

TABLE E-22
Mortgage Tax Collections
New York State by County – Fiscal Year 2005-06

County	Number of Mortgages Recorded	Distributions					Net Amount Paid to County Treasurers ¹
		Taxes Collected	Additional Tax ¹	Additional Tax ²	Special Assistance Fund ³	Local Tax	
New York State	940,760	\$ 3,274,453,377	\$ 517,507,340	\$ 182,829,637	\$ 302,958,565	\$ 1,258,645,911	\$ 2,257,612,966
New York City	223,501	2,119,930,626	249,928,319	100,966,289	122,104,268	1,199,143,079	1,644,731,760
Bronx	22,296	141,976,570	17,586,222	5,157,613	10,491,102	77,224,042	108,727,256
Kings	65,157	491,106,728	60,803,374	17,881,317	36,062,200	268,716,579	376,253,845
New York	18,735	869,865,132	92,205,490	66,612,797	15,894,178	529,679,269	693,230,107
Queens	81,876	474,257,190	60,630,896	9,756,899	44,265,715	250,575,754	359,450,625
Richmond	35,437	142,725,005	18,702,337	1,557,663	15,391,073	72,947,436	107,069,927
Rest of State	717,259	1,154,522,751	267,579,021	81,863,347	180,854,296	59,502,832	612,881,206
Albany	18,570	23,616,994	5,055,527	5,169,944	—	2,041,129	12,978,328
Allegany	1,762	777,189	154,868	170,532	—	—	374,680
Broome	8,547	5,945,973	—	1,227,142	—	1,495,908	4,475,685
Cattaraugus	3,331	2,054,559	449,423	479,219	—	—	1,034,400
Cayuga	3,772	2,518,150	545,072	592,104	—	—	1,252,807
Chautauqua	5,714	4,958,919	1,006,648	1,045,600	—	622,177	2,831,404
Chemung	3,806	1,684,980	—	464,444	—	—	1,055,515
Chenango	2,410	1,025,130	—	314,666	—	—	710,464
Clinton	3,998	3,293,974	744,320	773,312	—	—	1,622,839
Columbia	4,547	7,362,636	—	1,439,034	—	2,936,202	5,921,632
Cortland	2,215	994,657	—	306,461	—	—	651,994
Delaware	2,876	2,453,968	582,201	604,107	—	—	1,266,906
Dutchess	24,973	38,418,691	10,425,370	1,398,423	7,391,518	—	18,901,259
Erie	42,954	33,724,307	7,669,734	1,671,015	6,730,074	—	17,244,071
Essex	2,450	2,952,239	704,371	721,955	—	—	1,505,912
Franklin	1,952	1,511,327	325,681	367,128	—	—	784,908
Fulton	2,785	2,137,025	475,121	529,467	—	—	1,130,898
Genesee	2,759	2,118,985	360,359	388,574	—	421,364	1,259,015
Greene	3,740	3,319,514	—	1,081,780	—	—	2,229,886
Hamilton	444	496,920	—	160,447	—	—	336,473
Herkimer	2,829	2,020,088	—	570,664	—	172,468	1,401,646
Jefferson	5,508	3,903,290	—	1,214,546	—	—	2,528,304
Lewis	1,347	1,060,626	—	270,601	—	199,058	775,025
Livingston	2,990	2,249,667	525,509	539,154	—	—	1,186,455
Madison	3,714	2,172,210	—	695,806	—	—	1,464,404
Monroe	39,419	30,269,614	6,968,565	6,732,417	—	—	15,723,208
Montgomery	2,335	1,178,499	—	365,929	—	—	778,166
Nassau	109,690	231,529,203	62,389,257	4,985,099	49,956,538	—	112,805,424
Niagara	9,401	7,174,954	1,556,844	1,659,104	—	—	3,516,307
Oneida	9,891	7,081,907	1,462,780	1,690,549	—	—	3,621,152
Onondaga	27,192	21,423,083	4,914,542	5,177,724	—	—	10,942,813
Ontario	6,447	4,074,662	—	1,260,852	—	—	2,761,070
Orange	29,150	47,058,095	12,495,648	1,653,280	9,583,528	—	22,939,811
Orleans	1,962	1,020,336	212,767	219,455	—	—	507,907
Oswego	5,556	4,245,586	955,124	979,337	—	—	2,154,620
Otsego	3,315	2,246,674	—	716,447	—	—	1,518,226
Putnam	9,106	17,056,134	4,545,345	258,081	3,795,664	—	8,259,713
Rensselaer	10,513	11,247,668	2,117,320	2,150,020	—	2,278,591	6,922,092
Rockland	19,403	52,292,178	11,272,281	1,110,265	8,988,118	10,225,916	30,490,727
St. Lawrence	4,376	2,560,385	—	775,620	—	—	1,675,465
Saratoga	16,867	23,128,161	5,519,500	5,666,711	—	—	11,825,217
Schenectady	10,438	9,883,540	2,081,380	2,150,323	—	842,294	5,477,297
Schoharie	1,924	1,205,882	—	382,027	—	—	819,836
Schuyler	886	678,398	145,143	149,977	—	—	338,192
Seneca	1,486	1,057,690	245,079	256,850	—	—	554,596
Steuben	4,222	2,479,422	201,655	542,050	—	230,213	1,576,530
Suffolk	130,079	286,176,660	77,204,467	4,906,865	62,887,879	—	139,379,655
Sullivan	5,754	7,991,166	1,018,062	1,978,769	—	958,988	4,923,398

(Continued on the following page)

TABLE E-22 (continued)

Mortgage Tax Collections
New York State by County — Fiscal Year 2005-06

County	Number of Mortgages Recorded	Distributions					Net Amount Paid to County Treasurers ¹
		Taxes Collected	Additional Tax ¹	Additional Tax ²	Special Assistance Fund ³	Local Tax	
Tioga	2,483	\$ 1,246,276	—	\$ 356,193	—	—	\$ 830,478
Tompkins	4,285	2,848,161	—	883,975	—	—	1,829,053
Ulster	13,531	13,408,601	—	4,190,366	—	—	9,075,303
Warren	5,018	7,169,307	\$ 1,722,378	1,775,158	—	—	3,671,771
Washington	3,862	3,134,862	727,628	776,196	—	—	1,629,538
Wayne	4,691	3,326,470	688,185	679,200	—	\$ 346,470	1,934,287
Westchester	60,861	195,389,036	39,869,241	4,739,828	\$ 31,520,977	36,576,112	118,238,584a
Wyoming	1,811	1,244,502	241,627	261,681	—	44,130	598,747
Yates	1,312	923,620	—	236,875	—	111,811	637,115

NOTE: All payments are received by localities.

Detail may not add to total due to rounding.

— Represents zero.

a Includes City of Yonkers local tax: \$ 8,518,893

1 Revenues paid to regional transportation authorities serving the counties where the additional tax is collected.

2 Revenues paid to the State Mortgage Insurance Fund account serving the region where county is located, to provide mortgage insurance for refinancing, preserving and rehabilitating existing communities (Chapter 788, Laws of 1978).

3 Within the Metropolitan Commuter Transportation District and in Erie County, part of the funds from the special additional tax for mortgage insurance are to be paid to special assistance funds for transportation in the respective districts. Effective May 1, 1987.

4 Amount of taxes collected, minus expenses of recording officers, refunded taxes, and the additional taxes paid to transportation State Mortgage Insurance Agency.

SOURCE: New York State Department of Taxation and Finance; www.tax.state.ny.us/statistics/stat_fy_collections.htm.

TABLE E-23

**Revenues from Selected Types of Licenses
Issued by the Department of State
New York State — 2004-05**

Licenses	2004		2005	
	Licenses Issued	Revenue	Licenses Issued ¹	Revenue ²
Athletic	875	\$ 26,100	1,301	\$ 43,250
Promoters ³	7	12,150	38	17,100
Boxers ³	115	1,150	114	1,140
Wrestlers	a	a	a	a
Miscellaneous ⁴	442	9,240	517	12,370
Transfers	—	—	—	—
Permits (\$10) ⁵	265	2,650	X	X
Permits (\$20) ⁵	46	920	632	12,640
Athlete Agent (\$200/\$50)	18	\$ 1,600	70	\$ 3,150
Apartment Information Vendor and Apartment Sharing Agent (\$400/\$250)	21	\$ 13,750	20	\$ 7,650
Appearance Enhancement	90,962	\$ 2,131,577	187,144	\$ 2,085,265
Operators (\$20)	69,193	X	156,410	X
Shops and Rental Areas (\$30)	11,165	X	25,534	X
Temporary Permits (\$10)	10,604	X	5,200	X
Armored Car	782	\$ 40,375	2,101	\$ 40,470
Carrier (\$300)	3	X	27	X
Guard (\$50)	779	X	2,074	X
Barber	7,333	\$ 166,222	17,223	\$ 176,712
Operators (\$20)	3,594	X	8,504	X
Shops and Rental Areas (\$30)	1,863	X	4,455	X
Temporary Permits and Apprentices (\$10)	1,876	X	4,264	X
Bedding (\$150/\$100)	480	\$ 72,950	620	\$ 78,320
Black Car Operator	36	\$ 25,700	NA	\$ 23,950
Business of Installing, Servicing or Maintaining Security and Fire Alarm System (\$200/\$185/\$100)	1,639	\$ 180,185	3,133	\$ 176,815
Hearing Aid Dispenser/Business (multiple fees)	1,614	\$ 80,745	1,938	\$ 96,290
Home Inspector (\$250/\$100)	NA	NA	1,309	\$ 255,950
Notary Public Commission (\$60)	15,475	\$ 922,536	276,421	\$ 5,030,099
Pet Cemeteries (\$150)	13	\$ 1,950	NA	\$ 3,250
Private Investigators, Watch Guard or Patrol Agency, and Bail Enforcement Agents (\$300/\$400/\$500)	1,794	\$ 933,575	3,043	\$ 957,200
Real Estate Appraisers	3,991	\$ 1,001,830	7,269	\$ 1,049,650
Certified Residential, Certified Commercial, or Licensed General (\$300)	2,092	X	4,480	X
Appraiser Assistant (\$250)	1,899	X	2,789	X
Real Estate	60,478	\$ 6,211,231	152,439	\$ 6,289,656
Brokers/Branch Office (\$150)	20,617	X	57,963	X
Sales Persons (\$50)	39,861	X	94,476	X
Security Guards (\$36/\$25)	68,835	\$ 2,490,747	133,799	\$ 2,883,491
Telemarketer Business (\$500)	8	\$ 4,000	27	\$ 7,000

— Represents zero.

X Not applicable.

NA Not available.

a Wrestlers are no longer required to be licensed by statute.

1 Includes original and renewal licenses.

2 Revenue does not necessarily reflect licenses issued due to refunds, denials, etc.

3 Promoters and Boxer licensing statistics do not include temporary permits and reflect only annual licenses issued to individuals and/or entities.

4 Includes all annual licenses issued to managers, seconds, judges, referees, matchmakers, announcers, timekeepers and gyms.

5 Permits are only one day temporary licenses which may be issued to boxers, seconds, ushers, ticket takers, doormen, timekeepers, announcers, box office employees and managers. It must be further noted that permit fees increased from \$10.00 to \$20.00 during this reporting period.

SOURCE: New York State Department of State.

TABLE E-24
Revenues from Selected Fees Collected by the Department of State
New York State — 2003-05

Type of Service Provided	2003		2004		2005	
	Number	Fee Revenue	Number	Fee Revenue	Number	Fee Revenue
Corporation						
Filing Documents	232,791	\$ 23,086,515	256,162	\$ 25,216,714	272,154	\$ 26,727,303
Certified Copies	245,565	3,268,947	257,111	3,457,671	271,294	3,637,458
Legal Process Served	99,653	2,859,653	104,292	2,900,015	98,193	2,937,000
Uniform Commercial Code						
Filing Financial Statements	280,566	7,718,646	277,138	8,503,565	263,833	7,690,065
Searches and Copies	61,824	1,163,361	35,106	872,945	23,285	574,675
Issuance of County Clerk Certificates	97,430	974,300	120,786	1,207,860	170,042	1,700,420
Registration of Trademarks	229	11,450	274	13,700	282	14,100
Registration of Servicemarks	402	20,100	446	22,300	520	26,000
Registration of Games of Chance	3,450	345,000	3,661	366,100	3,928	392,800

SOURCE: New York State Department of State.

TABLE E-25
Revenue from Use of Selected State-Owned Facilities
New York State
Fiscal Years 2002-03 — 2005-06

Property Location	Source of Revenue							
	Facility Use				Parking			
	2002-03	2003-04	2004-05	2005-06	2002-03	2003-04	2004-05	2005-06
All Locations	\$ 843,833	\$ 883,930	\$ 870,884	\$ 922,982	\$ 5,859,998	\$ 5,738,245	\$ 5,789,735	\$ 5,912,831
Albany County	760,363	728,955	772,716	839,086	5,718,670	5,590,744	5,634,923	5,740,819
Broome County								
Binghamton State Office Building	11,020	23,251	11,443	5,735	36,491	37,033	36,585	36,254
Jefferson County								
Watertown State Office Building	27,801	27,895	26,266	24,286	18,031	20,797	20,306	22,413
New York County								
Harlem State Office Building	44,649	67,976	24,606	21,404	18,236	17,468	19,327	16,508
Oneida County								
Utica State Office Building	X	X	X	X	5,898	6,292	6,134	4,129
Onondaga County								
Syracuse State Office Building	X	X	X	X	46,134	47,735	46,811	45,259
Suffolk County								
Hauppauge State Office Building	X	X	X	X	7,484	7,289	6,239	4,910
Erie County								
Buffalo State Office Building	X	35,853	35,853	32,471	9,054	10,887	19,410	42,541

X Not applicable.

SOURCE: New York State Office of General Services.

TABLE E-26
Revenue Generated from Real Estate Development Transactions
New York State — Fiscal Years 2000-01 — 2006-07

Type of Land Management Transaction	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07
All Transactions	\$ 38,835,284	\$ 13,793,743	\$ 8,020,657	\$ 8,960,402	\$ 6,496,485	\$ 20,214,554	\$ 29,007,692
Sales	34,227,393	9,519,747	991,851	3,999,502	3,142,898	16,514,191	25,596,319
Leases and Licenses	2,840,815	2,728,605	4,917,990	3,231,804	1,487,555	2,165,720	1,950,181
Easements	1,766,201	1,544,997	2,110,541	1,728,356	1,865,895	1,533,415	1,461,028
Surplus Buildings	—	—	—	—	—	—	—
Miscellaneous	875	394	275	740	137	1,228	164

— Represents zero.

SOURCE: New York State Office of General Services, Division of Real Estate Development.

TABLE E-27
Revenue Generated from Disposition of Surplus State Personal Property
New York State — Fiscal Years 1998-99 — 2004-05

	1998-99	1999-2000	2000-01	2001-02	2002-03	2003-04	2004-05
All Items Processed	43,095	48,298	33,436	31,031	30,524	9,904	8,109
Items Sold	15,715	25,557	23,414	23,350	17,441	4,733	5,038
Revenue Generated from Sales	\$ 4,906,485	\$ 5,097,541	\$ 4,932,217	\$ 4,458,919	\$ 3,445,952	\$ 3,259,167	\$ 4,147,612
Items Transferred	20,794	13,488	10,022	7,681	13,083	5,171	3,071
Transfer Value ¹	\$ 2,774,033	\$ 2,820,913	\$ 1,983,289	\$ 2,617,007	\$ 2,665,523	\$ 3,117,334	\$ 2,159,234

1 Calculated at 25 percent of replacement cost.

SOURCE: New York State Office of General Services, Bureau of Surplus Personal Property Disposition.

TABLE E-28

**Lottery Revenue, Sales by Type of Game and Prizes Paid
New York State — Fiscal Years 1976-77 — 2004-05
(millions)**

Fiscal Year Ended	Sales																			Prizes Paid
	Net Revenue	Total	Instant	Weekly	Olympic	New York Numbers	Win-4	Lotto	Pick 10	Take 5	Quick Draw	Lucky Day	Local Lotto	Millennium Millions	Mega Millions	Instant Win	Promotion Raffle Game	King Kong Millions	Video Lottery	
1977	\$ 94.8	\$ 196.5	186.9	\$ 9.6	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	\$ 78.8
1978r	88.6	195.8	155.4	40.4	X	X	X	X	X	X	X	X	X	X	X	X	X	X	X	78.2
1979r	86.0	188.1	155.7	10.9	\$ 15.1	X	X	6.4	X	X	X	X	X	X	X	X	X	X	X	74.4
1980r	85.6	184.6	118.2	4.4	12.8	X	X	49.2	X	X	X	X	X	X	X	X	X	X	X	74.6
1981r	102.5	236.2	92.9	X	X	\$ 65.4	X	77.9	X	X	X	X	X	X	X	X	X	X	X	101.3
1982r	179.8	424.9	93.1	X	X	168.8	\$ 13.7	149.3	X	X	X	X	X	X	X	X	X	X	X	191.0
1983r	275.2	645.0	87.1	X	X	237.0	41.6	279.3	X	X	X	X	X	X	X	X	X	X	X	284.6
1984r	390.5	890.3	85.2	X	X	276.6	68.5	460.0	X	X	X	X	X	X	X	X	X	X	X	392.0
1985r	599.9	1,271.2	98.4	X	X	330.7	73.3	768.8	X	X	X	X	X	X	X	X	X	X	X	560.6
1986r	607.9	1,317.0	76.7	X	X	379.4	122.1	738.8	X	X	X	X	X	X	X	X	X	X	X	598.6
1987r	666.8	1,458.8	65.4	X	X	446.2	154.9	763.0	\$ 29.4	X	X	X	X	X	X	X	X	X	X	674.6
1988r	706.8	1,565.9	96.9	X	X	504.2	180.2	727.2	57.4	X	X	X	X	X	X	X	X	X	X	735.0
1989r	830.2	1,847.1	158.1	X	X	566.6	215.0	841.7	65.7	X	X	X	X	X	X	X	X	X	X	875.4
1990r	927.1	2,058.1	202.5	X	X	602.1	258.4	929.5	65.6	X	X	X	X	X	X	X	X	X	X	909.3
1991r	957.6	2,134.0	262.0	X	X	615.5	286.7	851.3	119.1	X	X	X	X	X	X	X	X	X	X	949.8
1992r	866.7	2,063.0	286.2	X	X	628.5	321.6	676.3	108.9	\$ 41.5	X	X	X	X	X	X	X	X	X	968.7
1993r	1,001.1	2,360.0	290.3	X	X	652.3	355.4	696.3	91.2	274.5	X	X	X	X	X	X	X	X	X	1,101.8
1994r	1,011.2	2,369.1	299.0	X	X	658.1	374.4	645.7	84.4	307.5	X	X	X	X	X	X	X	X	X	1,107.5
1995r	1,243.8	3,028.6	666.1	X	X	689.4	415.8	839.4	83.6	334.2	X	X	X	X	X	X	X	X	X	1,470.6
1996	1,399.6	3,610.6	1,022.3	X	X	676.9	416.2	755.8	71.4	325.9	\$ 342.1	X	X	X	X	X	X	X	X	1,826.7
1997r	1,542.8a	3,992.3	1,056.6	X	X	668.8	426.6	874.3	57.7	341.3	561.1	\$ 5.9	X	X	X	X	X	X	X	2,026.2
1998r	1,528.9a	3,943.1	991.7	X	X	698.5	435.0	870.5	49.9	384.8	504.4	8.3	X	X	X	X	X	X	X	2,093.6
1999r	1,413.3a	3,697.6	911.5	X	X	701.1	441.7	749.2	47.8	360.9	485.4	X	X	X	X	X	X	X	X	1,872.0
2000r	1,365.1	3,629.3	1,005.8	X	X	709.5	458.9	647.3	43.3	331.0	332.1	X	\$ 39.0	\$ 62.4	X	X	X	X	X	1,848.9
2001	1,446.9	4,185.3	1,386.1	X	X	709.1	472.3	558.5	41.6	388.3	508.4	X	X	121.0	X	X	X	X	X	2,273.6
2002	1,589.7r	4,753.6	1,966.5	X	X	736.5	523.8	565.3	37.8	434.9	488.8	X	X	X	X	X	X	X	X	2,641.2r
2003	1,780.4	5,396.0	2,388.7	X	X	754.2	579.3	392.1	34.2	381.3	476.3	X	X	\$ 373.4	\$ 16.5	X	X	X	X	3,061.7
2004r	1,907.4	5,825.7	2,734.0	X	X	757.5	592.8	356.4	34.1	363.1	500.2	X	X	X	474.4	13.2	X	X	\$ 21.8b	3,306.3
2005r	2,062.7	6,038.7	3,005.6	X	X	790.5	624.2	305.8	33.8	348.2	473.6	X	X	X	446.2	10.9	X	X	231.7b	3,523.5
2006	2,202.6	6,487.1	3,197.9	X	X	822.3	657.7	252.6	32.5	335.6	460.5	X	X	X	563.2	9.9	X	\$ 154.9	315.7b	3,853.3
2007	2,358.3	6,652.4	3,518.0	X	X	850.3	698.2	216.6	31.9	326.8	445.0	X	X	X	455.0	8.9	\$ 26.4	75.7	522.7b	3,970.7

NOTE: Results reported are from accrual based audited statements.

r Revised.

X Not applicable.

a Includes other revenue of \$144.0, \$241.7 and \$133.1 for 1997, 1998 and 1999, respectively.

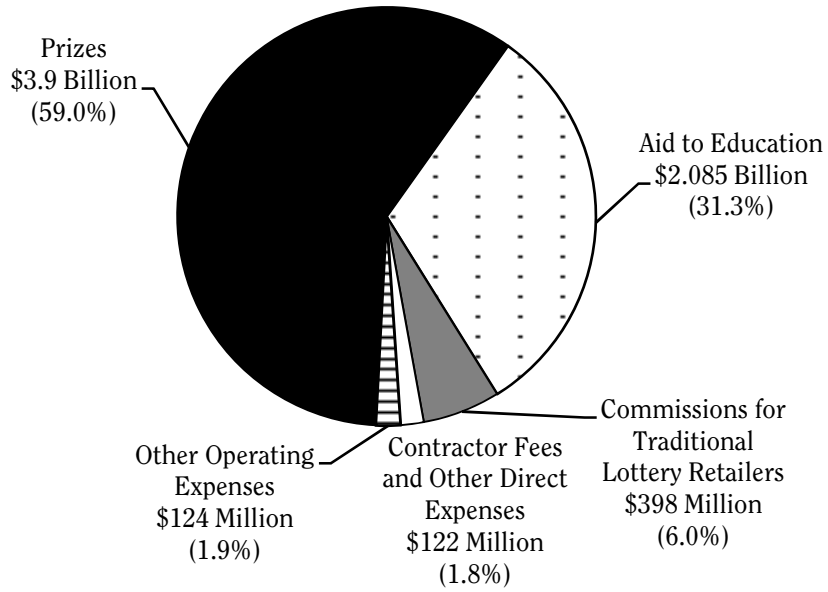
b Video Lottery revenue represents net machine income after awarding prizes.

1 King King Millions consisted of an Instant Game and single high-jackpot online drawing in 2006. Only the Instant Game continued in 2007.

2 Prizes unclaimed after one year are forfeited and are netted against prizes.

SOURCE: New York State Division of the Lottery.

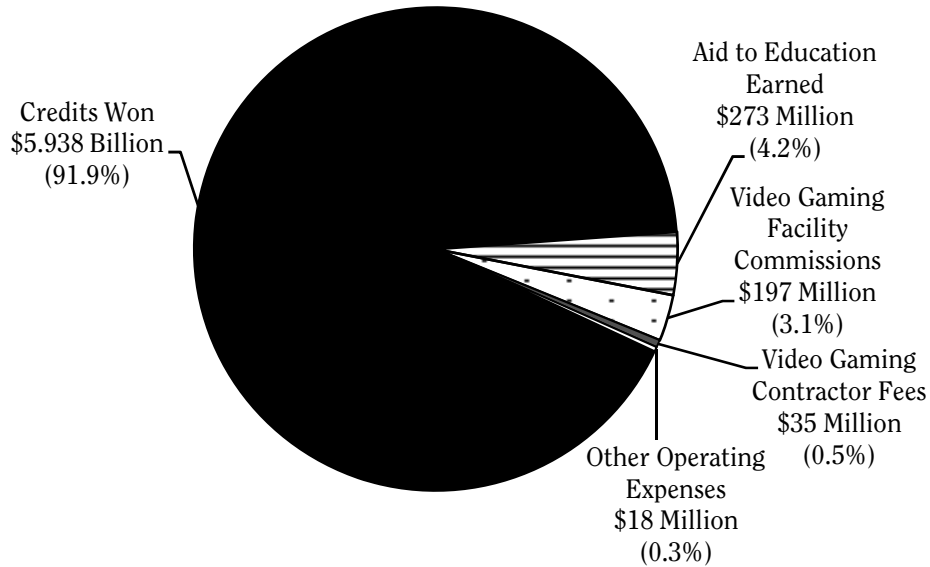
FIGURE E-4
Traditional Lottery Sales Dollars
New York State — Fiscal Year 2006-07



NOTE: Total lottery sales for Fiscal Year 2006-07 was \$6.652 billion.

SOURCE: New York State Division of Lottery

FIGURE E-5
Video Lottery Credits Played
New York State — Fiscal Year 2006-07



NOTE: Total video lottery gaming credits for fiscal Year 2006-07 was \$6.461 billion.

SOURCE: New York State Division of Lottery

TABLE E-29

**Revenues from Summer Recreational Facilities
Operated by the Department of Environmental Conservation¹
New York State — Selected Fiscal Years 1964-65 — 2005-06**

Fiscal Year Ended October 31	Type of Revenue			
	All Revenues	Camping Service	Day Use	Conces- sionary
1965	\$ 968,700	\$ 510,700	\$ 429,600	\$ 28,400
1970	2,798,612	830,875	1,774,348	193,389
1975	3,423,874	1,166,015	2,110,089	147,770
1976	3,130,193	1,127,593	1,868,476	134,124
1977	3,900,518	1,226,939	2,484,220	189,359
1978	4,643,210	1,272,583	3,049,615	321,012
1979	3,916,165	1,435,030	2,234,812	246,323
1980	5,214,770	1,604,014	3,321,572	289,184
1981	2,154,675	1,821,287	330,272	3,116
1982	2,337,283	1,938,616	379,319	19,348
1983	2,654,600	2,157,949	478,641	18,010
1984	2,766,081	2,282,076	473,121	10,884
1985	2,864,307	2,342,350	511,507	10,450
1986	2,923,934	2,457,888	453,622	12,424
1987	3,195,302	2,676,949	505,445	12,908
1988	3,410,746	2,843,304	567,442	—
1989	3,806,769	3,100,100	683,293	23,376
1990	3,938,919	3,176,190	734,929	27,800
1991	4,588,947	3,681,157	879,292	28,498
1992	4,208,709	3,450,731	736,811	21,167
1993	4,828,460	3,886,806	928,089	13,565
1994	3,945,739	3,688,253	254,699	2,787
1995	4,079,701	3,827,670	241,840	10,191
1996	4,802,901	3,944,349	858,552	NA
1997	4,952,661	3,949,189	869,911	133,561
1998	4,881,065	4,047,656	833,409	NA
1999	4,965,320	4,011,509	917,026	36,785
2000	4,844,386	4,069,588	774,798	NA
2001	5,473,603	4,518,760	954,843	NA
2002	5,402,097	4,491,668	910,429	NA
2003	5,841,673	4,931,310	910,363	NA
2004	6,125,718	5,177,481	919,880	28,356
2005	6,056,355	5,150,317	879,301	26,737
2006	6,200,158	5,358,390	815,587	26,181

NOTE: Detail may not add to total due to rounding.

— Represents zero.

NA Not available.

1 All program areas operated by the Division of Operations and used by the public.

SOURCE: New York State Department of Environmental Conservation, Division of Operations.

TABLE E-30

**Revenues from State Parks and Historic Sites
New York State by Region
Fiscal Years 1999-2000 — 2005-06**

	Revenue (thousands)						
	1999-2000(r)	2000-01(r)	2001-02	2002-03	2003-04	2004-05	2005-06
All Locations ¹	\$ 59,793	\$ 58,596	\$ 63,020	\$ 63,408	\$ 64,491	\$ 65,709	\$ 71,621
Region ¹							
Allegany	2,829	2,811	2,858	2,913	2,882	2,895	3,108
Central New York	3,589	3,358	3,627	3,869	3,707	3,697	4,113
Finger Lakes	4,663	4,431	4,831	4,964	4,977	5,035	5,506
Genesee	2,437	2,324	2,525	2,567	2,689	2,637	2,822
Long Island	24,270	23,868	26,068	26,095	25,302	26,952	29,382
New York City	898	1,064	1,230	1,762	1,442	1,098	1,203
Niagara Frontier	7,506	6,867	7,021	6,918	7,745	8,560	8,823
Palisades	4,261	3,942	4,552	4,460	4,204	4,215	4,563
Saratoga/Capital District	1,429	2,020	1,962	2,023	2,759	2,022	2,640
Taconic	3,171	3,273	3,518	3,516	3,425	3,649	3,766
Thousand Islands	3,231	3,040	3,340	3,416	3,494	3,530	3,844
Albany Office	1,508	1,598	1,488	905	1,865	1,419	1,852

r Revised.

1 Not including Adirondack and Catskill Forest Preserves.

SOURCE: New York State Office of Parks, Recreation and Historic Preservation.

TABLE E-31
Revenues from Thoroughbred and Harness Racing
New York State — 2001-06

	2001	2002	2003	2004	2005	2006
Revenue To New York State						
State Pari-Mutuel Taxes	\$ 30,562,022	\$ 30,246,367	\$ 28,264,869	\$ 26,771,449	\$ 25,519,212	\$ 22,057,519
Uncashed Winning Tickets ¹	8,218,550	8,591,976	8,405,656	8,951,751	8,598,695	8,451,660
Regulatory Fee	X	X	6,980,257	10,598,506	11,703,804	12,977,389
State Admission Taxes	245,755	282,804	308,219	343,129	518,998	330,269
NYRA Franchise Tax	—	1,599,000	—	—	—	—
License, Fees, and Fines:						
Track License Fees	93,800	97,800	94,000	92,900	82,100	79,500
Simulcast License Fees	119,000	130,500	130,000	126,500	126,000	125,000
Occupational License Fees	877,665	730,552	666,907	743,780	739,080	786,569
Fingerprint Fees ²	183,610	186,275	224,978	304,201	381,779	404,156
Fines	96,575	99,950	123,020	127,225	79,497	80,788
Miscellaneous	—	—	—	—	—	—
Revenues From New York Betting	40,396,977	41,965,224	16,933,037	21,287,992	22,229,953	23,235,331
Attendance at Racetracks	3,030,462	3,013,151	2,915,260	2,647,314	2,559,890	2,339,572
Thoroughbred Tracks	2,542,614	2,542,512	2,497,176	2,408,712	2,439,045	2,099,428
Harness Tracks	487,848	470,639	418,084	238,602	120,845	240,144

— Represents zero.

X Not applicable.

1 Includes Off Track Betting Corporation.

2 Fingerprint fees are paid over in full to the Federal Bureau of Investigation and the Division of Criminal Justice Services.

SOURCE: New York State Racing and Wagering Board.

TABLE E-32
Total Bets Placed at Regional Off-Track Betting Corporation Sites
New York State — 2003-06
(thousands)

	Total	NYS Thoroughbred Bets	NYS Harness Bets	Out-of-State Tracks Bets	Special Events
Capital Region					
2003	220,866,000	85,718,000	13,101,000	118,297,000	3,750,000
2004	212,234,000	84,110,000	10,873,000	113,270,000	3,981,000
2005	213,061,004	81,498,026	10,163,223	117,127,778	4,271,977
2006	209,922,787	80,245,436	10,597,162	114,624,986	4,455,203
Catskill Region					
2003	143,265,000	42,180,000	12,929,000	85,586,000	2,570,000
2004	143,119,000	41,120,000	9,468,000	89,530,000	3,001,000
2005	146,522,643	41,364,026	9,193,081	92,466,709	3,498,827
2006	143,032,748	38,877,337	9,226,449	91,523,851	3,405,111
Nassau Region					
2003	261,867,000	101,892,000	14,090,000	143,028,000	2,857,000
2004	299,355,000	116,511,000	10,119,000	169,116,000	3,609,000
2005	311,114,349	118,777,561	8,259,865	179,620,945	4,455,978
2006	307,674,951	114,318,097	8,057,603	181,194,804	4,104,447
New York City					
2003	1,025,066,000	347,690,000	58,358,000	608,885,000	10,133,000
2004	1,036,088,000	343,030,000	41,320,000	640,228,000	11,510,000
2005	1,046,424,502	340,003,080	30,973,836	661,768,835	13,678,751
2006	1,060,984,126	332,669,816	30,260,589	684,922,405	13,131,316
Suffolk Region					
2003	211,476,000	81,964,000	11,926,000	114,928,000	2,658,000
2004	205,293,000	78,762,000	7,572,000	115,913,000	3,046,000
2005	199,046,909	74,739,277	5,878,091	114,940,115	3,489,426
2006	195,177,802	72,374,593	5,206,507	114,409,713	3,186,989
Western Region					
2003	159,910,000	48,496,000	14,266,000	94,699,000	2,449,000
2004	149,542,000	47,336,000	11,765,000	87,666,000	2,775,000
2005	145,402,654	44,243,373	12,385,270	86,082,250	2,691,761
2006	140,228,641	41,357,878	12,944,369	82,918,963	3,007,431

NOTE: All figures are rounded.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report, 2003 through 2006*.

TABLE E-33

Attendance and Betting Dollars at Thoroughbred and Harness Tracks New York State — 2003-06

	Racing Days	Attendance	Total Dollars Bet ¹	Returned to Bettors	Association Fees	Breeders Fees	Pari-Mutuel Tax	Gross Purses Paid
Thoroughbred Tracks								
Saratoga Race Course ²								
2003	36	1,049,309	\$ 134,890,382	\$ 109,483,000	\$ 22,043,000	\$ 859,000	\$ 2,148,000	\$ 31,454,000
2004	36	1,040,668	134,438,000	109,024,000	21,870,000	866,000	2,154,000	33,728,000
2005	36	999,215	132,692,723	107,769,644	21,449,334	912,599	2,104,638	22,310,130
2006	35	966,033	110,401,148	89,316,269	18,162,471	759,368	1,699,111	22,457,330
Aqueduct Racetrack ²								
2003	122	554,058	194,828,000	158,089,000	31,829,000	1,240,000	3,102,000	45,417,000
2004	123	461,305	173,285,000	140,528,000	28,189,000	1,116,000	2,776,000	43,474,000
2005	121	371,012	144,752,167	117,564,017	23,398,703	995,538	2,295,913	50,565,655
2006	121	369,874	152,691,402	123,529,751	25,119,785	1,050,251	2,349,972	42,904,430
Belmont Park ²								
2003	93	703,456	164,469,000	133,465,000	26,871,000	1,047,000	2,619,000	38,343,000
2004	93	670,417	152,553,000	123,715,000	24,816,000	982,000	2,444,000	38,274,000
2005	97	827,548	154,370,223	125,375,557	24,953,430	1,061,687	2,448,464	43,356,888
2006	92	530,303	154,882,849	125,302,666	25,480,308	1,065,325	2,383,699	48,809,095
Finger Lakes Race Track								
2003	154	190,353	29,151,000	22,967,000	5,552,000	146,000	403,000	10,426,260
2004	157	236,322	30,212,000	23,741,000	5,778,000	151,000	423,000	16,089,000
2005	160	241,270	29,906,087	23,534,864	5,683,037	149,531	439,794	16,402,000
2006	156	233,218	28,049,501	22,041,002	5,358,590	150,751	397,390	16,650,723
Harness Tracks								
Batavia Downs								
2003	68	70,197	10,291,000	7,915,000	2,136,000	85,000	125,000	1,849,000
2004	2	1,837	4,558,000	3,475,000	966,000	35,000	64,000	49,000
2005	58	NA	8,822,082	6,711,516	1,889,630	73,234	108,840	3,214,914
2006	57	NA	7,708,163	5,940,527	1,568,772	65,117	95,921	4,055,956
Buffalo Raceway								
2003	66	41,832	12,920,173	10,157,000	2,444,000	102,000	192,000	1,662,000
2004	87	57,907	13,082,000	10,281,000	2,490,000	101,000	159,000	3,158,000
2005	84	53,898	12,473,830	9,639,937	2,366,410	96,403	157,104	4,474,065
2006	84	52,339	12,262,514	9,802,480	2,319,437	97,328	146,513	5,505,493
Monticello Raceway Management Inc.								
2003	204	54,267	16,052,175	12,599,000	3,089,000	135,000	182,000	4,770,000
2004	230	NA	14,873,000	11,675,000	2,856,000	123,000	163,000	7,265,000
2005	235	NA	16,296,276	12,747,140	3,193,608	139,277	161,772	11,860,600
2006	246	NA	16,359,753	12,732,221	3,232,168	145,011	152,956	14,716,800
Saratoga Raceway ²								
2003	129	NA	31,645,000	25,283,000	5,691,000	189,000	404,000	3,976,000
2004	165	NA	39,092,822	31,151,095	7,086,000	189,272	464,000	9,131,000
2005	173	NA	37,044,965	29,529,632	6,687,816	226,615	443,250	10,014,891
2006	171	NA	40,631,280	32,375,623	7,335,514	258,691	464,092	16,532,421
Vernon Downs								
2003	93	108,859	19,289,465	15,322,669	3,496,000	170,000	246,000	2,645,000
2004	44	58,941	10,421,000	8,270,000	1,903,000	70,000	139,000	831,000
2005	—	—	—	—	—	—	—	—
2006	30	53,005	4,601,536	3,670,650	810,854	43,450	55,716	5,292,779
Tioga Downs								
2003	—	—	—	—	—	—	—	—
2004	—	—	—	—	—	—	—	—
2005	—	—	—	—	—	—	—	—
2006	51	134,800	4,727,065	3,793,624	809,827	44,953	55,425	1,684,075
Yonkers Raceway								
2003	235	142,929	112,132,000	89,149,000	20,370,000	804,000	1,540,000	20,256,000
2004	207	115,763	99,522,000	79,141,000	17,897,000	681,000	1,416,000	15,382,000
2005	105	58,835	45,782,377	41,026,222	3,648,465	296,082	645,138	4,975,800
2006	32	NA	7,314,408	5,821,656	1,321,063	53,521	72,229	4,798,837

NOTE: All figures are rounded.

— Represents zero.

NA Not available.

1 Includes bets made at the tracks, as well as simulcasts from New York State and out of state tracks.

2 Return to Bettor, Association Fee, Breeders, and Pari-Mutuel Tax is estimated for Saratoga, Aqueduct, and Belmont.

SOURCE: New York State Racing and Wagering Board, *Annual Report and Simulcast Report 2003 through 2006*.

TABLE E-34

**Revenues, Expenditures, and Other Financing Sources (Uses) — All Governmental Funds
New York State — Fiscal Years 1985-86 — 2005-06
(millions)**

Fiscal Year	Revenues				Expenditures					Net Other Financing Sources (Uses) ¹	Net Change in Fund Balance
	All Revenues	Taxes And Fees	Federal Grants	Miscellaneous Other	All Expenditures	Grants To Local Governments	State Agency Operations	Debt Service	Capital Projects		
1985-86	\$ 35,052	\$ 22,255	\$ 8,999	\$ 3,798	\$ 35,408	\$ 21,405	\$ 11,165	\$ 1,315	\$ 1,521	\$ 655	\$ 301
1986-87	38,769	25,030	9,436	4,303	38,425	23,390	11,951	1,335	1,749	1,627	1,971
1987-88	39,719	25,688	9,429	4,602	39,783	23,656	13,013	1,394	1,720	-116	-180
1988-89	42,478	26,849	10,305	5,324	43,508	26,228	13,604	1,561	2,115	30	-1,000
1989-90	45,364	27,858	11,535	5,971	46,710	28,190	14,626	1,716	2,178	173	-1,173
1990-91	47,413	28,019	13,162	6,232	50,919	31,012	15,358	1,848	2,701	2,106	-1,400
1991-92	50,271	29,698	15,743	4,830	49,546	34,043	11,495	1,716	2,292	1,216	1,941
1992-93	53,668	31,333	16,934	5,401	51,032	35,016	11,641	2,076	2,299	-2	2,634
1993-94	56,759	32,974	18,627	5,158	54,352	37,716	12,074	2,039	2,523	-1,356	1,051
1994-95	60,472	32,994	21,481	5,997	60,887	42,551	13,082	2,132	3,122	-1,376	-1,791
1995-96	62,721	33,507	22,272	6,942	61,778	43,219	13,221	2,334	3,004	-510	433
1996-97	65,413	35,000	22,329	8,084	62,678	43,463	14,055	2,387	2,773	-675	2,060
1997-98	67,340	36,374	23,268	7,698	64,108	44,667	13,730	2,728	2,983	-1,432	1,800
1998-99	70,818	38,985	24,133	7,700	67,759	47,548	13,929	2,889	3,393	-1,736	1,323
1999-2000	77,269	41,888	25,367	10,014	72,571	51,386	14,426	3,317	3,442	-1,668	3,030
2000-01	82,741	45,153	26,722	10,866	79,101	56,093	15,881	3,679	3,448	-2,192	1,448
2001-02	82,986	41,676	29,942	11,368	84,459	60,761	16,909	3,392	3,397	-2,974	-4,447
2002-03	84,699	39,636	35,312	9,751	91,147	68,890	15,925	2,970	3,362	2,144	-4,304
2003-04	92,073	43,791	38,241	10,041	96,691	73,251	16,392	3,440	3,608	7,855	3,237
2004-05	98,591	49,612	37,480	11,499	98,253	74,097	17,077	3,480	3,599	1,772	2,110
2005-06	107,493	54,381	36,625	16,487	104,013	77,116	18,834	4,015	4,048	369	3,849

X Not applicable.

- 1 Includes proceeds from bonds and other financing arrangements, transfers from and to other funds, and payments on advance refundings.
- 2 Excess (deficiency) of operating revenues and other financing sources over (under) expenditures and other financing uses.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report and State of New York Five Year Comparative Financial Statements GAAP Basis*.

TABLE E-35

**Revenues, Expenditures, and Changes in Fund Balance (Deficit) Accounts — General Fund
New York State — Fiscal Year Ended March 31, 2006
(millions)**

	All General Fund Accounts	State Purposes	Local Assistance	Earmarked Revenues	Tax Stabilization Reserve	Contingency Reserve	Community Projects	Fringe Benefit Escrow	Miscellaneous	Eliminations
All Revenues	\$ 41,091	\$ 37,788	\$ 1	\$ 2,551	—	—	—	\$ 993	\$ 558	\$ -800
Taxes										
Personal Income	21,060	21,060	—	—	—	—	—	—	—	—
Consumption and Use	8,454	8,446	—	8	—	—	—	—	—	—
Business	4,970	4,970	—	—	—	—	—	—	—	—
Other	1,028	1,028	—	—	—	—	—	—	—	—
Federal grants	—	—	—	—	—	—	—	—	—	—
Miscellaneous	5,579	2,284	1	2,543	—	—	—	993	558	-800
All Expenditures	\$ 48,321	\$ 10,581	\$ 31,183	\$ 5,998	—	—	\$ 175	\$ 953	\$ 631	\$ -800
Local Assistance Grants										
Social Services	12,490	—	10,720	1,746	—	—	24	—	—	—
Education	16,745	—	16,666	55	—	—	24	—	—	—
Mental Hygiene	1,130	—	1,084	39	—	—	7	—	—	—
General Purpose	1,047	—	1,047	—	—	—	—	—	—	—
Health and Environment	1,181	—	728	429	—	—	23	—	1	—
Transportation	474	—	472	—	—	—	2	—	—	—
Criminal Justice	198	—	158	27	—	—	13	—	—	—
Miscellaneous	413	—	308	22	—	—	82	—	1	—
Departmental Operations										
Personal Service	7,599	5,255	—	2,231	—	—	—	—	113	—
Non-Personal Service	3,082	2,264	—	770	—	—	—	63	464	-479
Pension Contribution	885	885	—	—	—	—	—	—	—	—
Other Fringe Benefits	3,077	2,177	—	279	—	—	—	890	52	-321
Excess (Deficiency) of Revenues Over Expenditures	\$ -7,230	\$ 22,207	\$ -31,182	\$ -3,047	—	—	\$ -175	\$ 40	\$ -73	—
Net Other Financing Sources (Uses)	\$ 8,866	\$ -27,747	\$ 30,875	\$ 3,464	72	—	\$ 99	—	\$ 2,103	—
Transfers from Other Funds	13,993	10,421	31,583	3,649	72	—	100	—	2,145	\$ -33,977
Transfers to Other Funds	-5,381	-38,168	-962	-185	—	—	-1	—	-42	33,977
Proceeds from Financing Arrangements	254	—	254	—	—	—	—	—	—	—
Net Change in Fund Balances	\$ 1,636	\$ -540	\$ -307	\$ 417	\$ 72	—	\$ -76	\$ 40	\$ 2,030	—
Fund Balances (Deficits) at April 1, 2005	\$ 546	\$ 1,635	\$ -3,102	\$ 577	\$ 872	\$ 21	\$ 303	\$ 286	\$ -46	—
Fund Balances (Deficits) at March 31, 2006	\$ 2,182	\$ 1,095	\$ -3,409	\$ 994	\$ 944	\$ 21	\$ 227	\$ 326	\$ 1,984	—

— Represents zero.

SOURCE: Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2006*.

TABLE E-36

**Revenues and Other Financing Sources — All Governmental Funds
New York State — Fiscal Years 1996-97 — 2005-06
(as percentage of total)**

	1996-97	1997-98	1998-99(r)	1999-2000	2000-01	2001-02(r)	2002-03(r)	2003-04	2004-05	2005-06
All Revenue and Financing Sources	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
Revenues										
Taxes	44.74%	44.93%	45.85%	46.58%	46.81%	43.65%	40.89%	40.72%	46.78%	47.30%
Personal Income	22.95	23.09	24.71	26.24	28.31	25.33	22.66	23.38	26.76	25.57
Consumption and Use	11.86	12.02	11.95	11.90	11.56	11.58	11.77	11.67	12.93	12.05
General Business	8.34	8.20	7.51	6.82	5.77	5.17	5.21	4.54	5.37	6.03
Other Taxes	1.59	1.62	1.68	1.62	1.17	1.57	1.25	1.13	1.72	1.65
Other Than Taxes	38.88%	38.25%	37.43%	39.35%	38.90%	43.26%	46.48%	44.89%	46.18%	46.19%
Federal Grants	28.55	28.74	28.38	28.21	27.64	31.36	36.42	35.56	35.34	31.85
Lottery	5.10	4.87	4.35	4.04	4.33	4.98	—	—	—	—
Patient Fees	0.52	0.49	0.45	0.42	0.44	0.44	3.43	3.20	3.25	2.74
Miscellaneous	4.71	4.15	4.25	6.68	6.49	6.48	6.63	6.13	7.59	11.60
Financing Sources	16.38%	16.82%	16.72%	14.07%	14.29%	13.09%	12.63%	14.39%	7.04%	6.51%

— Represents zero.
r Revised.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report and the State of New York Five Year Comparative Financial Statements GAAP Basis*.

TABLE E-37

**Expenditures — All Governmental Funds
New York State — Fiscal Years 1996-97 — 2005-06
(as percentage of total)**

	1996-97	1997-98	1998-99(r)	1999-2000(r)	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
All Expenditures and Financing Uses	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%
All Program Area Expenditures (Local Assistance Grants)	57.07%	56.43%	56.80%	59.25%	58.97%	59.79%	68.03%	70.22%	71.28%	69.39%
Social Services	32.45	32.38	31.16	32.38	31.85	31.99	35.77	37.02	37.24	36.05
Education	17.99	18.07	19.14	20.05	20.41	20.73	21.02	22.36	23.29	22.91
Mental Hygiene	1.50	1.33	1.29	1.32	1.27	1.34	1.31	1.33	1.29	1.28
Health and Environment	1.79	1.65	1.92	2.32	2.71	2.87	3.01	3.25	3.36	3.80
General Purpose	1.07	0.73	1.09	1.06	1.03	0.96	0.84	0.83	0.98	0.94
Transportation	0.64	0.76	0.76	0.81	0.38	0.48	3.33	2.34	2.41	2.79
Criminal Justice	0.35	0.40	0.39	0.39	0.38	0.28	0.30	0.50	0.36	0.30
Miscellaneous	1.28	1.11	1.06	0.92	0.94	1.14	2.46	2.60	2.37	1.32
All Government Operations Expenditures (Other than Grants)	25.23%	24.56%	24.14%	24.26%	24.20%	24.73%	21.98%	22.47%	23.24%	24.20%
Personal Service	8.48	8.36	8.13	8.17	7.96	7.75	7.94	7.46	7.74	7.56
Non-personal Service	6.82	6.69	6.22	6.20	6.54	6.65	5.34	5.12	4.99	5.59
Capital Construction	3.64	3.77	4.05	3.96	3.63	3.40	3.32	3.46	3.46	3.80
Debt Service ¹	3.13	3.44	3.45	3.82	3.87	3.39	2.93	3.30	3.35	3.64
Pensions and Other Fringe Benefits	3.16	2.30	2.30	2.11	2.20	2.52	2.45	3.13	3.69	3.61
Disaster Assistance — World Trade Center	X	X	X	X	X	1.02	X	X	X	X
Financing Uses	17.70%	19.01%	19.05%	16.48%	16.83%	15.48%	9.99%	7.31%	5.48%	6.40%

X Not applicable.
r Revised.

1 Includes payments on financing arrangements.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report and the State of New York Five Year Comparative Financial Statements GAAP Basis*.

TABLE E-38

**Space Leased by State Government, by Type of Space
New York State by County — 2006-07**

County	Area (square feet)				Rent			
	Total	Office	Storage	Miscellaneous	Total	Office	Storage	Miscellaneous
New York State	12,588,082	10,885,627	1,379,121	323,334	\$ 194,345,152	\$ 185,121,273	\$ 5,524,896	\$ 3,698,983
New York City	2,680,405	2,671,416	8,839	150	85,968,219	85,863,812	94,974	9,433
Bronx	248,335	248,335	—	—	5,951,461	5,951,461	—	—
Kings	583,374	583,374	—	—	12,079,436	12,070,436	—	9,000
New York	2,680,405	2,671,416	8,839	150	85,968,219	85,863,812	94,974	9,433
Queens	688,909	625,828	42,297	20,784	13,953,969	13,243,911	199,952	510,106
Richmond	47,407	47,407	—	—	979,866	979,866	—	—
Rest of State	9,893,551	8,200,085	1,370,282	323,184	107,771,739	98,652,267	5,429,922	3,689,550
Albany	5,597,031	4,456,684	872,751	267,596	54,681,360	48,872,251	3,671,484	2,137,625
Allegany	870	870	—	—	10,658	10,658	—	—
Broome	288,067	263,724	20,518	3,825	1,382,238	1,332,565	49,673	—
Cattaraugus	30,541	30,541	—	—	333,248	333,248	—	—
Cayuga	53,002	4,611	48,391	—	136,718	49,554	87,164	—
Chatauqua	14,382	14,382	—	—	162,943	162,943	—	—
Chemung	26,327	26,327	—	—	292,601	292,601	—	—
Chenango	43,143	43,143	—	—	266,340	266,340	—	—
Clinton	18,550	18,550	—	—	215,739	212,739	3,000	—
Columbia	9,960	9,960	—	—	154,930	154,930	—	—
Cortland	10,300	10,300	—	—	119,892	119,892	—	—
Delaware	483	483	—	—	3,623	3,623	—	—
Dutchess	164,217	164,217	—	—	1,291,598	1,291,598	—	—
Erie	526,669	498,179	17,661	10,829	6,674,882	6,484,484	111,934	78,464
Essex	4,947	4,947	—	—	43,673	43,673	—	—
Franklin	32,032	23,532	—	8,500	226,982	226,982	—	—
Fulton	4,661	4,661	—	—	40,896	40,896	—	—
Genesee	14,906	14,906	—	—	157,217	157,217	—	—
Herkimer	8,365	8,365	—	—	86,423	86,423	—	—
Jefferson	14,400	14,400	—	—	129,600	129,600	—	—
Livingston	1,864	1,864	—	—	39,386	39,386	—	—
Madison	3,000	3,000	—	—	27,000	27,000	—	—
Monroe	289,513	285,912	1,370	2,231	3,536,452	3,498,694	4,230	33,528
Nassau	183,355	175,747	7,458	150	3,942,324	3,874,828	67,495	1
Niagara	16,812	16,812	—	—	182,854	180,874	—	1,980
Oneida	77,932	62,182	12,400	3,350	641,653	577,315	43,400	20,938
Onondaga	273,365	261,755	9,785	1,825	3,396,715	3,328,036	62,079	6,600
Ontario	15,691	15,691	—	—	191,929	191,929	—	—
Orange	59,210	58,910	300	—	901,677	898,677	3,000	—
Orleans	5,303	5,303	—	—	67,613	67,613	—	—
Oswego	2,983	2,983	—	—	47,965	47,965	—	—
Otsego	9,034	9,034	—	—	103,979	103,979	—	—
Rensselaer	727,502	664,421	42,297	20,784	8,428,673	7,718,615	199,952	510,106
Rockland	57,349	57,349	—	—	1,098,560	1,098,560	—	—
St. Lawrence	1,304	1,304	—	—	14,314	14,314	—	—
Saratoga	613,104	290,107	322,603	394	5,187,367	3,395,954	1,003,865	787,548
Schenectady	16,912	16,912	—	—	188,580	183,480	—	5,100
Steuben	6,600	6,600	—	—	108,900	108,900	—	—
Suffolk	247,532	238,332	5,500	3,700	4,896,273	4,793,378	41,435	61,460
Sullivan	15,823	15,823	—	—	245,391	245,391	—	—
Tompkins	10,499	10,499	—	—	154,036	154,036	—	—
Ulster	15,239	10,739	4,500	—	160,630	109,285	51,345	—
Warren	20,476	20,245	231	—	280,991	280,125	866	—
Wayne	5,166	5,166	—	—	55,018	55,018	—	—
Westchester	347,532	345,813	1,719	—	7,393,967	7,331,498	19,269	43,200
Wyoming	7,598	4,800	2,798	—	67,931	55,200	12,731	—
Out of State	11,010	11,010	—	—	315,686	315,686	—	—
Washington, D.C.	3,278	3,278	—	—	129,809	129,809	—	—
Des Plaines, IL	7,732	7,732	—	—	180,463	180,463	—	—
Out of Country	3,116	3,116	—	—	289,508	289,508	—	—
London, England	1,185	1,185	—	—	68,985	68,985	—	—
Tokyo, Japan	1,931	1,931	—	—	220,523	220,523	—	—

NOTE: Detail may not add to totals due to rounding.
— Represents zero.

SOURCE: New York State Office of General Services, Real Estate Planning and Development.

TABLE E-39

**Space Leased by New York State Government
by Type of Space — 1996-2004**

Location	Area (square feet)				Rent			
	Total	Office	Storage	Misc.	Total	Office	Storage	Misc.
Within New York State								
In New York City								
1996	4,261,028	4,155,961	92,585	12,482	\$ 80,866,269	\$ 79,516,452	\$ 907,599	\$ 442,218
1997	3,919,756	3,820,265	86,945	12,546	76,764,964	75,414,395	863,591	486,978
1998	4,017,769	3,908,814	93,067	15,888	79,433,779	77,865,793	960,696	607,290
1999	3,925,337	3,819,694	92,780	12,863	78,334,207	76,867,617	945,970	520,620
2000	4,215,488	4,123,234	79,393	12,861	90,066,221	88,581,245	943,638	541,338
2001	4,349,560	4,255,530	79,496	14,534	98,595,618	96,731,412	966,564	897,642
2002	4,496,291	4,413,207	76,881	6,203	107,179,328	106,114,333	910,056	154,939
2003	4,427,653	4,336,968	82,247	8,438	107,691,796	106,641,292	946,376	104,128
2004	4,385,841	4,310,129	47,201	28,511	118,965,963	118,246,686	425,911	293,366
In Rest of State								
1996	7,647,928	6,074,632	1,207,180	366,116	\$ 78,338,800	\$ 70,795,911	\$ 4,629,773	\$ 2,913,116
1997	8,110,036	6,269,788	1,478,026	362,222	82,157,113	73,898,371	5,532,742	2,726,000
1998	8,261,334	6,556,806	1,262,526	442,002	86,627,069	78,539,686	5,457,906	2,629,477
1999	8,646,418	6,951,827	1,338,442	356,149	88,649,053	80,524,404	5,361,672	2,762,977
2000	8,554,754	7,153,312	1,297,286	104,156	90,026,719	83,142,517	5,383,873	1,500,329
2001	9,452,135	7,776,232	1,381,706	294,197	97,694,269	89,515,991	5,750,422	2,427,856
2002	9,679,307	8,048,616	1,268,790	361,901	102,306,532	94,107,932	5,212,430	2,986,170
2003	10,434,526	8,681,221	1,242,657	510,648	104,602,308	95,609,176	5,244,810	3,748,322
2004	9,704,029	8,009,164	1,262,402	432,463	104,995,795	95,357,794	5,404,162	4,233,839
Out of State								
Within USA¹								
1996	8,556	8,556	—	—	\$ 198,466	\$ 198,466	—	—
1997	16,288	16,288	—	—	319,532	319,532	—	—
1998	11,010	11,010	—	—	245,022	245,022	—	—
1999	11,010	11,010	—	—	248,836	248,836	—	—
2000	11,010	11,010	—	—	248,836	248,836	—	—
2001	11,010	11,010	—	—	287,981	287,981	—	—
2002	11,010	11,010	—	—	299,913	299,913	—	—
2003	11,010	11,010	—	—	310,272	310,272	—	—
2004	11,010	11,010	—	—	321,262	321,262	—	—
Outside USA²								
1996	4,041	4,041	—	—	\$ 66,730	\$ 66,730	—	—
1997	3,857	3,857	—	—	72,651	72,651	—	—
1998	5,226	5,226	—	—	252,677	252,677	—	—
1999	5,226	5,226	—	—	266,812	266,812	—	—
2000	3,836	3,836	—	—	305,390	305,390	—	—
2001	3,836	3,836	—	—	285,954	285,954	—	—
2002	3,836	3,836	—	—	302,749	302,749	—	—
2003	3,836	3,836	—	—	302,749	302,749	—	—
2004	3,116	3,116	—	—	289,508	289,508	—	—

— Represents zero.

1 Includes locations in Washington, DC and Illinois.

2 Includes all locations in England and Japan.

SOURCE: New York State Office of General Services, Real Estate Planning and Development Group.

TABLE E-40

**Debt Service Requirements on State-Related Debt
New York State — Fiscal Years 1997-98 — 2007-08**

Fiscal Year Ended	Total State-Related Debt Service (millions)	Total Governmental Funds Receipts (millions)	State-Related Debt Service as a % of Total Governmental Funds Receipts ¹	State-Related Debt Service as a % of Total Governmental Funds Receipts ²
1997-98	\$ 3,737	\$ 66,246	5.64%	5.64%
1998-99	3,738	72,551	5.15	5.15
1999-2000	3,887	76,804	5.06	5.06
2000-01	4,368a	83,527	5.23	4.72
2001-02	4,437b	84,312	5.26	4.67
2002-03	3,358	88,274	3.80	3.80
2003-04	3,847	99,698	3.86	3.86
2004-05	4,412	101,381	4.35	4.35
2005-06	4,264	107,027	3.98	3.98
2006-07	5,004c	113,134	4.42	4.19
2007-08e	4,746	119,847	3.96	3.96

e Estimated.

a Reflects the disbursement of \$422 million in Debt Reduction Reserve Fund (DRRF) moneys to defease high-cost debt.

b Reflects the disbursement of \$500 million in Debt Reduction Reserve Fund (DRRF) moneys used to defease or provide for the payment of high-cost debt.

c Reflects the disbursement of \$250 million in Debt Reduction Reserve Fund (DRRF) moneys used to defease high-cost debt.

1 Includes Debt Reduction Reserve Fund (DRRF).

2 Excludes Debt Reduction Reserve Fund (DRRF).

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 8, 2007*, www.budget.state.ny.us/investor/ais/aisUpdate050807.pdf (last viewed September 4, 2007).

TABLE E-41

**State-Related Debt Compared to Personal Income and Population
New York State — Fiscal Years 1997-98 — 2007-08**

Fiscal Year Ended	NYS Personal Income ¹ (billions)	Total State Population (millions)	State-Related Debt Outstanding (millions) ²	State-Related Debt as % of Personal Income	State-Related Debt/Capita (in dollars)
1997-98r	\$ 557,024	18.7	\$ 37,177	6.7%	\$ 1,991
1998-99	591,847	18.8	37,928	6.4	2,020
1999-2000	619,659	18.9	38,782	6.3	2,052
2000-01	663,005	19.0	38,871	5.9	2,045
2001-02	679,886	19.1	38,821	5.7	2,032
2002-03	677,605	19.2	40,759	6.0	2,125
2003-04	693,533	19.2	47,011	6.8	2,443
2004-05	742,209	19.3	46,989	6.3	2,435
2005-06	771,990	19.3	47,220	6.1	2,445
2006-07	818,426	19.3	48,389	5.9	2,505
2007-08e	864,655	19.3	52,269	6.0	2,703

e Estimated

1 For calendar year ending in State's fiscal year. Based on U.S. Department of Commerce, Bureau of Economic Analysis (BEA) data through March 2007. Personal income for 2006-07 estimated by the BEA and 2007-08 estimated by State Division of the Budget.

2 Starting in 2003-04, debt outstanding includes the issuance of tobacco bonds, which are secured by the assignment of future revenues under

the 1998 Master Settlement Agreement with participating cigarette manufacturers, and secondarily secured by a State contingent-contractual obligation.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 8, 2007*, www.budget.state.ny.us/investor/ais/aisUpdate61206.pdf (last viewed September 4, 2007).

TABLE E-42

**General Obligation Debt of State Government
New York State — As of March 31, 2006**

Purpose	Bonds Authorized	Bonds and Notes Issued ¹	Authorized but Unissued	Amount of Debt Outstanding		
				Bonds	Notes	Total
Total General Obligation Bonds	\$ 17,435,000,000	\$ 13,860,511,588	\$ 3,574,488,412	\$ 3,469,848,999	—	\$ 3,469,848,999
Accelerated Capacity and Transportation Improvements of the Nineties (ACTION)	3,000,000,000	2,965,725,697	34,274,303	915,982,015	—	915,982,015
Clean Water/Clean Air:						
Air Quality	230,000,000	191,808,675	38,191,325	127,059,824	—	127,059,824
Safe Drinking Water	355,000,000	355,000,000	—	185,919,338	—	185,919,338
Clean Water	790,000,000	515,788,652	274,211,348	460,799,369	—	460,799,369
Solid Waste	175,000,000	158,623,105	16,376,895	126,595,960	—	126,595,960
Environmental Restoration	200,000,000	36,156,741	163,843,259	27,634,717	—	27,634,717
Energy Conservation Through Improved Transportation:						
Local Streets and Highways	100,000,000	100,000,000	—	—	—	—
Rapid Transit and Rail Freight	400,000,000	399,110,000	690,000	37,382,726	—	37,382,726
Environmental Quality Protection (1972):						
Air	150,000,000	140,895,000	9,105,000	35,809,087	—	35,809,087
Land and Wetlands	350,000,000	337,845,000	12,155,000	74,394,966	—	74,394,966
Water	650,000,000	643,300,000	6,700,000	176,434,746	—	176,434,746
Environmental Quality (1986):						
Land Acquisition/Development/Restoration	250,000,000	246,462,758	3,537,242	95,927,622	—	95,927,622
Waste Site Remediation	1,200,000,000	1,101,008,709	98,991,291	683,694,495	—	683,694,495
Higher Education Facilities	250,000,000	250,000,000	—	270,000	—	270,000
Housing:						
Low Income	960,000,000	952,072,000	7,928,000	93,045,246	—	93,045,246
Middle Income	150,000,000	149,500,000	500,000	59,640,000	—	59,640,000
Urban Renewal	25,000,000	23,425,000	1,575,000	52,456	—	52,456
Outdoor Recreation Development	200,000,000	199,770,000	230,000	314,967	—	314,967
Park and Recreation Land Acquisition	100,000,000	99,200,000	800,000	57,767	—	57,767
Pure Waters	1,000,000,000	971,250,239	28,749,761	130,829,418	—	130,829,418
Rail Preservation	250,000,000	250,000,000	—	34,850,690	—	34,850,690
Rebuild and Renew New York — Transportation:						
Highway Facilities	1,450,000,000 ^a	7,400,000	1,442,600,000	7,208,351	—	7,208,351
Canals and Waterways	—	—	—	—	—	—
Aviation	—	—	—	—	—	—
Rail and Port	—	—	—	—	—	—
Mass Transit — Department of Transportation	—	—	—	—	—	—
Mass Transit — Metropolitan Transportation Authority	1,450,000,000	40,000,000	1,410,000,000	40,018,138	—	40,018,138
Rebuild and Renew New York — Transportation Infrastructure Renewal:						
Highways, Parkways, and Bridges	1,064,000,000 ^b	1,039,970,012	24,029,988	8,459,307	—	8,459,307
Ports, Canals, and Waterways	49,360,000 ^b	49,360,000	—	988,819	—	988,819
Rapid Transit, Rail, and Transit	136,640,000 ^b	136,640,000	—	39,589,485	—	39,589,485
Transportation Capital Facilities:						
Aviation	250,000,000	250,000,000	—	43,200,183	—	43,200,183
Highways	1,250,000,000	1,250,000,000	—	—	—	—
Mass Transportation	1,000,000,000	1,000,000,000	—	63,689,307	—	63,689,307

NOTE: Detail may not add to totals due to rounding.

— Represents zero.

1 The bonds issued do not include any premium or discount that was applied at the time of issuance.

a This is a total authorization for Department of Transportation projects for highway facilities, canals and waterways, aviation, rail and

ports, and mass transit. To date only highway facilities bonds have been issued.

b Authorizations have been adjusted to reflect reallocations made by Chapter 54 of the Laws of 1990.

SOURCE: New York State Office of the State Comptroller.

TABLE E-43

Estimated Debt Service Requirement on State-Supported Debt¹
New York State — As of March 31, 2007
(millions)

Fiscal Years Ending March 31	Total	General Obligation	Local Government Assistance Corporation	State Personal Income Tax Financing Obligation	Other Financing Obligations	Tobacco	All Other State-Related Debt
Total	\$ 70,397	\$ 4,259	\$ 5,868	\$ 13,617	\$ 39,455	\$ 5,163	\$ 2,035
2008r	4,853	489	355	864	2,572	444	129
2009r	4,712	460	367	783	2,464	503	135
2010r	4,752	431	369	777	2,517	511	147
2011r	4,588	403	369	727	2,422	517	150
2012	4,854	369	369	728	2,713	524	150
2013 through 2017	21,435	1,275	1,852	3,020	11,950	2,664	673
2018 through 2022	13,722	478	1,828	2,509	8,454	—	454
2023 through 2027	7,017	211	359	1,930	4,331	—	186
2028 through 2032	3,447	102	—	1,478	1,856	—	11
2033 through 2037	1,017	42	—	801	175	—	—

— Represents zero.

r Revised.

1 Estimated debt service requirements are calculated on swap rates in effect at March 31, 2007, for all bonds that were synthetically fixed under an interest rate exchange agreement. Debt service requirements for variable rate bonds for which there are no related interest rate exchange agreements were calculated at rates ranging from 3.50 percent to 5.38 percent.

2 Estimated debt service numbers are based on available information as of March 31, 2007. In 2006 and 2007, certain monies expected to flow to the State under the Master Settlement Agreement have been withheld and placed in an escrow account. Pending the outcome of a resolution between participating cigarette manufacturers and the states, the debt service numbers will be adjusted accordingly.

SOURCE: New York State Division of the Budget, Annual Information Statement, State of New York: May 8, 2007, www.budget.state.ny.us/investor/ais/aisUpdate050807.pdf (last viewed September 4, 2007).

TABLE E-44

Capital Projects Financed by Selected Public Authorities
New York State — Specified Dates
(dollar amounts in millions)

Authority and Status Date Program	Actual Cost
Housing Finance Agency (HFA) — as of 10-31-2006 Housing Programs (over 105,471 units)	\$ 6,831.5
Mobile Home Parks	13.2
	Current Mortgage Commitment
Health Program	
Health Facilities	\$ 514.4
Nursing Homes	245.9
Voluntary Hospitals	9.3
Community-Related Facilities	22.5
	Value ¹ (in millions)
Dormitory Authority (DA) — as of 3-31-2006 Includes Facilities Development Corporation (FDC) and Medical Care Facilities Finance Agency (MCFFA) ²	\$ 43,988.1
Private Health Care Institutions	11,171.1
Independent Institutions	8,502.3
City University of New York	5,934.0
State University of New York	6,141.7
Mental Health	3,493.9
Mental Retardation and Developmental Disabilities	1,084.2
Court Facilities	1,699.7
Community Colleges Outside New York City	1,048.1
New York State Health Department	1,062.8
Other New York State Agencies	1,527.2
Municipal Facilities	1,527.8
Boards of Cooperative Educational Services (BOCES)	240.1
Alcoholism and Substance Abuse Services	230.9
Correctional Services	115.7
Supplemental Higher Education Loan Financing	95.0
State Education Department	113.6

— Represents zero.

1 Includes design and construction services for clients listed. Includes projects completed, under construction, or in design.

2 Effective September 1, 1995, these two agencies were merged into the Dormitory Authority. Includes projects under the auspices of the

former Division of Alcoholism & Alcohol Abuse and Division of Substance Abuse Services.

SOURCE: Housing Finance Agency and Dormitory Authority of the State of New York.

TABLE E-45
Outstanding State-Related Debt¹
New York State — 2005-07(a)
(millions)

Authority and Program	Bonds Outstanding		
	2005	2006	2007
Total State-Related Debt	\$ 49,295	\$ 49,432	\$ 51,851
State-Supported Debt	40,696	41,177	42,653
General Obligation	3,652	3,470	3,302
Certificates of Participation	92	73	56
Lease/Purchase and Contractual Obligation Debt	36,952	37,634	39,295
Other State-Funded Debt ²	7,553	7,288	8,325
Tobacco Settlement Financing Corporation	4,495	4,278	4,084
Municipal Bond Bank Agency (MBBA)	507	505	484
Sales Tax Asset Receivable Corporation (STARC)	2,551	2,505	2,457
Transitional Finance Agency	—	—	1,300
Other State-Related Debt ³	1,046	967	873
Moral Obligation Debt	111	67	56
Contingent Obligation Debt	871	837	775
State Guaranteed Authority Debt	64	63	42

— Represents zero.

a As of March 31.

1 Amounts outstanding reflect original par amounts or original net proceeds in the case of capital appreciation bonds. Amounts outstanding do not reflect accretion of capital appreciation bonds or premiums received.

2 State-funded debt obligations include all state-supported debt, plus other debt where the State has agreed to allow certain other entities to pledge or assign State resources to pay debt service on debt issued for State purposes or to finance State assistance payments to another entity. These obligations include those associated with the sale of the State's share of Tobacco Settlement proceeds, prior year school aid claims, and debt issued by the Sales Tax Asset Receivable Corporation

(STARC) for NYC debt relief. Debt service on these obligations is secured by future State local assistance payments (financed with available General Fund resources or taxes) or future tobacco settlement payments.

3 State-related debt obligations include all state-funded debt, plus certain other debt issued by various authorities that may be supported by contingent State obligations to make payments. Such moral obligation, contingent obligation, and State guaranteed authority debt carries a pledge by the State to finance deficiencies in the authority's debt service or debt reserve funds, if necessary.

SOURCE: NYS Office of the State Comptroller, *Comptroller's Annual Report to the Legislature on State Funds Cash Basis of Accounting, For Fiscal Year Ended March 31, 2007*.

TABLE E-46

Non-General Obligation Governmental and Business-Type Activity Debt
New York State — Various Years
(millions)

Activity Debt	Outstanding ¹	Issued	Redeemed	Outstanding ²
Governmental Activity Debt	\$ 34,539	\$ 4,713	\$ 5,025	\$ 34,227
Public Benefit Corporations	34,352	4,702	5,005	34,049
Dormitory Authority	5,665	441	329	5,777
Environmental Facilities Corporation	607	159	56	710
Energy Research and Development Authority	30	—	13	17
Housing Finance Agency	1,317	163	82	1,398
Local Government Assistance Corporation	4,449	—	132	4,317
Municipal Bond Bank Agency ¹	507	—	3	504
Metropolitan Transportation Authority	2,354	—	43	2,311
Thruway Authority	8,895	3,119	3,407	8,607
Tobacco Settlement Financing Corporation	4,495	—	217	4,278
Triborough Bridge and Tunnel Authority	242	—	28	214
Urban Development Corporation	5,791	820	695	5,916
Certificates of Participation	3	—	3	—
Capital Leases	184	11	17	178
Business-Type Activity Debt	\$ 7,938	\$ 970	\$ 1,083	\$ 7,825
Dormitory Authority	7,639	866	1,022	7,483
SUNY Education Facilities	4,287	387	527	4,147
SUNY Dormitory Facilities	590	63	19	634
CUNY Education Facilities	2,896	400	486	2,810
Unamortized Discount	-134	16	-10	-108
Lottery Capital Lease Commitments	10	—	3	7
SUNY Capital Lease Commitments	178	42	40	180
SUNY Certificates of Participation	3	—	3	—
CUNY Capital Lease Commitments and Mortgage Loan Commitments	4	62	—	66
CUNY Certificates of Participation	104	—	15	89

— Represents zero.

1 Governmental Activity Debt as of April 1, 2005; Business-Type Debt as of July 1, 2004, for SUNY and CUNY and April 1, 2005, for Lottery.

2 Governmental Activity Debt as of March 31, 2006; Business-Type Debt as of June 30, 2005, for SUNY and CUNY & March 31, 2006, for Lottery.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2006*.

TABLE E-47

**Principal and Interest Payments for Governmental Activity Capital Leases
New York State — 2007-31
(millions)**

Fiscal Year	Total	Principal	Interest
Total	\$ 276	\$ 178	\$ 98
2007	29	20	9
2008	26	18	8
2009	19	12	7
2010	13	6	7
2011	12	6	6
2012-16	58	30	28
2017-21	54	34	20
2022-26	42	31	11
2027-31	23	21	2

NOTE: The State is also committed under numerous capital leases covering Electronic Data Processing (EDP) and telecommunications equipment. Debt service expenditures for these obligations during the year were \$29 million. Included with these capital leases are several real property capital leases which will require principal payments in the amount of \$178 million and interest payments in the amount of \$98 million throughout the lives of the leases. This table is a summary of the principal and

interest payments, some of which are financed by transfers from the General Fund to the General Obligation Debt Service Fund, for the remaining lease periods of these capital leases.

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report for Fiscal Year Ended March 31, 2006*.

TABLE E-48

**Professional Service and Construction Contracts
Awarded to Minority-Owned and Women-Owned Business Enterprises (M/WBE)
by the State Office of General Services
New York State — 2005-06**

Type of Contract	2005	2006
Professional Service Contracts		
Total Dollar Value	\$ 103,583,111.03	\$ 88,795,571.20
MBE Contract Value	\$ 44,238.90	\$ 148,568.13
MBE Participation (%)	0.04%	0.17%
WBE Contract Value	\$ 1,094,877.68	\$ 2,010,455.09
WBE Participation (%)	1.06%	2.26%
M/WBE Contract Value	\$ 1,139,117.58	\$ 2,159,023.22
M/WBE Participation (%)	1.10%	2.43%
Construction Contract — Emergency		
Total Dollar Value	NA	NA
MBE Contract Value	NA	NA
MBE Participation (%)	NA	NA
WBE Contract Value	NA	NA
WBE Participation (%)	NA	NA
M/WBE Contract Value	NA	NA
M/WBE Participation (%)	NA	NA
Construction Contracts		
Total Dollar Value	\$ 27,326,424.97	\$ 13,281,483.87
MBE Contract Value	\$ 19,721.30	\$ 21,928.48
MBE Participation (%)	0.07%	0.17%
WBE Contract Value	\$ 173,225.00	\$ 82,198.06
WBE Participation (%)	0.63%	0.62%
M/WBE Contract Value	\$192,946.30	\$ 104,126.54
M/WBE Participation (%)	0.71%	0.78%

NA Not Available.

SOURCE: New York State Office of General Services, Division of Contract Administration.

TABLE E-49

**Commodity Services and Technology Contracts Awarded to Resident Vendors,
Minority Business Enterprises, and Small Businesses¹
by the State Office of General Services
New York State — 2000-06**

	2000	2001	2002	2003	2004	2005	2006
	--- Quantity of Commodity Contracts Awarded ---						
All Contracts Awarded ²	2,046a	2,238a	1,799b	1,030	679	823	829
To Resident Vendors	1,129	904	839	684	443	583	583
To Small Businesses ³ in New York State	707	562	493	406	278	350	331
To Minority- and Women-Owned Business Enterprises	74	58	126	89	45	47	76
	--- Estimated Contract Value ---						
All Contracts Awarded ²	\$ 1,586,965,937a	\$ 2,797,652,242a	\$ 2,031,581,008b	\$ 2,930,013,362	\$ 1,107,730,609	\$ 2,254,055,460	\$ 1,182,293,782
To Resident Vendors	609,529,596	1,067,818,291	695,085,645	2,157,150,941	573,079,842	1,689,992,320	630,620,160
To Small Businesses ³ in New York State	263,424,682	384,984,967	213,385,495	444,432,806	240,993,325	271,696,118	255,614,073
To Minority- and Women-Owned Business Enterprises	26,353,247	41,182,879	74,471,630	319,848,858	28,649,093	28,442,348	67,429,922

NOTE: In New York State's legally established open competitive commodity procurement program, the contract value awarded to any specific segment of the business community will fluctuate. A vendor's success in this system is dependent upon the ability to provide the lowest price among its competitors.

Detail may not add to total due to overlapping categories.

a Includes Extended Contracts, as follows: 2000 — Extended 315, Value \$238,100,424; 2001 — Extended 877, Value \$1,159,586,060.

b Includes extended contracts.

1 Non-State agencies on the mailing list for 2000 was 4,400, 2001 was 4,549 (through November 23, 2001), and 2002 was 4,786.

2 In 1995, OGS began issuing centralized services and technology contracts, based on 1994 legislation. Statistics for years 1995-1998 include commodity, services, and technology contracts and contract values.

3 Self-identified.

SOURCE: New York State Office of General Services, Procurement Services Group.

TABLE E-50

**New York State Department of Transportation
Construction Contract Awards by Major Category¹
New York State — Fiscal Years 2003-04 — 2005-06**

	2003-04		2004-05		2005-06	
	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)	Number of Projects	Bid Cost (millions)
Total Projects	317	\$ 1,141	354	\$ 1,306	323	\$ 1,284
Bridge Projects	63	313	69	443	125	575
Highway Pavement	170	530	187	641	103	502
Highway Capacity	4	67	54	85	4	36
Highway Safety	48	97	2	9	56	113
Other ²	32	134	42	128	35	58

NOTE: In earlier editions, Bid Cost was reported in thousands of dollars. Therefore, data in this table do not match those in earlier editions.

a Includes Vendor in Place (VPP) paving contracts.

1 Reflects total construction cost shares.

2 Includes Operation and Maintenance contracts, as well as contracts for work on Canals, Parkways, Rail, Aviation and/or miscellaneous work. Reflects Total Project Costs.

SOURCE: New York State Department of Transportation, Construction Contract Letting Section, New York State Fiscal Years 2003-04 through 2005-06.

TABLE E-51
Public Employees' Retirement Systems — Net Assets
New York State — As of March 31, 2006
(thousands)

	Total	Employees' Retirement System	Police & Fire Retirement System
Net Assets Held In Trust For Pension Benefits	\$ 142,620	\$ 121,209	\$ 21,411
Assets	165,698	140,817	24,881
Investments	140,453	119,302	21,151
Short-Term Investments	6,619	5,622	997
Government Bonds	19,775	16,797	2,978
Corporate Bonds	9,113	7,741	1,372
Domestic Stocks	68,530	58,210	10,320
International Stocks	20,021	17,006	3,015
Alternative Investments	9,801	8,325	1,476
Real Property Owned	5,431	4,613	818
Mortgage Loans	1,163	988	175
Securities Lending Collateral, Invested	21,647	18,387	3,260
Forward Foreign Exchange Contracts	796	676	120
Receivables	2,761	2,417	344
Other Assets	41	35	6
Liabilities	23,078	19,608	3,470
Securities Lending Collateral, Due to Borrowers	21,647	18,387	3,260
Forward Foreign Exchange Contracts	795	675	120
Investment Purchases	307	261	46
Benefits Payable	110	95	15
Other Liabilities	219	190	29

SOURCE: New York State Office of the State Comptroller, *State of New York Comprehensive Annual Financial Report, for Fiscal Year Ended March 31, 2006*.

TABLE E-52
General Obligation Debt
New York State — As of March 31, 2007
(millions)

Purpose	Total Authorized	Authorized but Unissued	Total Debt Outstanding
Total General Obligation Debt	\$ 17,435	\$ 3,394	\$ 3,302
Transportation Bonds	10,400	2,809	1,164
Transportation Capital Facilities (1967)			
Highways	1,250	—	—
Mass Transportation	1,000	—	49
Aviation	250	—	38
Rail Preservation (1974)	250	—	28
Energy Conservation Through Improved Transportation (1979)			
Local Streets and Highways	100	—	—
Rapid Transit and Rail Freight	400	1	33
Rebuild New York Through Transportation			
Infrastructure Renewal (1983)			
Highway Related Projects	1,064	24	8
Rapid Transit, Rail, and Aviation Projects	137	—	34
Ports, Canals, and Waterways	49	—	—
Accelerated Capacity and Transportation Improvements of the Nineties (1988)	3,000	34	827
Rebuild New York Transportation Bonds (2005) Highway Facilities/Other Transportation	1,450	1,395	54
Highway Facilities	a	a	50
Rail and Port	a	a	4
Mass Transit — Metropolitan Transportation Authority	1,450	1,355	93
Environmental Bonds	5,650	653	2,002
Park and Recreation Land Acquisition (1960)	100	1	—
Pure Waters (1965)	1,000	29	113
Outdoor Recreation Development (1966)	200	—	—
Environmental Quality (1972)			
Water	650	7	159
Air	150	9	32
Land and Wetlands	350	12	65
Environmental Quality (1986)			
Solid Waste Management	1,200	99	646
Land and Forests	250	4	86
Clean Water/Clean Air (1996)			
Safe Drinking Water	355	—	148
Clean Water	790	274	475
Solid Waste	175	16	119
Environmental Restoration	200	164	40
Air Quality	230	38	119
Housing Bonds	1,135	11	136
Low-Income Housing (through 1958)	960	8	81
Middle-Income Housing (through 1958)	150	1	55
Urban Renewal (1958)	25	2	—
Education Bonds			
Higher Education Facilities (1957)	250	—	—

— Represents zero.

a The Legislature did not provide any limitation on bonds to be issued for specific project categories or programs authorized within the Highway Facilities/Other Transportation (Excluding MTA) Purpose.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 8, 2007*, www.budget.state.ny.us/investor/ais/aisUpdate050807.pdf (last viewed September 4, 2007).

TABLE E-53

**Acres and Market Value of Taxable State-Owned Lands
New York State by County — 2006**

County ¹	Forest Preserve Lands ²		Land Taxable for School Purposes Only ³		Reforested Lands ⁴		Other ⁵	
	Acres	Value ⁶	Acres	Value ⁶	Acres	Value ⁶	Acres	Value ⁶
New York State	2,817,904	\$ 2,620,340,654	34,985	\$ 1,005,974,677	585,907	\$ 514,209,589	276,993	\$ 1,503,396,431
Albany	—	—	—	—	3,259	3,790,448	—	—
Allegany	—	—	—	—	43,404	35,367,370	—	—
Broome	—	—	59	340,100	7,321	4,188,170	—	—
Cattaraugus	—	—	607	836,414	27,887	38,093,511	62,687	128,571,994
Cayuga	—	—	—	—	8,021	4,931,235	—	—
Chautauqua	—	—	—	—	15,191	19,905,100	—	—
Chemung	—	—	—	—	518	362,100	—	—
Chenango	—	—	—	—	56,256	60,158,896	19,466	26,806,900
Clinton	49,765	42,445,200	1,044	1,544,700	9,432	3,631,600	—	—
Columbia	—	—	—	—	2,215	4,719,400	—	—
Cortland	—	—	—	—	25,804	28,746,400	—	—
Delaware	42,015	45,521,542	—	—	15,723	20,022,649	—	—
Dutchess	—	—	5,738	58,824,450	817	1,282,819	—	—
Erie	—	—	364	695,000	—	—	—	—
Essex	517,264	439,815,919	—	—	—	—	—	—
Franklin	264,586	269,581,089	—	—	19,581	7,956,031	—	—
Fulton	75,626	51,780,363	—	—	1,450	1,286,379	20,047	27,197,800
Greene	79,400	92,740,923	885	1,664,500	4,149	4,532,200	—	—
Hamilton	794,883	703,615,674	—	—	—	—	486	1,685,000
Herkimer	355,033	190,293,088	2,389	1,698,270	3,241	2,616,600	3,403	2,614,200
Jefferson	—	—	—	—	5,744	2,785,200	14,362	5,589,439
Lewis	60,078	32,106,300	—	—	68,357	35,222,764	15,753	6,169,200
Livingston	—	—	1,737	4,439,600	2,591	3,054,200	484	502,300
Madison	—	—	130	156,700	20,727	23,751,050	—	—
Monroe	—	—	840	1,260,700	—	—	—	—
Montgomery	—	—	—	—	6,689	6,361,600	—	—
Oneida	9,176	3,430,328	6,689	7,564,942	35,707	25,056,153	4	121,709
Onondaga	—	—	—	—	2,199	1,907,700	—	—
Ontario	—	—	—	—	—	—	1,656	953,100
Orange	—	—	803	4,740,104	5,640	35,125,031	46,712	270,899,065
Orleans	—	—	208	380,100	—	—	—	—
Oswego	—	—	—	—	14,714	11,376,644	13,125	11,009,500
Otsego	—	—	—	—	15,772	9,920,348	730	424,439
Putnam	—	—	—	—	617	3,083,000	—	—
Rensselaer	—	—	—	—	3,566	2,861,485	7,627	9,353,179
Rockland	—	—	16	314,800	—	—	33,032	965,802,006
St. Lawrence	171,364	154,354,426	—	—	54,025	21,450,765	—	—
Saratoga	16,796	13,781,753	1,289	27,650,100	574	611,200	8,119	14,212,902
Schoharie	—	—	—	—	31,963	28,531,585	—	—
Schuyler	—	—	—	—	16,454	12,071,900	—	—
Seneca	—	—	667	2,362,900	—	—	—	—
Steuben	—	—	—	—	18,842	13,130,730	—	—
Suffolk	—	—	5,526	846,221,627	—	—	—	—
Sullivan	19,069	27,097,945	848	940,100	1,117	501,400	9,798	11,914,700
Tioga	—	—	—	—	9,437	5,444,104	—	—
Tompkins	—	—	—	—	18,524	22,113,700	—	—
Ulster	154,189	255,854,655	1,578	5,972,414	4,607	4,755,400	13,927	14,434,298
Warren	186,631	235,361,718	—	—	1,318	1,473,300	—	—
Washington	22,029	62,559,731	1,833	1,560,414	1,784	1,560,422	—	—
Westchester	—	—	83	34,837,842	—	—	—	—
Wyoming	—	—	1,652	1,968,900	—	—	—	—
Yates	—	—	—	—	670	469,000	5,575	5,134,700

— Represents zero.

1 Includes only the counties in which New York State owns taxable land.

2 Taxable for all purposes under Sections 532 (a) and (b) of the Real Property Tax Law.

3 Taxable under Section 536 of the Real Property Tax Law.

4 Taxable for all except county purposes under Section 534 of the Real Property Tax Law.

5 Taxable for all purposes under Sections 532 (c) - (i) of the Real Property Tax Law and Section 15-2115 of the Environmental Conservation Law.

6 Represents the sum of city and town assessment roll market values determined by local assessors rather than appraisal values formerly reported by the State Office of Real Property Services market survey. The change results from the Shandaken Decision: *Town of Shandaken v. SBEA*, 62 N.Y. 2d 442 (1984).

SOURCE: New York State Office of Real Property Services.

TABLE E-54

**State and Local Government Employment and Average Earnings
United States by State — 1994 and 2004(a)**

State	Total FTE ¹ (thousands)				Average Monthly Earnings ²			
	State		Local ³		State		Local ³	
	1994	2004	1994	2004	1994	2004	1994	2004
United States	3,917	4,188	9,996	11,601	\$ 2,776	\$ 3,845	\$ 2,691	\$ 3,604
Alabama	84	86	160	185	2,422	3,472	1,977	2,751
Alaska	23	25	25	26	3,672	4,149	4,002	4,108
Arizona	57	66	156	205	2,561	3,446	2,642	3,515
Arkansas	49	54	87	98	2,482	3,130	1,798	2,484
California	327	393	1,120	1,383	3,534	5,096	3,446	4,803
Colorado	54	66	141	183	3,224	4,447	2,539	3,629
Connecticut	63	59	108	123	3,306	4,765	3,492	4,276
Delaware	21	24	18	24	2,576	3,693	2,886	3,617
District of Columbia	X	X	51	45	X	X	3,549	4,732
Florida	171	183	527	641	2,277	3,397	2,420	3,364
Georgia	114	122	314	379	2,318	3,333	2,126	2,961
Hawaii	53	57	14	14	2,598	3,437	2,962	3,819
Idaho	21	23	45	55	2,393	3,368	2,091	2,863
Illinois	134	134	442	502	2,924	4,093	2,893	3,788
Indiana	90	90	210	239	2,781	3,355	2,316	3,099
Iowa	50	53	113	131	2,994	4,383	2,300	2,950
Kansas	48	44	120	136	2,317	3,490	2,272	2,885
Kentucky	73	79	128	158	2,368	3,401	2,118	2,732
Louisiana	92	91	166	192	2,282	3,288	1,831	2,538
Maine	21	22	46	55	2,567	3,558	2,281	2,823
Maryland	80	91	170	192	2,792	3,893	3,091	4,063
Massachusetts	82	88	215	235	3,006	4,310	2,933	4,020
Michigan	132	133	329	371	3,163	4,282	3,170	3,874
Minnesota	71	75	191	201	3,213	4,486	2,813	3,700
Mississippi	49	57	119	131	2,257	3,012	1,726	2,471
Missouri	80	91	185	220	2,203	2,955	2,227	2,918
Montana	17	19	38	36	2,413	3,212	2,266	2,719
Nebraska	29	34	76	81	2,255	3,053	2,362	3,196
Nevada	19	25	49	71	2,797	3,837	2,970	4,144
New Hampshire	17	20	38	49	2,623	3,498	2,568	3,176
New Jersey	108	149	322	346	3,546	4,665	3,336	4,527
New Mexico	42	49	66	75	2,291	3,011	1,969	2,716
New York	273	246	878	938	3,347	4,497	3,368	4,451
North Carolina	112	132	278	322	2,482	3,306	2,278	3,082
North Dakota	16	18	22	23	2,385	3,149	2,399	3,246
Ohio	141	136	421	487	2,866	3,890	2,646	3,456
Oklahoma	68	64	127	137	1,931	3,121	2,069	2,518
Oregon	51	57	109	122	2,780	3,664	2,772	3,671
Pennsylvania	150	161	371	409	2,927	3,887	2,786	3,751
Rhode Island	19	20	29	30	3,111	4,213	3,089	4,192
South Carolina	76	76	132	167	2,186	3,081	2,080	2,854
South Dakota	14	13	27	30	2,307	3,210	1,963	2,696
Tennessee	80	82	185	236	2,435	3,106	2,137	2,896
Texas	263	268	829	1,003	2,492	3,474	2,150	2,965
Utah	41	49	61	79	2,481	3,434	2,315	3,119
Vermont	13	14	21	24	2,357	3,701	2,488	2,990
Virginia	119	119	250	292	2,448	3,515	2,406	3,255
Washington	96	113	183	210	3,007	3,969	3,198	4,405
West Virginia	34	38	59	61	2,163	2,993	2,166	2,763
Wisconsin	69	70	199	217	3,066	4,239	2,855	3,576
Wyoming	11	12	27	31	2,154	3,204	2,286	3,094

NOTE: Detail may not add to totals due to rounding.

X Not applicable.

a 1994 as of October; 2004 as of March.

1 FTE = Full-Time Equivalent. Employment totals include all persons on government payrolls during one pay period in the month of October; includes employees of central departments and agencies of major dependent agencies. Also includes paid officials and temporary employees.

2 For full-time employees.

3 Estimates subject to sampling variation.

SOURCE: *Statistical Abstract of the United States, 2007*, www.census.gov/prod/2006pubs/07statab/stlccgov.pdf (last viewed March 28, 2007); U.S. Census Bureau, *State and Local Governments; Public Employment and Payroll; State and Local Government Employment and Payroll Data*.

TABLE E-55

Work Force in State Government by Geographic Location
New York State — January 2003 — January 2007
 (full-time equivalents)

County/Location	Total Full-Time Equivalents ¹				
	2003(r)	2004	2005	2006	2007
New York State ²	164,923.55	158,487.64	158,776.70	159,762.72	161,752.89
New York City	26,740.41	25,552.40	25,421.64	25,277.73	25,004.70
Bronx	2,643.94	2,499.43	2,438.13	2,425.01	2,383.57
Kings	5,244.19	5,097.63	5,092.78	5,084.43	5,054.27
New York	11,223.57	10,657.46	10,641.09	10,580.99	10,476.48
Queens	5,282.42	5,027.34	4,949.94	4,861.21	4,764.11
Richmond	2,346.29	2,270.54	2,299.70	2,326.09	2,326.27
Rest of State	138,183.14	132,935.24	133,355.02	134,484.99	136,748.19
Albany	34,497.89	32,234.07	32,441.03	32,738.41	33,782.48
Allegany	269.00	264.75	256.50	261.50	255.50
Broome	3,700.63	3,637.14	3,728.29	3,748.81	3,895.88
Cattaraugus	1,228.83	1,171.28	1,184.11	1,183.10	1,146.45
Cayuga	1,505.76	1,453.11	1,472.76	1,485.46	1,497.31
Chautauqua	1,167.74	1,139.70	1,159.78	1,206.17	1,205.74
Chemung	1,695.60	1,659.95	1,685.47	1,703.72	1,727.93
Chenango	915.15	900.70	949.00	943.75	972.35
Clinton	2,229.60	2,160.85	2,196.35	2,217.32	2,261.72
Columbia	721.85	717.25	736.35	719.90	706.50
Cortland	544.63	533.80	546.43	536.88	551.35
Delaware	518.50	512.37	483.75	500.75	507.03
Dutchess	5,826.81	5,580.42	5,365.90	5,533.72	5,561.88
Erie	9,685.35	9,358.30	9,395.76	9,372.61	9,529.92
Essex	756.10	712.25	710.95	732.75	739.65
Franklin	3,184.70	3,150.60	3,207.40	3,248.15	3,256.65
Fulton	754.35	706.20	681.15	646.70	638.75
Genesee	524.75	522.00	504.91	493.41	527.35
Greene	1,363.10	1,348.50	1,394.35	1,440.00	1,433.60
Hamilton	235.70	246.30	251.15	240.70	238.45
Herkimer	286.70	261.10	273.60	276.05	262.45
Jefferson	1,534.35	1,471.33	1,372.72	1,376.03	1,397.80
Lewis	165.05	154.05	157.35	147.50	168.60
Livingston	1,936.16	1,849.79	1,854.79	1,877.51	1,893.84
Madison	378.55	397.89	387.55	387.75	383.45
Monroe	4,128.83	4,014.83	4,038.09	4,015.42	4,001.40
Montgomery	177.00	171.50	174.50	182.50	171.00
Nassau	1,489.00	1,447.75	1,449.60	1,421.25	1,391.30
Niagara	478.55	449.37	460.52	451.62	469.33
Oneida	6,190.57	5,959.07	5,966.02	6,025.12	6,300.13
Onondaga	5,903.25	5,810.73	5,926.60	6,045.62	5,911.29
Ontario	229.68	216.25	213.95	243.38	319.88
Orange	3,056.93	2,961.50	3,023.48	2,999.03	2,980.07
Orleans	1,274.20	1,233.65	1,182.05	1,213.70	1,239.33
Oswego	572.95	602.75	623.22	590.97	608.25
Otsego	554.00	529.55	531.25	554.95	557.95
Putnam	183.10	175.75	178.70	191.80	183.30
Rensselaer	2,146.17	2,008.23	2,019.43	2,046.01	2,156.49
Rockland	3,527.47	3,476.02	3,439.83	3,478.09	3,478.47
St. Lawrence	2,391.38	2,332.35	2,264.85	3,458.44	2,329.47
Saratoga	1,537.21	1,493.54	1,504.22	1,465.87	1,460.85
Schenectady	1,187.10	1,533.61	1,511.46	1,445.28	1,572.81
Schoharie	363.00	348.05	348.30	350.20	346.70
Schuyler	229.60	212.60	219.10	221.60	237.80
Seneca	1,200.90	1,162.60	1,220.10	1,245.10	1,257.90
Steuben	584.90	558.10	565.10	537.10	570.08
Suffolk	10,327.00	9,785.64	9,707.75	9,829.32	9,994.85
Sullivan	1,048.90	1,026.30	1,063.20	1,107.20	1,147.65
Tioga	105.15	93.35	101.85	101.05	100.05
Tompkins	577.90	544.70	531.85	511.40	507.75
Ulster	3,319.68	3,230.05	3,189.05	3,406.55	3,395.30
Warren	336.40	293.65	307.85	305.40	320.10
Washington	1,409.30	1,372.38	1,398.00	1,482.60	1,484.90
Wayne	1,351.53	1,295.07	1,271.78	1,217.07	1,167.75
Westchester	4,693.37	4,518.63	4,548.45	4,515.13	4,536.28
Wyoming	1,730.27	1,707.40	1,715.00	1,743.55	1,752.60
Yates	18.00	15.12	17.62	24.12	34.63
Out of State	NA	NA	NA	NA	59.90
Statewide	162.00	140.60	176.95	175.00	160.00
Undetermined	71.00	70.85	67.90	64.90	NA
			--- In Cities ---		
New York City	26,740.41	25,552.40	25,421.64	25,277.73	25,004.70
Albany	32,808.37	30,523.84	31,682.73	31,935.41	32,868.53
Buffalo	5,446.97	5,267.06	5,269.61	5,232.40	5,262.64
Rochester	3,096.03	2,980.22	3,039.02	3,059.67	3,076.77
Syracuse	5,433.76	5,355.23	5,465.70	5,600.82	5,461.76
Utica	1,644.56	1,551.85	1,570.17	1,579.81	1,604.82

NOTE: Detail may not add to totals due to rounding.

r Revised.

X Not applicable.

NA Not available.

1 Includes employees in the classified State service of the Executive Branch (including SUNY) except the Division of State Police and the Palisades Park Commission. Seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included. Employees on leave with half pay are included;

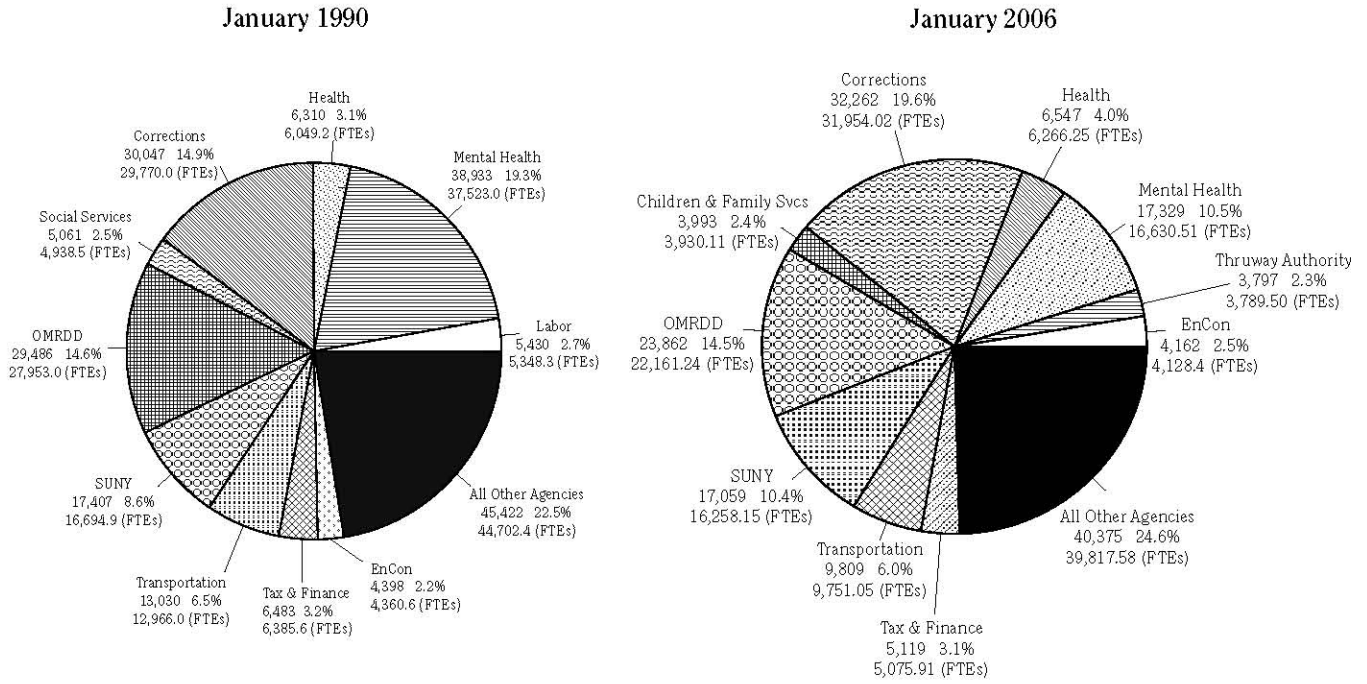
employees on leave without pay are excluded. Full-time equivalent calculations are based on percentage of time actually worked. For example, an employee working one half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full pay is counted as 1.0 FTE. An employee on leave at half pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.

2 Includes FTEs located outside New York State.

SOURCE: New York State Department of Civil Service, Information Resource Management Division.

FIGURE E-7

**Employment Trends in the Ten Largest Agencies
New York State — January 1990 and January 2006**



RANK BY NUMBER OF EMPLOYEES

Agency	Jan 2006	Jan 1990	Percent Growth 1990-2006	Agency	Jan 2006	Jan 1990	Percent Growth 1990-2006
Corrections	1	2	9.41%	Health	6	7	3.76%
OMRDD	2	3	-38.71%	Tax & Finance	7	6	-21.04%
Mental Health	3	1	-42.33%	Environmental Conservation	8	10	-6.66%
SUNY	4	4	-2%	Children & Family Services	9	—	100.00%
Transportation	5	5	-24.72%	Thruway Authority	10	15	12.87%

— Represents zero.

SOURCE: New York State Department of Civil Service, IRM/Decision Support System.

TABLE E-56

**Work Force in State Government by Agency
New York State — January 2007**

Agency	Number of Employees	Classified FTEs ¹						
		Total FTEs ^{1,2}	Competitive	Non-Competitive	Exempt ⁴	Labor ⁵	Pending Non-competitive	Pending Exempt
All Agencies	167,172	161,752.89	131,631.62	22,498.52	2,612.45	4,839.50	118.00	52.80
Departments								
Agriculture and Markets	598	591.70	518.70	49.00	22.00	2.00	—	—
Banking	551	537.20	435.00	74.20	26.00	2.00	—	—
Civil Service	587	577.25	511.15	55.10	9.00	1.00	—	1.00
Public Employment Relations Board	31	29.40	16.70	7.70	5.00	—	—	—
Corrections	32,608	32,237.66	29,787.35	2,387.76	58.55	3.00	—	1.00
Economic Development	196	191.40	132.80	33.80	24.80	—	—	—
Education	3,225	3,150.90	2,483.00	468.60	72.70	91.60	33.00	2.00
Environmental Conservation	4,261	4,223.25	3,375.45	515.30	42.50	290.00	—	—
Executive Divisions								
Alcoholic Beverage Control	151	150.10	127.20	6.90	14.00	1.00	1.00	—
Budget	404	395.00	270.60	88.60	23.80	—	12.00	—
Criminal Justice Services	776	763.10	628.60	115.55	18.95	—	—	—
Housing and Community Renewal	959	943.58	844.58	54.00	31.00	13.00	—	1.00
Human Rights	197	194.60	135.60	47.00	8.00	4.00	—	—
Lieutenant Governor, Office of	2	2.00	—	—	2.00	—	—	—
Military and Naval Affairs	3	2.50	0.50	2.00	—	—	—	—
Parole	2,011	1,983.85	1,899.35	73.50	11.00	—	—	—
Probation and Correctional Alternatives	34	34.00	27.00	5.00	2.00	—	—	—
Real Property Services	397	393.45	383.45	4.00	5.00	1.00	—	—
Veterans' Affairs	97	97.00	74.00	17.00	6.00	—	—	—
Veterans Educational Assistance	12	11.50	11.50	—	—	—	—	—
Boards								
Consumer Protection	33	33.00	8.00	6.00	19.00	—	—	—
Crime Victims	86	83.91	75.91	6.00	2.00	—	—	—
Elections	56	55.50	36.50	1.00	18.00	—	—	—
Racing and Wagering	195	193.70	36.90	94.80	56.00	—	—	6.00
Offices								
Aging	128	123.90	106.70	8.20	8.00	1.00	—	—
Capital Defenders	7	7.00	—	—	7.00	—	—	—
Comptroller	2,603	2,574.65	2,237.15	141.40	183.10	12.00	—	1.00
Employee Relations	85	82.45	22.00	4.00	56.45	—	—	—
General Services	1,973	1,952.10	1,143.30	333.50	47.25	425.05	—	3.00
Homeland Security	157	154.50	93.15	55.35	6.00	—	—	—
Parks, Recreation and Historic Preservation	2,975	2,956.04	1,068.25	1,123.79	13.00	681.00	68.00	2.00
Prevention of Domestic Violence	32	31.65	2.00	26.70	1.95	—	1.00	—
Regulatory Reform	36	35.60	8.60	11.00	16.00	—	—	—
Science, Technology and Academic Research	28	28.00	7.00	10.00	11.00	—	—	—
State Emergency Management	76	74.70	65.70	8.00	1.00	—	—	—
State Inspector General	66	65.40	—	19.40	46.00	—	—	—
Technology	674	669.00	620.30	42.00	5.70	1.00	—	—
Welfare Inspector General	8	8.00	8.00	—	—	—	—	—
Commissions								
Correction	33	33.00	27.00	5.00	1.00	—	—	—
Investigation	27	26.80	—	—	26.80	—	—	—
Lake George Park	8	8.00	6.00	2.00	—	—	—	—
Quality of Care and Advocacy for Persons with Disabilities	89	88.60	16.90	60.70	11.00	—	—	—
Councils								
Arts	50	49.45	9.95	35.50	4.00	—	—	—
Other								
Adirondack Park Agency	77	77.00	49.00	18.00	7.00	3.00	—	—
Executive Chamber	128	125.80	16.00	7.00	102.80	—	—	—
Family Assistance								
Children and Family Services	4,118	3,981.46	2,155.51	1,759.95	60.50	5.50	—	—
Temporary and Disability Assistance	2,395	2,368.85	2,169.40	143.05	30.60	23.80	—	2.00
Health	6,653	6,344.35	4,553.43	1,585.82	92.80	106.50	—	5.80
Insurance	959	949.34	832.64	97.70	19.00	—	—	—
Labor	3,494	3,445.15	3,264.15	139.35	37.65	4.00	—	—
State Insurance Fund	2,716	2,688.15	2,462.05	190.10	30.00	5.00	—	1.00
Workers' Compensation Board	1,555	1,529.80	1,418.30	62.00	35.50	12.00	1.00	1.00
Law (Attorney General)	1,738	1,702.55	687.80	176.40	802.35	12.00	—	24.00
Medical Fraud Control Unit	315	308.70	305.70	3.00	—	—	—	—
Lottery	345	343.70	317.70	17.00	8.00	1.00	—	—

(Continued on the following page)

TABLE E-56 (continued)

**Work Force in State Government by Agency
New York State — January 2007**

Agency	Number of Employees	Total FTEs ^{1,2}	Classified FTEs ¹						
			Competitive	Non-competitive ³	Exempt ⁴	Labor ⁵	Pending Non-competitive	Pending Exempt	
Mental Hygiene									
Alcoholism and Substance Abuse Services	1,010	977.20	820.40	135.80	15.00	6.00	—	—	
Mental Health	17,787	16,889.78	14,561.62	1,754.41	18.50	555.25	—	—	
Mental Retardation and Developmental Disabilities	24,377	22,421.83	21,069.93	1,047.90	36.70	267.30	—	—	
Motor Vehicles	3,194	3,111.25	2,962.95	91.30	46.00	11.00	—	—	
Public Service	542	530.00	444.40	19.00	64.60	2.00	—	—	
State	823	805.45	685.30	46.50	66.65	7.00	—	—	
Taxation and Finance	5,176	5,131.00	4,853.20	155.40	54.60	67.80	—	—	
Transportation	10,346	10,245.06	6,571.91	3,629.40	33.75	10.00	—	—	
Public Benefit Corporations									
Bridge Authority, New York State	159	159.00	90.00	15.00	1.00	53.00	—	—	
Canal Corporation	568	562.50	252.50	234.00	3.00	73.00	—	—	
Financial Control Board for New York City	16	15.00	—	—	15.00	—	—	—	
Higher Education Services Corporation	721	711.65	639.65	51.00	12.00	8.00	—	1.00	
Housing Finance Agency	53	51.50	41.00	2.00	4.50	4.00	—	—	
Thruway Authority	3,802	3,782.40	2,753.85	969.30	21.00	37.25	1.00	—	
Other									
Labor Management Committee	67	66.30	9.70	—	55.60	—	—	1.00	
Northeast Queens Nature and Historic Preserve	2	1.50	—	1.00	0.50	—	—	—	
Teachers' Retirement System	296	291.40	278.40	6.50	—	6.50	—	—	
State University Administration, Colleges and Universities	17,215	16,290.83	10,103.29	4,141.29	15.30	2,029.95	1.00	—	

— Represents zero.

1 Full-time equivalents.

2 Classified service of Executive branch, seasonal, temporary and part-time employees, as well as those paid on an hourly or per diem basis are included in the data. Employees on leave with half-pay are included; employees on leave without pay are excluded. Full-time equivalent calculations are based on percentage of time actually worked. For example, an employee working one-half of a normal work schedule is counted as 0.5 FTE. An employee on leave at full-pay is counted as 1.0 FTE. An employee on leave at half-pay is counted as 0.5 FTE. Hourly and per diem employees are counted as 1.0 FTE each.

3 Consists of those positions for which it is practicable to examine applicants as to their qualifications, but not practicable to conduct examinations on a competitive basis. For the most part, this class consists

of skilled trades, although it also includes some positions of a higher level administrative, scientific, or technical character and positions involving (a) a confidential relationship between incumbent and appointment officer or (b) the influencing of policy.

4 Defined in the Civil Service Law to include one secretary of each department and temporary State commission, and deputies authorized to act generally for and in place of their principals. Any other subordinate positions for which competitive or noncompetitive examination would not be practicable may be placed in the exempt class.

5 Consists of unskilled labor jobs. There is no requirement that labor positions be listed in the rules. Labor class positions are listed in the Regulations of the Civil Service Commission.

SOURCE: New York State Department of Civil Service, Information Resource Management Division.

TABLE E-57

New York State and Local Retirement System
Net Assets Available for Benefits, Contributions, and Benefits Paid
New York State — 1999-2006
(millions of dollars)

Net Assets Available for Benefits ¹					
Year	Total Assets ²	Increase/Decrease From Prior Year			
1999	\$ 112,723	6.0			
2000	128,889	14.3			
2001	114,044	-11.5			
2002	112,725	-1.2			
2003	97,373	-13.6			
2004 ^r	120,829	24.1			
2005	128,038	6.0			
2006	142,620	11.4			

Contributions Recorded					
Year	All Participating Employers ³	Local Employers ³	New York State ³	Employees	Total Benefits Paid ⁴
1999	\$ 292	\$ 156	\$ 136	\$ 400	\$ 3,570
2000	165	11	154	423	3,787
2001	215	112	103	319	4,267
2002	264	199	65	210	4,576
2003	652	378	274	219	5,030
2004	1,287	832	455	222	5,424
2005	2,965	1,877	1,088	227	5,691
2006	2,782	1,714	1,068	241	6,073

r Revised.

- 1 Includes relatively small amounts held under Group Life Insurance Plan. Includes some employer contribution receivables. Fiscal year ended March 31, 2006 includes approximately \$2.8 billion of receivables.
- 2 Includes certain accrued employer contributions to be paid with respect to service rendered during fiscal years other than the year shown.

3 Includes employer premiums to Group Life Insurance Plan.

4 Includes payments from Group Life Insurance Plan.

SOURCE: New York State Division of the Budget, *Annual Information Statement, State of New York: May 8, 2007*, <http://www.budget.state.ny.us/investor/ais/aisUpdate61206.pdf> (last viewed September 4, 2007).

TABLE E-58

**Public Employment Relations Board (PERB) Activities
New York State — Fiscal Years 2002-03 — 2006-07**

Activity	2002-03	2003-04(a)	2004-05	2005-06	2006-07
Conciliation					
Negotiating Units	4,452	4,460	4,597	4,697	4,730
Contracts Negotiable	2,145	2,320	2,382	2,322	2,327
Settled or Negotiations Continue Without Third-Party Assistance	1,828 ^r	1,982	1,997	1,979	1,994
Brought to PERB for Assistance	317	338	385	343	333
Impasses Resolved					
Settled by Mediation	209	178	295	269	229
Settled by Mediation by Fact-finder	11	10	17	15	13
Settled by Negotiations Based on Fact-finding Report	14	15	19	23	26
Settled by Acceptance of Fact-finding Report	20 ^a	3	11	6	1
Settled by Post Fact-finding Conciliation	—	1	2	4	6
Settled by Arbitration	8	11	13	16	17
Closed for Other Reasons	21	12	29	25	37
Representation					
Petitions Received	91	104	125	74	117
Director's Decisions	24	18	24	30	28
Board Decisions	2	7	5	4	5
Board Certifications	19	19	26	24	24
Petitions Withdrawn	62	66	87	56	55
Elections	6 ^b	10 ^c	12 ^d	9 ^f	11 ^g
Clarification/Unit Placement Cases					
Cases Pending at Beginning of Year	49	36	60	52	34
Applications Received	64	60	58	47	50
Director's Decisions	9	16	13	25	12
Board Decisions	4	4	5	3	4
Withdrawn After Conference	59	33	51	42	31
Cases Pending at End of Year	36	60	52	34	46
Improper Practices					
Cases Pending at Beginning of Year	405	486	542	569	561
Charges Filed During Year	908	786	850	861	807
Administrative Law Judge Decisions	120	128	84	130	109
Board Decisions	38	37	23	24	25
Charges Settled by Agreement, Withdrawn, or Closed	703	660	713	758	628
Cases Pending at End of Year	486	542	569	561	614
Management/Confidential Cases					
Cases Pending at Beginning of Year	14	13	19	15	11
Applications Received	42	28	30	30	33
Director's Decisions	29	19	15	26	10
Board Decisions	1	—	2	1	1
Withdrawn After Conference	11	10	15	9	13
Cases Pending at End of Year	13	19	15	11	23
Work Stoppages					
Strikes	2	—	—	4	—

— Represents zero.

r Revised.

a Includes 13 impasses for which 1 fact-finding report was issued.

b 2,519 employees involved.

c 1,182 employees involved.

d 791 employees involved.

f 608 employees involved.

g 1,228 employees involved.

SOURCE: New York State Public Employment Relations Board.

Glossary E

Budgetary and Financial Terms

Aid To Localities: See Glossary for Section F.

All-Funds: A term which refers to all State government funds within the following fund types: General, Special Revenue, Capital Projects, Debt Service, Internal Service, Fiduciary and Enterprise.

Appropriation: A statute authorizing a government (e.g., the State) to spend money to achieve a specified purpose.

Attrition: A method of achieving a reduction in personnel by not refilling positions vacated through resignation, reassignment, transfer, retirement or means other than layoffs.

Block Grant: An aid payment from one level of government to another that allows the grantee a high degree of discretion in the allocation of funds. [See also: Categorical Grant]

Capital Projects: A category of appropriations used to support design, construction, reconstruction or major repair of highways, bridges, parks, housing or other physical facilities.

Capital Projects Fund: A fund from which the State pays the costs of construction projects; this fund consists primarily of bond proceeds and of moneys transferred from the General Fund.

Categorical Grant: An aid payment from one level of government to another that requires the grantee to allocate funds for a specific purpose.

Debt Service: A category of appropriations used for the payment of principal and interest on debt and other contractual obligations.

Dedicated Tax: A tax levied to support a specific government program or purpose.

Disbursement: An actual cash payment.

Encumbrance: A method of reserving all or a portion of an appropriation for future expenditure.

Enterprise Fund: A type of fund used to account for operations that are financed and carried on much like the activities of private enterprise (e.g., the commissary in the Department of Correctional Services).

Entitlement Programs: Certain programs, usually of the Federal government, which by law must provide funding to any recipient who meets specified eligibility requirements (e.g., Social Security).

Expenditures: A liability that reflects a decrease in net financial resources.

Fiduciary Fund: A type of fund used in situations where the State serves as the custodian of a trust or acts as an agent for an individual, private organization or other governmental body (e.g., the Retirement Fund is a fiduciary fund).

Financial Plan: A comprehensive outline of the financial resources and requirements of a government that shows projected closing balances at the completion of a fiscal period (e.g., a fiscal year). In the case of New York State, it includes the projections for one or more funds that are managed by the State displayed on a cash or GAAP basis.

Fringe Benefits: Payments made by the State for retirement, social security, health and dental insurance contributions, workers' compensation, unemployment, survivors' and disability insurance.

Fund: A fiscal and accounting entity established by legislation or administrative action for the purpose of achieving specified objectives or carrying on certain activities.

Fund Type: A description of the purpose of a fund. The use of GAAP requires that government resources be accounted for by fund — an accounting mechanism through which moneys are spent and spending is controlled.

General Fund: The major operating fund of the State that comprises all State income and outgo not earmarked for special purposes. The General Fund is also a fund type.

General State Charges: Costs required by statute, or arising from collective bargaining or court orders. Such charges include fringe benefits, fixed costs, payments in lieu of taxes, and judgments against the State.

Generally Accepted Accounting Principles (GAAP): Uniform standards and guidelines for accounting and reporting of financial information. The standards for governments are established by national standard-setting groups, primarily the National Council on Governmental Accounting, the Governmental Accounting Standards Board and the American Institute of Certified Public Accountants.

Internal Service Fund: A type of fund used to account for the financing of services (e.g., data processing, printing) provided by one department or agency to other departments, agencies or governments on a cost-reimbursable basis.

Local Assistance Account: An account within the General Fund from which State grants, advances, financial assistance and other payments are made to local governments, school districts and other entities.

Lump-Sum: A type of appropriation whose amount includes personal service, nonpersonal service, maintenance undistributed, or capital projects appropriations. Although it is made for a stated purpose, a lump-sum appropriation shows only a single amount and does not specify amounts for individual objects of expenditure.

Maintenance Undistributed: A type of lump-sum appropriation, which is normally used when the allocation among personal service and other expenses cannot be precisely forecast in advance, as in the case of an agency reorganization or the administration of rapidly changing programs.

Matching Funds: A type of grant that requires the government or agency receiving the grant to commit a certain amount of funding to the same program or purpose as a precondition of eligibility for the grant.

Miscellaneous Receipts: A term that refers to a broad range of unrelated revenue sources derived, for example, from investment earnings, the sale of abandoned property, fees, licenses, fines and transfers.

Nonrecurring Revenues: Revenues available during one fiscal year that will not be available during subsequent fiscal years.

Nonpersonal Service: A type of appropriation and expenditure that supports nonpersonal service costs such as contractual services, travel costs, equipment and supplies, etc.

Personal Service: A type of appropriation and expenditure, which provides for State employee salaries and wages.

Program: An organized set of activities directed toward a common purpose or goal.

Reappropriation: The legal authorization to continue to encumber and spend all or part of an unencumbered balance of a prior-year appropriation during the new fiscal year. Capital projects extending over several fiscal years usually require reappropriations.

Revenue Sharing: Aid provided by one level of government to another with few stipulations on its use. The amount of the share made available to each recipient normally depends on formulas set forth in statute.

Save-Harmless Aid: A provision of law guaranteeing that a program or local entity receives no less than the amount of aid received during the previous fiscal year regardless of the impact of funding formulas.

Special Revenue Fund: A fund established to receive revenue from a dedicated source with moneys in the fund restricted to specific purposes.

Spring Borrowing: Short-term borrowing undertaken by the State in April (the start of the fiscal year) to cover an imbalance between spending needs and available resources. The amount borrowed must be repaid within one year of the date of issue.

State Operations: A category of appropriations supporting the operations of State agencies and programs (e.g., administration).

State Purposes Account: An account within the General Fund from which the State's operating costs are paid. Such costs include salaries, General State Charges, contractual payments, certain financial assistance, advances and interest.

Tax and Revenue Anticipation Notes: Short-term borrowing in anticipation of taxes and revenues yet to be received.

User Fees: Charges associated with using a particular service or facility (e.g., parks and/or highways).