 and 1980 Lake Placid Winter Olympic Museum located at the Olympic Center.

Beyond management of events and initiation of programs such as Sports Development to promote education and participation in Olympic sports, the Authority has significantly expanded and improved the facilities, investing over \$40 million. In addition, with the support of the State of New York, the Authority constructed the \$16 million U.S. Olympic Training Center at Lake Placid, one of three in the country.

The Authority is also a major promoter of tourism in the Adirondack Region of Upstate New York. From figure skating shows and concerts at the Olympic Center to world competitions in winter sports, the Authority has allowed Lake Placid to proudly carry the banner of the "Winter Sports Capital of the World."

The Authority hosted the Winter Goodwill Games in Lake Placid February 16-20, 2000. The Goodwill Games were founded in 1986 by Ted Turner as the result of a vision of international goodwill through world-class competition.

The Authority has also partnered with ESPN for the 2000 ESPN Great Outdoor Games. The two entities collaborated on this event once again on July 12-15, 2001, and did so again in July 2002.

## **Parks, Recreation and Historic Preservation, Office of**

Agency Building One  
Empire State Plaza  
Albany, New York 12238  
Bernadette Castro, Commissioner

**Contact:** Robert W. Reinhardt, Director for Planning and Design  
(518) 474-0415  
Web Site: [www.oprhp.state.ny.us](http://www.oprhp.state.ny.us)

The Office of Parks, Recreation and Historic Preservation (OPRHP) is responsible for, as of March 2004, a statewide system of 169 State Parks and 35 State historic sites and parks, 27 golf courses, 76 beaches, 27 marinas and more than 8,300 campsites and cabins. In addition, OPRHP oversees three major performing arts centers providing a diversity of popular entertainment. Historic site programs interpret colonial life and highlight the state's military heritage for today's generations. State Parks' 18 nature centers and their related activities reveal the secrets of the natural environment to thousands of school children and outdoor enthusiasts each year.

Additionally, OPRHP administers the Empire State Games, the Senior Games, Physically Challenged Games, the Heritage Areas program and Federal Grant programs for recreational development, historic preservation and cultural enrichment as well as boating and snowmobile safety and education programs.

The major planning document of the Office is the Statewide Comprehensive Outdoor Recreation Plan, which outlines major program areas and projects future use and facility needs. OPRHP maintains: (1) revenue and attendance records for State parks and historic sites; (2) inventories of public and private recreation facilities as well as properties listed on the National Register of Historic Places; (3) estimates of recreational participation by activity; and (4) a statewide inventory of public and private historic, architectural and archaeological resources.

### **Statistical Series:**

Marine and Recreational Vehicles, including Snowmobiles: Data on accidents, arrests, fines and forfeitures; information on rules and regulations.

Frequency: Annually  
Area covered: New York State  
Published: 1960 to present (Navigation);  
1971 to present (Snowmobiles)

State Park Attendance: Attendance and revenues derived from State park and historic facilities by individual park or site.

Frequency: Annually  
Area covered: New York State  
Published: 1994 to present (annual attendance)

1998 General Public Recreation Survey: Results of a mail survey of over 3,000 individuals statewide. Questions asked about the respondent's recreation patterns, opinions on recreation, and environmental issues.

2000 Park Visitor Survey: Summary of a self-administered survey of 14,000 visitors to New York State Parks from Long Island to Niagara and the Canadian border in the summer of 2000. The emphasis of this survey was to obtain information on swimming, golfing and camping preferences among park visitors.

2000 Park Professional Survey: A mail survey of local government officials and park administrators within New York State. This group was asked to describe the recreational needs of their communities and their opinion on recreational and environmental issues. Over 700 responses were received and analyzed.

2003 Snowmobiler Owners Survey: Results of a mail survey, responded to by over 1,300 snowmobile owners registered in New York State. The report covers demographics, travel patterns, expenditures, concerns, etc.

### **Other Publications:**

Historic Sites: Inventory of selected sites including all sites on the National Register.  
Area covered: New York State  
Published: 1970 to present

Recreation Facility Inventory: Public and private facilities — size and activities offered. Detailed analysis tables and inventory listings.  
Area covered: New York State by county  
Available for current year

New York Statewide Trails Plan: Analysis and inventory of trail resources and linear recreation systems. Descriptions of existing trail and greenway planning initiatives, trail issues and needs.  
Published: June 1994

Empire State Trails: Provides general information about a small sample of the numerous trail opportunities in New York State. This publication's primary focus is on New York State Office of Parks, Recreation and Historic Preservation and Department of Environmental Conservation trails. Major statewide trail systems are also described.  
Published: 2001

## **Parole, Division of**

97 Central Avenue  
Albany, New York 12206  
Robert Dennison, Chairman  
Anthony G. Ellis, Executive Director

**Contact:** Michael Buckman, Director of Policy Analysis  
(518) 445-6071  
Web Site: [parole.state.ny.us](http://parole.state.ny.us)

The Division is responsible for the community supervision of offenders released from prison by action of the Parole Board or by conditional release as mandated by the Legislature. The Division publishes summary annual statistical studies on social and legal characteristics of offenders under its jurisdiction, movement of parolee populations, Parole Board hearings and dispositions, and parolee delinquency rates.

Pursuant to Article 12-B of the New York State Executive Law, the Division of Parole was created as a State agency in the Executive Department on January 1, 1978. From January 1, 1971, to December 31, 1977, Parole was part of the State's Department of Correctional Services.

#### **Statistical Series:**

Follow-up of Parolees: Summarized movement statistics; characteristics of parolees; and delinquency rates.

In: Annual Statistical Report

Frequency: Annually

Area covered: New York State

Published: 1969 to present

Parolee Characteristics: Data on characteristics such as age, ethnicity and crime of conviction.

In: Annual Statistical Report

Frequency: Annually

Area covered: New York State

Published: 1934 to present

Summary Parole Data: Statistics on inmate releases to parole, parole discharges and parolee characteristics.

In: Annual Statistical Report

Frequency: Annually

Area covered: New York State

Published: 1934 to present

#### **Other Publications:**

Annual Report to the Legislature on Shock Supervision in New York State: Annual update on the progress of this innovative supervision initiative for participants in this program of rigorous physical activity, intensive regimentation and drug rehabilitation.

Annual Report to the Legislature on the Medical Parole Program: Annual update on all inmates who have been granted or considered for early release from prison pursuant to the New York State Medical Parole Law.

Questions and Answers Concerning Parole and Supervision: This publication provides answers to an extensive series of common questions about parole in an easily accessible question and answer format.

## **The Port Authority of New York & New Jersey**

225 Park Avenue, South  
New York, NY 10003

Anthony R. Coscia, Chairman, New Jersey

Kenneth J. Ringle, Jr., Executive Director

**Contact:** Kayla Bergeron, Director of Public Affairs  
(212) 435-7777  
Web Site: [www.panynj.gov](http://www.panynj.gov)

On April 30, 1921, The Port of New York Authority was established to administer the common harbor interests of New York and New Jersey. The first of its kind in the Western Hemisphere,

the organization was created under a clause of the Constitution permitting Compacts between states, with Congressional consent. An area of jurisdiction called the "Port District," a bistate region of about 1,500 square miles centered on the Statue of Liberty, was established. In 1972, the organization's name was changed to The Port Authority of New York and New Jersey to more accurately identify our role as a bistate agency.

#### **MISSION**

To identify and meet the critical transportation infrastructure needs of the bistate region's businesses, residents and visitors: Providing the highest quality, most efficient transportation and port commerce facilities and services that move people and goods within the region, providing access to the rest of the nation and to the world and strengthening the economic competitiveness of the New York/New Jersey metropolitan region.

#### **PURVIEW**

The Port Authority is authorized to plan, develop and operate terminals and other facilities of transportation, economic development and world trade that contribute to protection and promoting commerce in the Port District.

They achieve their mission by managing a network of aviation, transportation infrastructure and seaport facilities, and partnering with public and private sector entities in regional economic development projects. In 2002, the Port Authority's airports, bistate crossings, maritime ports and other facilities — and the industries they support — created more than 470,000 jobs and \$19 billion in wages and salaries in New York and New Jersey. Over the course of our history, we have invested the equivalent of nearly \$18 billion in the bistate regional transportation and trade infrastructure.

#### **FINANCE**

The Port Compact of 1921, which established the Port Authority, envisioned the organization as a financially self-supporting entity. As such, the Port Authority does not receive tax revenue from any State or local jurisdiction and has no power to tax. It must raise funds for the improvement or construction of facilities on the basis of its own credit. The Port Authority does not have the power to pledge the credit of either State or any municipality.

The Port Authority relies primarily on revenue generated from facility operations — tolls from the six bridges and tunnels between New York and New Jersey, fees to operate from the three major airports and the bus terminals, fares on its rail transit system and rent from business and consumer services and retail stores.

#### **GOVERNANCE**

The Governor of each State appoints six Commissioners to the Port Authority's Board of Commissioners, each appointment subject to the approval of the respective state Senate. Commissioners serve as public officials without remuneration for overlapping six-year terms. The Port Authority may proceed with only those projects the two States authorize; the Governors retain the right to veto the actions of the Commissioners from their respective states.

An Executive Director, appointed by the Board of Commissioners, is responsible for implementing the Board's decisions and managing day-to-day operations with the assistance of chiefs and department heads.

Within the Port District, the Port Authority undertakes projects and initiatives that are consistent with the Port Compact and federal and supplemental bistate legislation.

**Statistical Series:**

Comprehensive Annual Financial Report  
Frequency: Annually (usually in April)

Regional Economy, Overview and Outlook: Economic and demographic data on various aspects of activity in the New York-New Jersey Metropolitan Area  
Frequency: Annually

Airport Traffic Report  
Frequency: Annually

**Power Authority of the State of New York**

123 Main Street  
White Plains, New York 10601  
Joseph J. Seymour, Chairman  
Frank S. McCullough, Jr., Vice Chairman  
Eugene W. Zeltmann, President and Chief Executive Officer

**Contact:** Michael Saltzman, Director of Media Relations  
(914) 390-8198  
E-mail: Michael.Saltzman@nypa.gov  
Web Site: www.nypa.gov

The New York Power Authority (NYPA) is the nation's largest state-owned public power organization, with a total of 17 generating facilities, including two large hydroelectric facilities on the Niagara and St. Lawrence Rivers. It also operates more than 1,400 circuit-miles of transmission lines, and is a leader in energy efficiency and new technologies like fuel cells, solar power and electric transportation.

NYPA receives its direction from New York State's Governor and Legislature. It finances its efforts through bond sales to private investors, repaying bondholders with proceeds from its operations. No tax revenues or state credit are used.

Lower cost Power Authority electricity is sold throughout the state, with more than 400,000 jobs linked to allocations to businesses and industries under various programs. Power is also sold to municipal electric systems and rural cooperatives, municipal utility service agencies, investor-owned utilities and government agencies. Some power is sold out of state, as required by federal law and licenses.

**Probation and Correctional Alternatives, Division of**

80 Wolf Road, Suite 501  
Albany, New York 12205  
Robert Maccarone, Executive Deputy Director

**Contact:** Linda J. Valenti, Counsel  
(518) 485-2394  
Fax: (518) 485-5140  
Web Site: www.dpca.state.ny.us

Located in the Executive Department, the Division of Probation and Correctional Alternatives is empowered by Section 243 of the Executive Law to fund and exercise general supervision over probation and alternatives to incarceration programs throughout the State.

This agency's mission is to promote public safety and facilitate the delivery of community corrections programs through funding and oversight.

The State Director adopts and promulgates rules and regulations concerning methods and procedures used in the administration of probation services, and develops standards for the operation of alternatives to incarceration programs. The State Director also serves as the Chairperson of the New York State Probation Commission. The Commission, in accordance with Section 242 of the Executive Law, provides advice and consultation to the State Director on matters relating to probation in the State.

**Project Finance Agency, New York State**

Agency went of operation in 2004.

**Public Employment Relations Board**

80 Wolf Road — 5th Floor  
Albany, New York 12205-2604  
Michael R. Cuevas, Chairman

**Contact:** James R. Edgar, Executive Director  
(518) 457-2676  
Web Site: www.perb.state.ny.us

In 2006, the Public Employment Relations Board (PERB) will mark 39 years of operation. Created by the Public Employees' Fair Employment Act of 1967, PERB's mission is the enforcement of the Act; the protection of the rights of public employees to organize without interference or detriment; the assurance that public employers and employee representatives will engage in good faith collective bargaining to determine public employees' terms and conditions of employment and the determination of appropriate bargaining units and the direction of the process of representative selection. PERB accomplishes its mission through two major program offices. The Office of Conciliation provides dispute resolution assistance to public employers and employees in their collective bargaining and contract administration. The processes utilized are mediation, fact finding, arbitration and conciliation. The Board supplements its permanent staff by hiring per diem mediators and fact finders to provide these services. The Office of Public Employment Practices and Representation settles and/or decides disputes involving representation and rules on charges of improper employment practices.

Although placed by statute in the Department of Civil Service, PERB functions independently and is not subject to the direction or control of the Civil Service Commission or its President. The Board consists of a full-time chairperson and two part-time members appointed by the Governor for six-year terms. No more than two of the three members may be of the same political party. PERB's jurisdiction includes the approximately 4,000 negotiating units in New York State in cities, towns, villages, school districts, public authorities, as well as other entities such as libraries and water districts and the agencies of the State.

**Other Publications:**

The Taylor Law  
Latest Edition: 2004  
Charge: \$10.00 plus postage and handling

Rules of Procedure  
Latest Edition: 1999  
Charge: \$15.00 plus postage and handling

Mandatory/Nonmandatory Subjects of Negotiation  
Latest Edition: 2001  
Charge: \$25.00 plus postage and handling

What Is the Taylor Law?  
Latest Edition: 2004  
Charge: \$10.00 plus postage and handling

The Taylor Law and the Duty of Fair Representation  
Latest Edition: 2002  
Charge: \$10.00 plus postage and handling

PERB News  
Frequency: Monthly  
Area covered: New York State  
Published: 1968 to present  
Charge: \$40.00 for annual subscription (via US mail)  
\$20.00 for electronic subscription (via e-mail)  
\$ 2.50 for individual copy

Advance copies of PERB decisions  
Frequency: Monthly  
Area covered: New York State  
Charge: \$450.00 for annual subscription (via US mail)  
\$300.00 for electronic subscription (via e-mail)  
(An annual volume of decisions is available by subscription through LRP Publications.)

Annotated Rules of Procedure, 2d Edition  
Latest Edition: 1999  
Charge: \$40.00 plus postage and handling

First Supplement to Annotated Rules, 2d Edition  
Latest Edition: 2000  
Charge: \$10.00 plus postage and handling

Taylor Law Remedies  
Latest Edition: 1995  
Charge: \$37.50 plus postage and handling

First Supplement to Taylor Law Remedies  
Latest Edition: 1996  
Charge: \$10.00 plus postage and handling

Second Supplement to Taylor Law Remedies  
Latest Edition: 1997  
Charge: \$10.00 plus postage and handling

Third Supplement to Taylor Law Remedies  
Latest Edition: 1998  
Charge: \$10.00 plus shipping and handling

Contract Analysis Program Report  
Latest Edition: 2001  
Charge: \$4.00 for postage & handling

## Public Service, Department of

Agency Building Three  
Empire State Plaza  
Albany, New York 12223-1350  
William M. Flynn, Chairman

**Contact:** Wayne Brindley, Chief, Accounting and Finance  
(518) 474-9500  
Web Site: [www.dps.state.ny.us](http://www.dps.state.ny.us)

The Department of Public Service is the staff arm of the Public Service Commission, which is responsible for regulating rates and services of the public utility companies subject to its jurisdiction, including electric, gas, water, telecommunications, cable television and steam companies.

In addition, the Commission has jurisdiction over the siting of major electric and gas transmission lines and the safety of natural gas facilities and liquid petroleum pipelines. The Commission also administers the Home Energy Fair Practices Act (HEFPA), an act providing rights and safeguards for consumers.

The Vision and Mission Statement read as follows:

**Vision** — Promote competitive markets and streamline regulation.

**Mission Statement** — The mission of the New York State Department of Public Service is to ensure safe, secure, and reliable access to energy, telecommunications, and water services for New York State's citizens and businesses. With an emphasis on promoting competitive markets, the Department seeks to maximize customer choice and value for these services by stimulating innovation, strategic infrastructure investment, and the use of resources in an efficient and environmentally responsible manner. Where competition is not present or viable, the Department will exercise its regulatory authority judiciously to ensure equitable rates and high-quality service.

### Statistical Series:

Monthly Complaint Rate Table: Reports on complaint volumes and changes for New York State utilities.

Frequency: Monthly  
Area covered: New York State

Complaints Received for Each Utility: Reports (a) total complaints received by month for each utility over past 13 months and (b) complaints per 100,000 customers by month.

Frequency: Monthly  
Area covered: New York State

Comparison of Monthly Bills of Residential, Commercial and Industrial Customers of Major Utilities: For six major electric companies contains data on: bills at base rates for various usages; fuel cost; total charges; and total base bill with and without gross revenue tax surcharge. Shows monthly bills for typical use by small, medium and large customers of electric service.

Frequency: Semi-Annually  
Area covered: New York State

Financial Statistics of the Major Privately Owned Utilities in New York State: Operating and financial data on Class A and B utilities such as composite and individual balance sheets; income statements; cash flow statements; plant and operation and maintenance information; bill data; equity; and interest coverage.

Frequency: Annually  
Area covered: New York State  
Available for current 5-year period

Quality of Service — Independent Telephone Companies: Highlights evaluation of telephone service, based on computerized data.

Frequency: Quarterly  
Area covered: New York State

Quality of Service — Verizon New York, Inc.: Highlights evaluation of telephone service, based on computerized data.

Frequency: Quarterly  
Area covered: New York State

Regulatory Activities: Statistics on rate cases, electric and gas supply, telephone and water service, conservation plans, research and development activities.

In: Annual Report to the Governor  
Frequency: Annually  
Area covered: New York State

Unabridged Complaint Analysis Table (UCAT): Contains data on the number of complaints for each utility, by individual category of complaint. Covers the three most recent months and current month. Reports complaints per 100,000 customers for each category of complaint for current month.  
Frequency: Monthly  
Area covered: New York State

## Quality of Care and Advocacy for Persons with Disabilities, Commission on

401 State Street  
Schenectady, New York 12305-2397  
Gary O'Brien, Chair  
Angelo Muccigrosso, Commissioner

**Contact:** Gary W. Masline, Assistant Counsel & Special Assistant to the Chair  
(518) 388-1270  
Fax: (518) 388-1275  
E-mail: garym@cqc.state.ny.us  
Web Site: www.cqc.state.ny.us

The Commission on Quality of Care and Advocacy for Persons with Disabilities was created by Chapter 655 of the Laws of 1977. The Commission oversees programs operated or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities and the Office of Alcoholism and Substance Abuse Services. On April 12, 2005, the previous Commission on Quality of Care for the Mentally Disabled merged with the New York State Office of the Advocate for Persons with Disabilities. The new agency will carry out the functions formerly assigned to the two agencies, but with an increased emphasis on outreach and advocacy for persons with physical disabilities.

Responsibilities of the Commission include: investigating complaints, including allegations of patient abuse or mistreatment in State-operated and State-licensed mental hygiene facilities; receiving reports of all deaths of patients and determining whether a death warrants investigation; conducting policy, program and cost-effectiveness studies to advise the Governor and the Legislature; providing periodic orientation, training and informational programs to assist the Boards of Visitors of State psychiatric and developmental centers; and administering the State's Protection and Advocacy Program for the Developmentally Disabled (PADD). The Commission is the State's designated agency to provide a Federally funded Protection and Advocacy (P&A) system of services for the developmentally disabled pursuant to Public Law 94-103, and it administers the Federally funded Client Assistance Program (CAP) for persons receiving or seeking vocational rehabilitation services.

Increased cooperation in the area of assistive technology will result in expanded activities designed to increase opportunities for New Yorkers with a variety of disabilities to benefit from improved access to assistive technology. Implementation of a comprehensive training and technical assistance agenda will support people with disabilities in exercising their rights under the Americans With Disabilities Act and other federal and state legislation designed to assure equal rights for New Yorkers with disabilities in such areas as access to educational and vocational services, health care, housing and transportation.

On October 1, 1986, the Commission assumed responsibility for investigating alleged child abuse involving residents of mental hygiene facilities. In 1986-87, the Commission implemented Public Law 99-319, Protection and Advocacy for Mentally Ill Individuals (PAMII) Act, under which the Commission investigates

abuse/mistreatment of the mentally ill persons in residential programs and pursues legal and administrative remedies to protect the rights of residents, including problems arising within 90 days of discharge. Further, the Commission administers the Protection and Advocacy of Individual Rights (PAIR) program to represent individuals with disabilities who do not qualify for other existing P & A programs.

The Commission also administers the Surrogate Decision-Making Program, a program providing a nonjudicial, faster and cost effective approach for securing informed consent for major medical care needed by mentally disabled persons living in residential programs. In addition, the Commission administers the Protection and Advocacy for Beneficiaries of Social Security (PABSS) program of assistance to people with disabilities who receive Social Security Insurance Disability Insurance (SSDI) or Supplemental Security Income (SSI) and are encountering barriers in their efforts to become employed or to receive employment-related training or assistance.

Within the Commission are two advisory bodies, each comprised of 15 members appointed by the Governor. The Mental Hygiene Medical Review Board consists of medical and psychiatric specialists who review deaths at mental hygiene facilities. The Advisory Council to the Commission advises and assists the Commission in developing policies, plans and programs.

The Commission oversees programs operated or licensed by the Office of Mental Health, the Office of Mental Retardation and Developmental Disabilities and the Office of Alcoholism and Substance Abuse Services. Commission reports include descriptive and analytic data on centers, programs, care, individual cases and specific disabilities.

### Statistical Series:

Client Assistance Program (CAP) Annual Report: Statistics on complaints and nonlegal advocacy, description of cases litigated.  
Frequency: Annually  
Area covered: New York State  
Published: 1984 to present

Commission Annual Report: Statistics on deficiencies noted, complaints, closed cases and rights issues.  
Frequency: Annually  
Area covered: New York State  
Published: 1978 to present

Newsletter: Articles on current and future Commission projects, news and related subjects in the area of mental disabilities.  
Frequency: Bimonthly  
Published: 1980 to present

Protection and Advocacy (P&A) Program for Persons with Developmental Disabilities Annual Report: Summaries of cases litigated, statistics on complaints and nonlegal advocacy, education and training.  
Frequency: Annually  
Area covered: New York State  
Published: 1984 to present

Protection and Advocacy for Mentally Ill Individuals (PAMII) Annual Report: Summaries of cases litigated, statistics on complaints and nonlegal advocacy, education and training.  
Frequency: Annually  
Area covered: New York State  
Published: 1989 to present

Annual Report on Child Abuse/Neglect Investigations  
Frequency: Annually  
Area covered: New York State  
Published: 1989 to present

## **Other Publications:**

Adult Homes Serving Residents with Mental Health: A Study on Layering of Services  
Published: August 2002

Exploiting Not-For-Profit Care in an Adult Home: The Story Behind Ocean House Center, Inc.  
Published: December 2001

In The Matter of David Dix  
Published: November 1999

Watching Over the Children: A Review of 1998 Commission Activities on Behalf of Children with Mental Disabilities  
Published: August 1999

A Report on Individuals with Developmental Disabilities Who Are Possibly Homeless  
Published: August 1999

Abandoning Its Not-For-Profit Purposes: The Case of Project Independence of Queens NY, Inc.  
Published: June 1999

Exploiting Medicaid Through A Shell Not-For-Profit Corporation: The Case of Special Needs Program, Inc.  
Published: January 1999

Diverting Public Funds: The Misguided Mission of the Independent Living Center of Amsterdam, Inc.  
Published: August 1998

Incident Reporting and Management Practices at Five NYS Psychiatric Centers.  
Published: May 1997

Could This Happen in Your Program? A Collection of Case Studies Provoking Reflection, Discussion, and Action.  
Published: April 1997

Profit Making in Not-For-Profit Care: Part III, The Case of Queens County Neuropsychiatric Institute, Inc.  
Published: November 1996

A Brief Report on Active Programming in State Psychiatric Centers: Has Anything Changed?  
Published: August 1996

Why Do Psychiatric Clinics Costs Vary by 1030%: A Review of the Efficiency of Freestanding Clinics.  
Published: May 1996

Breaking with the Past: How New York's Private Psychiatric Hospitals Have Managed Since Managed Care.  
Published: April 1996

Shifting Costs to Medicaid: The Case of Financing the OMRDD Comprehensive Case Management Program.  
Published: December 1995

Governance of Restraint and Seclusion Practices by New York State Law, Regulation, and Policy.  
Published: September 1995

In the Matter of Jacob Gordon: Facing the Challenge of Supporting Individuals with Serious Mental Illness in the Community.  
Published: August 1995

Patient Safety and Services at Kingsboro Psychiatric Center.  
Published: July 1995

In the Matter of R.H.: A Patient at Manhattan Psychiatric Center.  
Published: April 1995

Safeguarding Public Funds: A Review of Spending Practices in OMRDD Rate Appeals.  
Published: January 1995

Restraint and Seclusion Practices in New York State Psychiatric Facilities, and Voices From the Frontline: Patients' Perspective of Restraint and Seclusion Use (2 Reports).  
Published: September 1994

Care and Treatment for Persons with Multiple Disabilities: A Progress Report.  
Published: September 1994

## **Quality of Care for the Mentally Disabled, Commission on**

See: Quality of Care and Advocacy for Persons with Disabilities, Commission on

## **Racing and Wagering Board**

1 Watervliet Avenue, Ext.  
Suite 2  
Albany, New York 12206-1668  
Michael J. Hoblock, Jr., Chairman

**Contact:** Stacy Clifford, Public Information Officer  
(518) 453-8460  
Web Site: [www.racing.state.ny.us](http://www.racing.state.ny.us)

The New York State Racing and Wagering Board has general jurisdiction over all horse racing activities and all pari-mutuel betting activities, both on-track and off-track, in the State and over the corporations, associations and persons engaged therein. The Board also regulates the conduct of bingo and games of chance by authorized nonprofit organizations. The Board regulates Indian Casino gambling pursuant to Compact provisions negotiated under Indian Gaming Regulatory Act of 1988.

The Board consists of three members appointed for six-year terms by the Governor, with the advice and consent of the Senate. Not more than two of the members shall belong to the same political party. The Governor designates one of the members as Chairman of the Board, who is the chief executive officer.

Notwithstanding the transfer of functions from the State Racing Commission, the State Harness Racing Commission and the State Quarter Horse Racing Commission, those commissions continue to exist in order to render advice to the Board. They also constitute membership to the New York State Thoroughbred Breeding and Development Fund Corporation; the Agriculture and New York State Breeding and Development Fund; and the Quarter Horse Breeding and Development Fund Corporation.

The Racing and Wagering Board publishes an Annual Report. Other special reports are occasionally made to the Governor and Legislature, but not on a regular basis. All statistical material from racetracks, off-track betting, bingo and games of chance is published in the annual report.

## Real Property Services, Office of

16 Sheridan Avenue  
Albany, NY 12210-2714  
Thomas G. Griffen, Executive Director

**Contact:** Geoffrey T. Gloak, Director of Public Information  
(518) 486-5446  
E-mail: nysorps@orps.state.ny.us  
Web Site: www.orps.state.ny.us

The Office of Real Property Services (ORPS) is committed to supporting local governments in their pursuit of real property tax equity. While the State itself does not collect or receive any direct benefit from the property tax, this tax is still of major importance as the largest single revenue source for the support of municipal and school district services.

Through consultative relationships with local and county governments, ORPS provides a wide range of services to help localities ensure that property taxpayers pay no more or less than their fair share of property taxes. By providing sophisticated technical and professional experience and methodologies, ORPS staff plays a key role in most local reassessment projects that take place in New York. The agency provides annual funding incentives for municipalities to achieve and maintain equity on a yearly basis.

In addition, ORPS calculates more than 2,000 equalization rates used locally to levy the real property tax. Equalization rates are most commonly known for their use in distributing public school tax levies among several towns in a school district. However, equalization rates are also used for apportionment of sales tax revenues, allocation of State aid for public education, determination of some tax and debt limits, as well as serving many other functions.

ORPS also provides:

- valuation and appraisal expertise for such properties as utilities, complex industrial properties, agricultural land, and state-owned land;
- training, qualification, and professional certification for the assessment community;
- technological tools to enable assessors and school districts to keep their assessment information current and to assist with property valuation;
- assistance to local governments and school districts for the administration of Governor George E. Pataki's School Tax Relief (STAR) Program; and
- guidance and assistance for localities seeking to coordinate their data via a geographic information system (GIS).

### Statistical Series:

**Assessed and Full Value of Taxable Real Property:** Data based on surveys of taxable real property in New York State and information from local assessors.

Frequency: Annually

Areas covered: Counties, cities, towns and villages

**Equalization Rates:** Ratio of assessed value to market value for all taxable real property in each municipality.

Available in: Equalization Rate Bulletin for (specified year)

**Assessment Rolls of Cities, Towns and Villages**

Frequency: Annually

Areas covered: Cities, towns and villages of New York State

**Railroad Ceilings:** Amounts determined annually by the State Board that are the maximum values on which assessing units may levy real property taxes on the property of railroads used for transportation purposes.

Frequency: Annually

Areas covered: Cities, towns and villages of New York State

**Special Franchise Assessments:** Assessed valuation of property of public utilities (e.g., poles, transmission lines) located in streets and other public places.

Frequency: Annually

Areas covered: Cities, towns and villages of New York State

### Other Publications:

**2004 Report on the Effectiveness of State Technical and Financial Assistance Programs for Assessment Administration**

Published: April 2004

**Annual Report (covering 2003)**

Published: April 2004

**Assessment Equity in New York: Results from the 2003 Market Value Survey**

Published: July 2004

**Certified County Directors of Real Property Tax Services**

Published: December 2002

**Compensating Local Governments for Loss of Tax Base Due to State Ownership of Land**

Published: September 1996

**Distribution of Farm and Forest Parcels by Property Class, 1993 Assessment Rolls**

Published: July 1994

**Distribution of Parcels by Property Class, 2003 Assessment Rolls**

Published: May 2004

**Divestiture of Electricity Generating Plants: Property Tax Implications**

Published: December 1999

**Exemptions from Real Property Taxation in New York State: 2002 County, City, and Town Assessment Rolls**

Published: April 2004

**Fair Assessments: A Guide for Property Owners**

Published: May 2004

**Governor Pataki's STAR School Tax Relief Program**

Revised: October 2003

**How the Property Tax Works**

Revised: August 1999

**The Job of the Assessor**

Revised: October 2003

**Municipal Options for More Efficient Assessment Administration**

Revised: March 2004

**Real Property Tax Cycle**

Revised: June 2001

**The Property Taxpayer's Bill of Rights**

Published: June 2003

**Q & A: Exemption for Persons with Disabilities and Limited Incomes**

Published: February 2005

Q & A: Senior Citizens' Exemption  
Revised: December 2003

Q & A: Veterans' Exemptions  
Revised: January 2005

Real Property Tax in New York State: Common Myths & Misunderstandings  
Published: May 2004

Survey of Ratio Study Methods Used by the States  
Published: September 1995

Survey of Railroad and Utility Taxation Practices Among the States  
Published: December 1993

A Taxpayer's Guide: How to File a Complaint on Your Assessment  
Revised: January 2005

Understanding the Equalization Rate: A Guide for Property Owners  
Published: April 2003

## Regional Authorities

See: Central New York Regional Transportation Authority  
Metropolitan Transportation Council, New York  
Olympic Regional Development Authority  
Port Authority of New York & New Jersey, The

## Regulatory and Management Assistance, Office Of

See: Regulatory Reform, Governor's Office of

## Regulatory Reform, Governor's Office of

P.O. Box 2107  
Albany, New York 12220-0107  
David S. Bradley, Acting Director

**Contact:** General Information: (518) 486-3292  
Regulatory and Mandate Reform (518) 486-3292  
Business Permit Assistance: (800) 342-3464  
(518) 474-8275  
Counsel's Office (518) 473-0620  
Office Fax (518) 473-9342  
E-mail: [gorr@gorr.state.ny.us](mailto:gorr@gorr.state.ny.us)  
Web Site: [www.gorr.state.ny.us](http://www.gorr.state.ny.us)  
Permit Page: [www.nys-permits.org](http://www.nys-permits.org)  
Build Now-NY Page: [www.buildnow-ny.org](http://www.buildnow-ny.org)

The Governor's Office of Regulatory Reform (GORR) was established by Governor George E. Pataki in 1995 to help private sector jobs to grow in New York.

A central focus of the office is to make government more business friendly, without sacrificing public health or safety or the quality of our air, water and land.

GORR's mission is to promote job growth with sensible regulatory policy. The approach involves driving common sense, competent science, and sound economics into the exercise of the vast power of the bureaucracy. The results have been fewer rules, lower regulatory costs, and higher quality rulemaking.

## Program Activities

The Office's principal activities are:

- To help private sector jobs growth in New York State.
- To provide comprehensive review of proposed and existing rules and administrative regulatory processes to determine if they represent a sensible approach to regulation that achieves objectives established by the Legislature.
- To make recommendations to the Governor regarding regulatory and mandate reform.
- To provide comprehensive permit assistance to new and growing businesses.
- To coordinate project permits that involve multiple agencies of state government.
- To work to improve the quality of interaction between state regulators and the public.

## 2005-2006 Budget

The agency's programs are supported by State General Fund revenues. The proposed total program budget for FY 2005-2006 is \$3.554 million.

## Program Summaries

Job growth in New York is improved by reducing the onerous burden of regulations placed on business. The office hopes to establish new standards for all agencies of state government involving the ways they regulate activity, whether it's for businesses, local governments or non-profit organizations.

The State must achieve sensible regulatory objectives without being overly burdensome and prevent rules that are not cost-effective.

Cost-benefit analysis and risk assessment are primary ways of the prospect of new regulations or the review of old ones.

## Permit Assistance and Coordination

Job growth is inextricably linked to business start-up and expansion. GORR provides comprehensive help to new and relocating businesses through its one-stop-shop Permit Assistance operation. When state permits are required to conduct business activity, the office identifies those permits, provides applications and works with permit issuing agencies to overcome undue delays in processing.

For complex business proposals, the Office oversees and coordinates a Master Application Procedure. The Master Application Procedure is exercised on a case-by-case basis when the business proposal includes multi-jurisdictional or heavily regulated activities.

For businesses locating in one of New York's designated Empire Zones, on-site assistance is available to expedite the state permit process.

Permit Assistance is available from 9 a.m. to 5 p.m. on business days or on the web at <http://www.nys-permits.org>. Automated information on starting a business is available 24 hours a day via GORR's toll-free number, 1-800-342-3464.

## Regulations Review

The Office reviews proposed and existing regulations with the goal of reducing the regulatory burden on businesses and local governments, and improving job growth in New York State.

The Governor's Office of Regulatory Reform has set up the following teams to do research and make recommendations for reform to the Director. The teams span the jurisdiction of multiple agencies so the teams run no risk of becoming "captives" of any one particular agency:

- Labor/Workers' Compensation/Insurance
- Development
- Health/Human Services

Each team has a team leader, an attorney, and policy analyst researchers.

### Other Publications

#### What's Driving You Nuts?

A one-page form that asks for input on specific recommendations for regulatory, mandate, or permit reform in New York State.

#### Cost Benefit Handbook: A Guide for New York State's Regulatory Agencies

A "how-to" manual for state regulators.

#### Road Map for Growth: The "Shovel-Ready" Guide to Pre-Permitting Sites

### GORR Pamphlet Series

#### New York State Governor's Office of Regulatory Reform

A short summary of GORR activities.

#### Starting A Business

A primer on forms and permits a prospective entrepreneur must obtain. (Also available in Spanish.)

#### Permit Assistance

How to use the services of GORR's Permit Assistance Unit.

#### Pre-Permitting: New York State's Smart New Programs for Economic Growth

Online Permit Assistance and Licensing (OPAL)

## Social Services, Department of

See: Temporary and Disability Assistance, Office of

## St. Lawrence-Eastern Ontario Commission

Authority went out of operation in 1995.

## State, Department of

41 State Street  
Albany, New York 12231-0001  
Vacant, Secretary of State  
(518) 474-0050

**Contact:** Laurence Sombke, Assistant Secretary of State  
(518) 474-4752  
Web Site: [www.dos.state.ny.us](http://www.dos.state.ny.us)

The Department of State is the general recording agency of New York State. Responsibilities include filing and maintaining records

of corporations (other than banking, insurance and educational institutions), limited partnerships and limited liability companies. The department is the repository for such government documents as local laws and oaths of office, etc. It operates a system for receiving, recording and disseminating information of Uniform Commercial Code filings and other financial obligations incurred by individuals and businesses and also provides for equitable notification of financial obligations owed by debtors. The department also commissions notaries public and regulates and licenses real estate brokers and salespersons, real estate appraisers, fire and security alarm installers, barbers, appearance enhancement businesses and practitioners, private investigators, watch, guard or patrol agency, security guards, hearing aid dispensers, apartment information vendors and referral agents, those involved in renovation and sale of articles of bedding, armored car carriers and armored car guards, coin processors, telemarketer businesses, bail enforcement agents, and central dispatch facilities. In addition, the department registers trademarks and service marks, trading stamp companies and games of chance utilized in promoting retail sales (other than the New York State Lottery).

The Department of State administers and provides technical assistance for the following grant programs: Community Services Block Grant; Great Lakes Restoration Program; the Coastal Zone Management Program; and Appalachian Regional Commission.

The Division of Cemeteries administers provisions of the not-for-profit corporation law dealing with cemetery corporations for approximately 1,900 cemeteries and crematories in New York State.

The Office of Fire Prevention and Control is responsible for training firefighters; providing on-scene technical assistance to fire departments upon request; developing public education programs; conducting fire investigations upon request; upgrading arson evidence analysis; maintaining the Burn Injury and Fire Incident Reporting System; promulgating fire safety standards for cigarettes sold in New York State; conducting annual fire safety inspections of all colleges and universities in New York State outside of New York City and certain state-owned properties; developing standards for dispatch centers who receive 911 calls from wireless phones; coordinating and providing administrative support to the Capital District Urban/Technical Search and Rescue Programs and New York Regional Response Team #1; and operating the Senator Frederick L. Warder Academy of Fire Science in Montour Falls, NY.

The Division of Code Enforcement and Administration provides a variety of services related to New York's Uniform Fire Prevention and Building Code and State Energy Conservation Construction Code. The Division provides technical assistance, administers variances, delivers educational courses, oversees the enforcement practices of local governments and serves as secretariat to the State Fire Prevention and Building Code Council. In addition to its central office in Albany, the Division maintains ten regional field offices around the state that provide direct, on-site assistance to local governments, building owners, design professionals and the construction industry.

### Other Publications:

New York State Register: Official notices of State agencies and information on proposed and adopted rules. The Register is available free of charge on the department's web site at [www.dos.state.ny.us/info/oiswww.html](http://www.dos.state.ny.us/info/oiswww.html). A yearly subscription may be purchased, which includes a quarterly index, a complete listing of subjects, purposes and publication date of each agency's rule makings. (Available from the Division of Administrative Rules; \$80 first class; \$40 periodical rate — (518) 474-6957)  
Frequency: Weekly

Official Compilation of Codes, Rules and Regulations of the State of New York (NYCRR): Loose-leaf; supplement series updated semi-monthly. Distributed exclusively for the Department of State by Lawyers Cooperative Publishing, a member of the West Group (Customer Services: 1-800-344-5009).

## State Comptroller, Office of the

110 State Street  
Albany, New York 12236  
Alan G. Hevesi, Comptroller

**Contacts:** Financial Data for Local Governments (formerly called Special Report of the Comptroller on Municipal Affairs), Annual Report on Local Governments, and Overlapping Real Property Taxes:  
Information Services  
(518) 474-6975  
E-mail: sfountain@osc.state.ny.us

Comprehensive Annual Financial Report, Comptroller's Report on the Financial Condition of New York State, and Financial and Audit Solutions  
Gary Armstrong, Director of Financial Reporting  
(518) 486-1234  
E-mail: garmstrong@osc.state.ny.us

Comprehensive Annual Financial Report (New York State and Local Retirement System), Employer Forum, and E-News:  
Erica House, Assistant Director of Retirement Communications  
(518) 486-7461  
E-mail: ehouse@osc.state.ny.us

Office of the State Comptroller Web Site:  
[www.osc.state.ny.us](http://www.osc.state.ny.us)

The Office of the State Comptroller (OSC) is responsible for auditing the management of State agencies and public authorities, State expenditures and collections of revenues and receipts, and for activities relating to State debt, investments and cash management. Most State executive agency contracts are approved by the Office. The Office, headed by an independently elected official, also administers the New York State and Local Employees' Retirement System and the New York State and Local Police and Fire Retirement System. The Office also assists local government officials in the solutions of problems of law, finance and administration.

In addition, the Office has overall supervision of the fiscal affairs of local governments. The OSC's Division of Local Government Services and Economic Development monitors and makes recommendations on the fiscal condition of local governments and their operations, examines and audits local accounts and fiscal records, prescribes uniform systems of budgeting and accounting, reviews the annual financial reports required to be filed by local governments, conducts research on local government finances and issues affecting localities, monitors the tax levied by municipalities to ensure compliance with constitutional limits, and approves the formation of certain special districts. The Office of the State Deputy Comptroller for the City of New York (OSDC) assists the Comptroller in fulfilling his constitutional responsibilities to oversee New York City finances. OSDC also has a statutory responsibility to assist the New York State Financial Control Board (FCB) and supports the Comptroller in his role as a member of the FCB. In addition, OSDC provides assistance to the Municipal Assistance Corporation (MAC).

## Statistical Series:

Financial Data for Local Governments (formerly called Special Report of the Comptroller on Municipal Affairs): Summary data for municipalities compiled from annual financial reports showing revenues, expenditures, debt issued and outstanding indebtedness. Also included are Constitutional tax and debt limit information, assessed and full valuation of taxable real property, population and land area. Starting with the data for 2001 fiscal years, this series is issued in electronic format — Excel spreadsheets or PDF — available at the State Comptroller's web site: [www.osc.state.ny.us/localgov/muni/specprep/2003/specprep.htm](http://www.osc.state.ny.us/localgov/muni/specprep/2003/specprep.htm)

Frequency: Annually

Areas covered: Counties, cities, towns, villages, school districts, fire districts, joint activities and independent special purpose units (including Industrial Development Agencies) of New York State  
Published: 1907 to present

Annual Report on Local Governments: Provides analysis of fiscal and demographic trends in local governments and describes the major services and activities of the Division of Local Government Services and Economic Development. Contains tables and charts of data on revenue, expenditures, and indebtedness for all major classes of local governments (counties, cities, towns, villages, school districts and fire districts). Recent-year hardcopy editions include a CD containing "Financial Data for Local Governments." The Annual Report is available on the State Comptroller's web site: [www.osc.state.ny.us/localgov/muni/annualreport.pdf](http://www.osc.state.ny.us/localgov/muni/annualreport.pdf)

Frequency: Annually

Area covered: Counties, cities, towns, villages, school districts, and fire districts of New York State  
Published: 1999 to present

Overlapping Real Property Taxes: Contains real property tax rates for all local taxing jurisdictions. Starting with the data for 2002 fiscal years, this series is issued in electronic format (PDF) available at the State Comptroller's web site: [www.osc.state.ny.us/localgov/muni/orptbook/2003taxrates.htm](http://www.osc.state.ny.us/localgov/muni/orptbook/2003taxrates.htm)

Frequency: Annually

Area covered: Counties, cities, towns, villages, and school districts of New York State  
Published: 1971 to present

Comprehensive Annual Financial Report: Contains audited GAAP financial statements and other State fiscal data summarizing revenues, expenditures, debt, transfers and balances for all State Treasury funds on a fiscal year basis. Income shown by source (various taxes and miscellaneous revenues); debt by type and purpose; and investments by fund and type.

Frequency: Annually

Area covered: New York State  
Published: 1983 to present

Comptroller's Report on the Financial Condition of New York State: Gives an overview of the financial condition of New York State. Presents selected financial, economic and demographic information in an easy-to-understand format. Provides basic information on trends in State revenue and spending, the State's financial position as measured by Generally Accepted Accounting Principals (GAAP), and selected economic and demographic trends affecting the State.

Frequency: Annually

Published: 1994 to present

Financial and Audit Solutions: Solutions is a quarterly newsletter that covers timely and pertinent subjects relating to audit, accounting and financial reporting issues, new technologies, Office of the State Comptroller (OSC) initiatives, professional development and status of OSC projects.

Frequency: Annually

Published: 1998 to present

Recent editions of the Comprehensive Annual Financial Report and the Comptroller's Report on the Financial Conditions of New York State are available on the State Comptroller's web site: [www.osc.state.ny.us](http://www.osc.state.ny.us) and follow the links for 'State Finances.'

Comprehensive Annual Financial Report (New York State and Local Employees' Retirement System, Police and Fire Retirement System): Summary of funding, investments, and accounting practices of the system. The CAFR can be accessed through the State Comptroller's web site: [www.osc.state.ny.us/retire/pamphlts.htm](http://www.osc.state.ny.us/retire/pamphlts.htm)

Frequency: Annually  
Published: 1921 to present

Employer Forum: A newsletter for payroll and personnel staff of employers that participate in New York State and Local Retirement System. Contains articles on current legislation, reporting of Retirement Systems members, new publications. The Employer Forum can be accessed through the State Comptroller's web site: [www.osc.state.ny.us/retire/publications/newsletters.htm](http://www.osc.state.ny.us/retire/publications/newsletters.htm)

Frequency: Semi-annually  
Area covered: New York State  
Published: 1990 to present

E-News: A bi-monthly newsletter, sent via e-mail, that provides the very latest information about the Retirement System, including tips on planning for retirement. To receive E-News go to [www.osc.state.ny.us/retire/members/index.htm](http://www.osc.state.ny.us/retire/members/index.htm) and submit the online e-mail address registration form.

Frequency: Bi-monthly  
Published: April 2003 to present

## State Liquor Authority

See: Alcoholic Beverage Control, Division of

## State Police, Division of

Public Security Building  
1220 Washington Avenue  
Albany, New York 12226-2552  
Wayne E. Bennett, Superintendent

**Contact:** Major Robert E. Gretschel, Planning and Research  
518-457-6712  
Web Site: [www.troopers.state.ny.us](http://www.troopers.state.ny.us)

The Division of State Police was established by Executive Law on April 11, 1917. The Division provides a full range of law enforcement services to the people of New York, enforcing laws, protecting life and property, detecting and preventing crime and arresting violators. It also plays a crucial role in the State's terrorism response preparedness and counterterrorism efforts.

The Division is directed by a Superintendent who is appointed by the Governor and confirmed by the Senate. For administration purposes, the Division divides the state into eleven geographical areas known as Troops. Each of these is further divided into Zones and Station areas. Special Detail offices are located in many cities.

Uniform Troopers are the field officers who provide direct police services and, as first responders to calls for service, most frequently come in contact with members of the public. Investigators in the Bureau of Criminal Investigation (BCI) investigate all felony and serious misdemeanor crimes reported to the State Police. Uniform

Force and BCI personnel cooperate closely with each other and with members of other law enforcement agencies.

The Division of State Police provides a wide array of specialized support services, including the: Counterterrorism Intelligence Unit, Community Narcotics Enforcement Team, Community Stabilization Team, Upstate New York Regional Intelligence Center, Violent Felony Warrant Squad, School Resource Officers, School and Community Outreach Unit, Forensic Investigation Center and Crime Laboratories, Aviation Unit, Canine Unit, Commercial Vehicle Enforcement Unit, Computer Crime Unit, Mobile Response Team, Bomb Disposal Unit, Dive Team, Violent Criminal Investigation Team, Auto Theft Unit, Special Investigations Unit, Pistol Permit Section, Domestic Violence Unit, and the Illegal Firearms Tracing Unit. All of these services are available without charge to any law enforcement agency in the state that requests assistance.

The New York State Police also operate the New York Statewide Police Information Network (NYSPIN) as a service to the criminal justice community not only in New York, but also throughout the United States. NYSPIN is directly accessible through more than 800 direct link computer terminals and several hundred additional computers by virtue of computer-to-computer interfaces. In addition to timely police information from State Police computer databases, NYSPIN offers access to files maintained by the New York State Department of Motor Vehicles, the National Crime Information Center, the New York State Division of Criminal Justice Services and the National Law Enforcement Telecommunications System.

Additionally, the Division maintains an extensive internal computer network to support State Police operational and administrative programs. This network links personal and laptop computers through local area networks that are interconnected through the Division's wide area network. Other internal support programs include communications, planning and research, fiscal management, payroll, fleet management, human resources, the State Police Academy and the quartermaster section.

## State University of New York, The

State University Plaza  
Albany, New York 12246  
John R. Ryan, Vice Admiral, USN (Ret.), Chancellor (Acting)

**Contact:** John D. Porter, Associate Provost  
Institutional Research, Office of Academic Affairs  
[John.Porter@suny.edu](mailto:John.Porter@suny.edu)  
(518) 443-5639  
Web Site: [www.suny.edu](http://www.suny.edu)

The State University's 64 colleges and university centers, including 30 community colleges, comprise one of the nation's largest university systems. The University offers higher education programs at all levels in the liberal arts and sciences, the fine arts, applied arts and technologies, and specialized and graduate training in such fields as medicine, law, optometry, education, engineering, library studies, maritime studies, agriculture, forestry, industrial and labor relations and public affairs.

The State University maintains comprehensive statistics on its operations through the Office of Institutional Research, which is a research service agency for the System and its campuses, and for external agencies seeking information about the State University of New York.

### Statistical Series:

Enrollments: Fall, Spring and Summer enrollments of undergraduate and graduate students.  
Frequency: Annually

Minority Students: Fall enrollments by ethnic group and campus.  
Frequency: Annually

Attrition/Graduation: Entering cohorts of students completing a degree, not completing a degree, or transferring to another institution. Also, retention of first-time, full-time students in two-year and baccalaureate degree programs  
Frequency: Annually

Geographic Origins of Students: Fall enrollments by county, state or country of permanent residence of undergraduate and graduate students.  
Frequency: Annually

Degrees and Certificates Granted: Two-year, four-year, graduate (masters and doctoral) and professional degrees and certificates awarded by campus.  
Frequency: Annually

Teacher Education: Students completing teacher preparation programs by campus.  
Frequency: Annually

Trends in Tuition and Other Basic Student Charges: Normal tuition, fees, room, and board charges.  
Frequency: Annually

Employees: Full-time and part-time employees by employee category, ethnic group, and gender.  
Frequency: Annually

Faculty Salaries: Median salaries of faculty by rank and campus.  
Frequency: Annually

#### **Other Publications:**

Academic Programs: Inventory of current graduate and undergraduate academic programs at institutions of the State University of New York.  
Frequency: Ad hoc

## **Substance Abuse, Division of**

See: Alcoholism and Substance Abuse Services, Office of

## **Taxation and Finance, Department of**

State Office Campus, Building 9  
Albany, New York 12227  
Andrew S. Eristoff, Commissioner

**Contact:** Michelle A. Cummings, Deputy Commissioner for Tax Policy and Program Development, Office of Tax Policy Analysis  
(518) 457-4357  
Fax: (518) 457-6666  
E-mail: [Michelle\\_Cummings@tax.state.ny.us](mailto:Michelle_Cummings@tax.state.ny.us)  
Web Site: [www.tax.state.ny.us](http://www.tax.state.ny.us)

The Department of Taxation and Finance collects most of the taxes and some of the fees imposed by the State. It has basic collection data on these taxes and additional statistical data, in annual surveys and other studies, for several of the major taxes.

The most productive single source of State revenue is the personal income tax. The Department annually conducts a large

sample survey of returns to obtain information on components of income and deductions. Other taxes, for which surveys are conducted, or studies are made, are corporation taxes, bank taxes and insurance taxes.

#### **Statistical Series:**

All Tax Collections: Net collections (after refunds) from taxes levied on alcoholic beverages, cigarettes, corporation franchises, estates, highway use, motor fuel, personal income, petroleum businesses, sales and use and others.

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Published: 1913 to present

Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm) (state fiscal year 1995 to present)

Alcoholic Beverage Taxes and Cigarette Taxes, Summary Data.

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Published: 1935 to present

Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm) (state fiscal year 1995 to present)

Corporation Franchise Tax: Amount of tax and other variables for general business corporations, real estate corporations and others, by industry.

In: Statistical Report of New York State Tax Collections (1949-1990)

Corporation Franchise Tax: A mandated accounting of credit activity under the general business corporation franchise tax.

In: Analysis of Article 9-A General Business Corporation Franchise Tax Credits

Frequency: Annually

Published: 1992 to 2001

Web Site: [www.tax.state.ny.us/stat\\_corp/Analysis\\_of\\_Article\\_9A\\_General\\_Business\\_Corporation\\_Franchise\\_Tax\\_Credits.htm](http://www.tax.state.ny.us/stat_corp/Analysis_of_Article_9A_General_Business_Corporation_Franchise_Tax_Credits.htm)

Corporation Franchise Taxes: Statistics for Article 9-A (the franchise tax on business corporations), Article 9 (the corporation and utilities tax), Article 32 (the franchise tax on banking corporations), and Article 33 (the franchise tax on insurance companies). The reports are intended to provide a summary of corporate tax data to aid interested parties in their analysis of New York's corporate tax structure.

In: New York State Corporate Tax Statistical Report

Frequency: Annually

Published: 1990 to 2001

Web Site: [www.tax.state.ny.us/stat\\_corp/Corporate\\_Tax\\_Statistical\\_Report.htm](http://www.tax.state.ny.us/stat_corp/Corporate_Tax_Statistical_Report.htm)

Estate Taxes: Gross collections and net collections, refunds, county treasurer's fees (where applicable), etc.

In: Statistical Report of New York State Tax Collections

Frequency: Annually

Areas covered: New York State and counties

Published: 1925 to present

Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm) (state fiscal year 1995 to present)

Estate Taxes: Taxable estates by net estate class, components of gross estate, amount and type of deductions, and number of estates by tax liability class.

In: Analysis of Estates Closed During (specified) Year

Frequency: Annually

Areas covered: New York State and counties

Published: 1962 to 1988; for state fiscal years 1994-95 to 2000-2001

Web Site: [www.tax.state.ny.us/Statistics/Estate\\_Tax\\_Statistical\\_Reports.htm](http://www.tax.state.ny.us/Statistics/Estate_Tax_Statistical_Reports.htm) (state fiscal years 1994-95 to 2000-2001)

Highway Use Taxes, Motor Fuel Taxes: Summary data on collections and taxable gallons, by type of fuel, etc.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Published: 1965 to present  
Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm)  
(state fiscal years 1995 to present)

Mortgage Tax: Number of mortgages recorded, gross tax collections, expenses of recording offices, refunds and net amounts paid to county treasurers.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Areas covered: New York State and counties  
Published: 1946 to present  
Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm)  
(state fiscal year 1995 to present)

Pari-mutuel Taxes and Racing Admissions Taxes: Attendance at and revenue derived from each harness and flat track in New York State, including revenue from the pari-mutuel tax, breakage, uncashed tickets and commissions.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Area covered: New York State  
Published: 1944 to present  
Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm)  
(state fiscal year 1995 to present)

Personal Income Tax (New York State): Data based on all returns, taxable and nontaxable, reporting on total income, total tax liability, etc.  
In: New York Personal Income and Tax Liability for (specified) Income Year by County of Residence  
Frequency: Annually  
Areas covered: New York State and counties  
Published: 1963 to 2001  
Web Site: [www.tax.state.ny.us/stat\\_pit/Analysis\\_of\\_State\\_Personal\\_Income\\_Tax>Returns\\_by\\_Place\\_of\\_Residence.htm](http://www.tax.state.ny.us/stat_pit/Analysis_of_State_Personal_Income_Tax>Returns_by_Place_of_Residence.htm) (1993 to 2000)

Personal Income Tax (New York State): Analyses of sample data from a stratified sample of returns (separately for long-form returns and short-form returns). Data on sources of income, deductions, exemptions, tax liability, etc.  
In: Analysis of (specified year) Personal Income Tax Returns  
Frequency: Annually  
Published: 1949 to 2001  
Web Site: [www.tax.state.ny.us/stat\\_pit/Analysis\\_of\\_Personal\\_Income\\_Tax>Returns.htm](http://www.tax.state.ny.us/stat_pit/Analysis_of_Personal_Income_Tax>Returns.htm) (1992 to 2001)

Personal Income Tax (New York State): Summary of sample data.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Published: 1949 to 1993

Personal Income Tax (New York State): Detailed data on a county-level basis showing the usage and value of the earned income tax credit, as mandated by the Tax Law. Data are classified by amount of earned income, size of credit and number of qualifying children.  
In: Earned Income Tax Credit: Analysis of Credit Claims  
Frequency: Annually  
Published: 1994 to present  
Web Site: [www.tax.state.ny.us/stat\\_pit/Earned\\_Income\\_Tax\\_Credit\\_Analysis\\_of\\_Credit\\_Claims.htm](http://www.tax.state.ny.us/stat_pit/Earned_Income_Tax_Credit_Analysis_of_Credit_Claims.htm)

Personal Income Tax (New York State): Information on households receiving real property circuit breaker tax credits. Detailed

information includes number of claims, total credits and average credit by filer age, type of residence and household income in each New York State county.  
In: Real Property Circuit Breaker Tax Credit: Credit Use by County  
Frequency: Annually  
Published: 1992 to present  
Web Site: [www.tax.state.ny.us/stat\\_pit/Real\\_Property\\_Circuit\\_Breaker\\_Tax\\_Credit.htm](http://www.tax.state.ny.us/stat_pit/Real_Property_Circuit_Breaker_Tax_Credit.htm)

Sales and Use Taxes: Data on State collections and local distributions.  
In: Statistical Report of New York State Tax Collections  
Frequency: Annually  
Areas covered: New York State and counties and cities that impose local sales and use taxes by State fiscal year  
Published: 1966 to present  
Web Site: [www.tax.state.ny.us/Statistics/Stat\\_FY\\_Collections.htm](http://www.tax.state.ny.us/Statistics/Stat_FY_Collections.htm)  
(state fiscal year 1995 to present)

Sales and Use Taxes: Data on taxable sales and purchases subject to use tax by county and industry.  
In: Taxable Sales and Purchases Subject to the Use Tax by County and Industry for (specific period)  
Frequency: Semiannually (1978 to 2000; discontinued), Annually (1999 to 2001)  
Areas covered: New York State and counties that impose local sales and use taxes  
Published: 1978 to present  
Web Site: [www.tax.state.ny.us/Statistics/Sales\\_Tax\\_Statistical\\_Reports.htm](http://www.tax.state.ny.us/Statistics/Sales_Tax_Statistical_Reports.htm) (March 1995 to February 2001)

## Temporary and Disability Assistance, Office of

40 North Pearl Street  
Albany, New York 12243  
Robert Doar, Commissioner

**Contact:** Public Information Office  
(518) 474-9516  
E-Mail: [nyspio@dfa.state.ny.us](mailto:nyspio@dfa.state.ny.us)  
Web Site: [www.dfa.state.ny.us](http://www.dfa.state.ny.us)

The Office is responsible for supervising programs that provide cash and transitional assistance and other forms of support to eligible children, families and adults: The Family Assistance program (New York's version of the federal TANF program), the Safety Net Assistance program, Supplemental Security Income (SSI), Emergency Assistance for Families and Adults (EAF, EAA), Energy Assistance and Food Stamps.

Due to the State and Federal Welfare Reform Acts, AFDC and Home Relief are obsolete categories and definitions. Caseload and expenditure reporting are now provided under the Family Assistance and Safety Net Assistance programs and definitions. January 1998 denotes the change in reporting definitions from the Public Assistance (AFDC and Home Relief) programs to the Temporary Assistance (Family Assistance and Safety Net Assistance) programs. When attempting to compare historical data, only *total* Public Assistance can be compared with *total* Temporary Assistance. AFDC, HR, Family Assistance, and Safety Net Assistance cannot be compared.

Other functions of the Office include overseeing New York's child-support-enforcement program, and performing disability determinations for SSI. The state's homeless housing and services programs, and its homeless shelters, are also supervised by the Office, as are the refugee and immigrant-services programs.

The Office supervises the work of the New York's 58 local districts (New York City and 57 counties) and apportions to localities funds, which have been approved by the state legislature or the federal government. The Office also provides fair hearings to persons who appeal the denial of benefits by local districts.

### Statistical Series:

#### CURRENT SERIES

Available online at [www.otda.state.ny.us](http://www.otda.state.ny.us)

#### HISTORICAL SERIES

Temporary Assistance Summary Statistics  
Family Assistance Summary Statistics  
Safety Net Assistance Summary Statistics  
In: Temporary and Disability Assistance Statistics; Annual Summary Tables

Frequency: Annually  
Published: 1998 to 2001

Supplemental Security Income program (SSI): Summary data on persons in receipt.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1974 to 1997

Aid to Families with Dependent Children (AFDC): Summary data on cases, persons and expenditures.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

Food Stamp Program: Summary data on households, persons and coupon values.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1975 to 1997

Home Energy Assistance Program (HEAP): Number of individuals receiving assistance.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1983 to 1997

Home Relief (HR): Summary data on cases, persons and expenditures.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

Income Maintenance: Summary data on cases, persons and expenditures.

In: Statistical Supplement to the Annual Report  
Frequency: Annually  
Published: 1960 to 1997

## Thruway Authority

P.O. Box 189  
200 Southern Boulevard  
Albany, New York 12201-0189  
John L. Buono, Chairman

**Contact:** Daniel Gilbert, Director, Department of Public Affairs  
(518) 436-2983

E-mail: [PublicInfo@thruway.state.ny.us](mailto:PublicInfo@thruway.state.ny.us)  
Web Sites: [www.thruway.state.ny.us](http://www.thruway.state.ny.us)  
[www.canals.state.ny.us](http://www.canals.state.ny.us)

The New York State Thruway Authority operates the Governor Thomas E. Dewey Thruway — the largest toll superhighway system in the United States. The 559-mile expressway expanded to 641 miles in the 1991 when the Authority assumed operations and maintenance of the 11-mile Cross Westchester Expressway (Interstate 287) and the 71-mile stretch of Interstate 84 between the Pennsylvania line at Port Jervis and the Connecticut border east of Brewster.

The Thruway Authority, an independent public corporation, was created by the state Legislature in 1950 to build, operate and maintain the superhighway. The Thruway was built from proceeds raised from the sale of bonds to private investors. Currently, outstanding bonds are being paid with toll revenue and other income.

The New York State Thruway is one of the safest and best maintained highways in the nation. In 2004 alone, nearly 300,000,000 passenger and commercial vehicles utilized the system.

State legislation signed in August 1992 expanded the Thruway Authority's role to include operation, maintenance and development of the 524-mile New York State Canal System, and the financing of certain transportation-related economic development projects in the Thruway Corridor.

The Canal System is made up of four canals: the Erie, Champlain, Oswego and Cayuga-Seneca; canalized natural waterways, plus five lakes: Oneida, Onondaga, Cross, Cayuga, and Seneca; short canal sections at Ithaca and Watkins Glen; feeder reservoirs, canals and rivers not accessible by boat from the canal; and canal terminals on Lake Champlain. The Canal System, which links the Hudson River with Lake Champlain, Lake Ontario and the Finger Lakes, the Niagara River and Lake Erie, passes through 25 counties and close to 200 villages, hamlets and towns.

## Tobacco Settlement Financing Corporation

641 Lexington Avenue  
New York, New York 10022  
Jerome M. Becker, Chairman

**Contact:** Tracy A. Oats, Vice President of Policy and Planning  
(212) 688-4000 ext. 678  
Web Site: [www.nyhomes.org/mbba/mbba.html](http://www.nyhomes.org/mbba/mbba.html)

TSFC is a public benefit corporation of the State of New York (the "State") established as a subsidiary of the State of New York Municipal Bond Bank Agency and created pursuant to the Tobacco Settlement Financing Corporation Act (the "Act"). By the terms of the Act, TSFC is treated and accounted for as a legal entity separate from the State and MBBA with its separate corporate purposes set forth in the Act. The directors of MBBA serve as members of TSFC. The Act authorizes TSFC to issue an aggregate principal amount of bonds not to exceed \$4,200,000,000, excluding refunding bonds (plus the financing costs associated therewith) to purchase all or a portion of the State's share of the Tobacco Settlement Revenues available pursuant to the Master Settlement Agreement (the "MSA") entered into by the State, and to pay financing costs. The MSA provides for the states that are party to the MSA to settle all their past, present, and future smoking related claims against certain tobacco companies in exchange for, among other things, an agreement by those certain tobacco

companies to make payments to the states that are signatory to the MSA. In June 2003, TSFC issued \$2,310,705,000 of bonds and in December 2003 TSFC issued \$2,240,415,000 of bonds.

## Transportation, Department of

State Office Campus  
Albany, New York 12232  
Joseph H. Boardman, Commissioner  
(518) 457-4422

**Contact:** Nathan Erlbaum, Planning and Strategy Group  
(518) 457-2967  
Web Site: [www.dot.state.ny.us](http://www.dot.state.ny.us)

The New York State Department of Transportation (NYSDOT) is charged with the coordination and development of comprehensive, balanced transportation policies and plans for New York State. It is concerned with development and operation of such transportation facilities as highways, mass transit systems, railroad passenger and freight services and marine and aviation facilities.

To help plan its transportation development and maintenance program, the Department maintains basic inventories of highway facilities and usage and selected information on air, rail, bus and waterway facilities and travels. With respect to highway information, the Department annually updates inventory of traffic volume and physical condition data for the 15,000-mile State highway system. These statistics appear in the Traffic Volume Report and in the Highway Sufficiency Report.

### Statistical Series:

**Bridge Records:** Inventory of more than 19,500 State and local bridges by location, amount of traffic, design loads, posted load limits, condition ratings, etc.  
Price: \$11.00  
Available from: New York State Department of Transportation  
Frequency: Annually  
Area covered: New York State

**Highways — Financial Inventory:** Annual data on Federal expenditures and receipts and expenditures by New York State, for all highways in the State.  
In: Highway Statistics, published by United States Department of Transportation  
Price: \$17.50  
Available from: FHWA  
Frequency: Annually  
Area covered: United States by State

**Highway Physical Inventory:** Location, physical features, operational characteristics and condition data over time for all highway sections on the State Touring Route Highway System  
In: Highway Sufficiency Report  
Price: hard copy \$10.00; digital file \$10.00  
Available from: New York State Department of Transportation  
Areas covered: Statewide, County and highway section

**Highway Mileage Report for New York State:** Number of miles of State, city, village and town or county highways aggregated by minor civil division within each county.  
Price: \$6.00  
Available from: New York State Department of Transportation  
Frequency: Annually  
Area covered: Cities, towns, villages and counties  
Summary Only: [www.dot.state.ny.us/pubs/publist.html](http://www.dot.state.ny.us/pubs/publist.html)

**Local Highway Finance:** Highway and highway-related income and expenditures of all local governments; data aggregated by type of municipality and population class.  
In: Highway Statistics, published by United States Department of Transportation  
Price: \$17.50  
Available from: FHWA  
Frequency: Annually  
Areas covered: United States by State

**Traffic Volume Report:** Annual average daily traffic for each segment of State highway; data based on periodic traffic counts, adjusted for seasonality. Includes, for each highway segment, latest traffic volume and up to three previous traffic volumes.  
Price: hard copy \$10.00; digital file \$10.00  
Frequency: Annually  
Available from: New York State Department of Transportation  
Area covered: Counties and DOT regions  
By county: [www.dot.state.ny.us/pubs/publist](http://www.dot.state.ny.us/pubs/publist)

**Annual Report on Public Transportation Assistance Programs in New York State:** Documents trend in transit ridership and service as well as recent accomplishments of various state and federal transit assistance programs with NYSDOT administrators.  
Price: Free  
Available from: New York State Department of Transportation  
Frequency: Annually  
Area covered: New York State

Statistical Series available from New York State Department of Transportation may be obtained from the Support Services Bureau, Plan and Publication Sales at the NYSDOT, 1220 Washington Avenue, Albany, New York 12232.

Highway Statistics are available from the U.S. Government Printing Office, Superintendent of Documents, Mail Stop: SSOP, Washington, DC 20402-9328.

## Unified Court System, New York State

25 Beaver Street  
New York, New York 10004  
Jonathan Lippman, Chief Administrative Judge

**Contact:** Lawrence Marks, Administrative Director  
(212) 428-2884  
Ronald Younkens, Chief of Operations  
(212) 428-2126  
Web Site: [www.nycourts.gov](http://www.nycourts.gov)

The Judiciary is one of the three branches of government. Article VI of the State Constitution establishes a unified court system, defines the organization and jurisdiction of the courts, governs the manner of selecting and removing judges and provides for the administrative supervision of the courts by a Chief Administrator of the Courts, on behalf of the Chief Judge of the Court of Appeals.

The function of the courts is to provide a forum for the fair and prompt resolution of civil claims and family disputes, criminal charges and charges of juvenile delinquency and disputes between citizens and governmental units. The courts also supervise the management of trusts and estates and determine the sufficiency of wills, adoptions, divorce applications and other similar matters required to be submitted to them. They provide legal protection for children, mentally ill persons and others entitled by law to the special protection of the court. They regulate the admission of lawyers to the Bar and their conduct and discipline.

The New York State court system is one of the largest and busiest in the Western World. There are approximately 1,200 state-paid judges and 2,300 town and village justices, as well as over 16,000 nonjudicial employees. Pursuant to the Unified Court Budget Act of 1976, the cost of operating the unified court system, excluding town and village courts, has been borne entirely by the State since April 1, 1980. Local governments (counties and cities) remain responsible for providing and maintaining court facilities.

The jurisdiction of each court is established by Article VI of the Constitution or by statute. Generally, misdemeanors, violations and minor civil matters are within the jurisdiction of the trial courts of limited jurisdiction (see chart at end of this discussion). These courts are also responsible for presiding over arraignments and other preliminary proceedings in felony cases.

The Supreme Court, which functions in the 12 judicial districts of the State, is the statewide trial court for major civil actions. In New York City and some other parts of the State, the Supreme Court also exercises jurisdiction over charges of felonies.

The Court of Claims has jurisdiction over civil claims against the State.

There are three county-level courts. The County Court (as established in counties outside New York City) has jurisdiction over all felonies once arraignment and other preliminary proceedings are completed in the lower courts, and over indictments returned by a grand jury. It has jurisdiction over civil matters involving claims of up to \$25,000. The Family Court has jurisdiction over offenses by juveniles, offenses within the family and support and other proceedings involving child welfare and the family. The Surrogate's Court has jurisdiction over the probate of wills and the administration of estates. Both Family Court and Surrogate's Court have jurisdiction over adoptions.

Generally, misdemeanors, violations and minor civil matters are within the jurisdiction of the trial courts of limited jurisdiction. These courts consist of the Civil Court of the City of New York, the Criminal Court of the City of New York, district courts (established in Nassau County and the five western towns of Suffolk County), city courts and town and village courts.

The Civil Court of the City of New York has jurisdiction over civil actions involving amounts of \$25,000 or less and other civil matters referred to it by the Supreme Court. The Criminal Court of the City of New York has jurisdiction over misdemeanors and violations and conducts arraignments and preliminary hearings in felony cases.

District courts, city courts and town and village courts, all of which are outside of New York City, have essentially the same criminal jurisdiction as the New York City Criminal Court and handle minor civil cases (district courts — up to \$15,000; city courts — up to \$15,000; town and village courts — up to \$3,000).

There are four Appellate Divisions of the Supreme Court, one in each of the State's four judicial departments. Judicial departments consist of two or more judicial districts. The Appellate Divisions hear appeals from courts of superior jurisdiction. In the First and Second Departments, Appellate Terms have been established to hear appeals in cases originating in the Civil and Criminal Courts of the City of New York and civil and criminal cases originating in district, city, town and village courts. In the Second Department, the Appellate Terms also hear nonfelony appeals originating in the County Courts. In the Third and Fourth Departments, appeals from city, town and village courts are heard in the County Court. Appeals from the Appellate Terms and the County Court (sitting as an appellate court) are heard in the Appellate Divisions.

The Court of Appeals, the State's highest court, hears cases on appeal from the Appellate Divisions and in some instances from

the courts of original jurisdiction. In most cases, its review is limited to questions of law. The Court also has jurisdiction to review administrative determinations in judicial disciplinary proceedings.

Ancillary services to the courts include the State Board of Law Examiners, attorney disciplinary programs and professional services such as the Mental Hygiene Legal Service, law guardian programs for the Family Court and the assignment of counsel to indigent adult defendants.

The Office of Court Administration provides the administrative services required to support all court and auxiliary operations. These include personnel and budget administration, program and planning support, educational programs for judges and nonjudicial personnel, equal employment opportunity programs, and other administrative support operations. Counsel's Office prepares and analyzes legislation and provides legal assistance to the Chief Administrative Judge.

#### Structure and Jurisdiction of New York State Courts

Appellate Courts	Court of Appeals Appellate Divisions of the Supreme Court Appellate Terms of the Supreme Court County Courts (acting as appellate courts)	
Trial Courts of Superior Jurisdiction	Statewide:	Supreme Court Court of Claims Family Court Surrogate's Court
	Outside New York City:	County Courts
Trial Courts of Limited Jurisdiction	New York City:	Criminal Court of the City of New York Civil Court of the City of New York
	Outside New York City:	City Courts District Courts Town Courts Village Courts

### Veterans' Affairs, Division of

5 Empire State Plaza, Suite 2836  
Albany, New York 12223-1551  
George P. Basher, Director

**Contact:** Harvey J. McCagg, Executive Deputy Director  
(518) 474-6784  
Web Site: [www.veterans.state.ny.us](http://www.veterans.state.ny.us)

The New York State Division of Veterans' Affairs was created in 1945 to assist returning World War II servicemen and women in readjustment from military to civilian life. The legislation establishing the Division provided for a separate agency to oversee all matters concerning veterans, who in the past had found themselves shunted from department to department.

The Division of Veterans' Affairs provides benefits, counseling and advocacy for New York's 1.2 million veterans and their families and survivors, as well as active duty military personnel and their families.

The heart of the State's veterans' program is the Division's free counseling service. Experienced and dedicated counselors — each a veteran — in a network of field offices across the state offer veterans professional help to resolve social, medical and economic problems.

State Veterans Counselors assist the claimant — whether a veteran, spouse, child or parent — in completing applications, obtaining necessary documentation and filing for a broad spectrum of federal, State, local and private veterans' benefits. Counselors also assist claimants in responding to follow-up correspondence and, when necessary, appealing an unfavorable ruling.

By working closely with other State, federal, local and private agencies, the Division of Veterans' Affairs is able to utilize the expertise and resources of others in the community to help the veteran and his or her family with specific needs, such as economic, employment, rehabilitation, medical treatment, home health care, education and tax exemption.

In its leadership role, the Division has a responsibility for planning and coordinating services within the community, and works closely with the United States Department of Veterans Affairs (VA) and other state agencies to accomplish this goal. The Division has strived to sensitize governmental and private agencies to the special problems and needs of veterans, particularly those men and women who served in combat and whose social, medical and mental problems are often specifically rooted in war-time experiences.

The Division also administers a Blind Annuity program that provides a monthly stipend to more than 4,000 visually impaired veterans and eligible surviving spouses, and oversees the Veterans Education Bureau, which investigates and certifies post-secondary educational and vocational institutions as providing legitimate and quality education for veterans seeking to utilize their federal education benefits to attend such facilities.

A toll-free information and referral hotline 888-VETS-NYS (1-888-838-7697) is available to refer veterans and their families to the nearest State Veteran Counseling Office. The Division has also established an Internet web site at [www.veterans.state.ny.us](http://www.veterans.state.ny.us).

## Waterfront Commission of New York Harbor

39 Broadway  
New York, New York 10006  
Thomas De Maria, Executive Director

**Contact:** Thomas De Maria, Executive Director  
(212) 905-9201  
Web Site: [www.waterfrontcommission.org](http://www.waterfrontcommission.org)

The Waterfront Commission of New York Harbor was created in 1953, by a Compact between the States of New York and New Jersey, to improve waterfront labor conditions and eliminate criminal and corrupt practices in the Port of New York-New Jersey. To accomplish and maintain these reforms, the Commission investigates waterfront practices and criminal activity, registers and licenses various waterfront personnel and firms, balances dock worker forces with the needs of steamship and freight-moving companies, oversees hiring of pier workers, offers workers reliable information on employment opportunities and prohibits criminal persons from holding positions in pier labor unions. In addition, the Commission has police authority in both states to enforce its regulations and to bring violators to justice. It also is authorized to seek data and assistance from other agencies in both states and the Federal government in carrying out its functions.

The agency is headed by two Commissioners, appointed in each state by the Governor, with the consent of the State Senate, for a three-year term. Seven divisions carry out its functions: Executive, Law, Licensing and Employment Information Centers, Police, Audit and Control, Information Technology and Administration. The Waterfront Commission receives its revenues from authorized assessments from employers of Commission registered and licensed waterfront workers.

The Commission publishes an Annual Report, which presents statistics on license applications and revocations, monthly hirings of pier workers, agency finances, arrests and court proceedings, registrations and removal of workers from registration lists. It also highlights agency progress and achievements.

## Workers' Compensation Board

20 Park Street  
Albany, New York 12207  
(518) 474-6670  
David P. Wehner, Chairman

**Contact:** Jon Sullivan, Director of Public Information  
(518) 474-6670  
Web Site: [www.wcb.state.ny.us](http://www.wcb.state.ny.us)

The Workers' Compensation Board is responsible for equitably and fairly administering the provisions of the New York State Workers' Compensation Law, including Workers' Compensation Benefits, Disability Benefits, Volunteer Firefighters' Benefits, Volunteer Ambulance Workers' Benefits and Volunteer Civil Defense Workers' Benefits Law on behalf of our customers, New York's injured workers and their employers.

The Board has District Offices in Hauppauge, Hempstead, Brooklyn, Manhattan, Queens, Peekskill, Albany (Menands), Binghamton, Syracuse, Rochester and Buffalo as well as 31 service centers located across the state. The Board's principal offices are in Albany.

Primary types of workers' compensation data collected relate to the number of accidents reported, hearings held, cases assembled, as well as data regarding types of accidents, nature and extent of disabilities and the amount of the benefits.

### Statistical Series:

**Accidents Reported:** Job-related accidents reported to the Board, data for volunteer firefighters and volunteer ambulance workers included.

In: Highlights  
Frequency: Monthly  
Area covered: New York State  
Published: 1959 to present

**Cases Assembled:** New cases assembled and old cases reopened, data for volunteer firefighters and volunteer ambulance workers included.

In: Highlights  
Frequency: Monthly  
Area covered: New York State  
Published: 1959 to present

**Hearings Held:** Number of hearings, trial and motion calendar, by outcome (adjourned, continued, closed) for workers' compensation, volunteer firefighters and volunteer ambulance workers, and disability benefits hearings (continued and closed).

In: W.C. Law Judges' Activity — Cumulative Comparison Report  
Frequency: Monthly

Area covered: New York State and Workers' Compensation Districts  
Published: 1966 to present

Cases Closed: By Law Judges.

In: W.C. Law Judges' Activity — Cumulative Comparison Report

Frequency: Monthly

Area covered: New York State and Workers' Compensation Districts

Data available: 1966 to present

Compensated Cases Closed: Number and cost; type of accident; nature of injury; extent of disability; part of body injured; industry; and occupation, age, sex and earnings of injured workers. (Some data for 1945.)

Frequency: Annually

Area covered: New York State

Published: 1946 to 1989. Data from 1990 to present are under development.

Disability Benefits: Off-the-job disabilities of employees, including number of initial indemnity benefit claims allowed, amount and duration of benefits, average number of employees covered, amount of covered payrolls, claim rates, payments per covered employee, average payment per claim, and amount of medical, hospital and surgical benefits.

In: Claim Statistics

Area covered: New York State

Published: 1951 to 1990. Data from 1991 to present are under development.

## **Youth, Division for**

See: Children and Family Services, Office of

